



PicoCal simulations and benchmarking

Liupan An

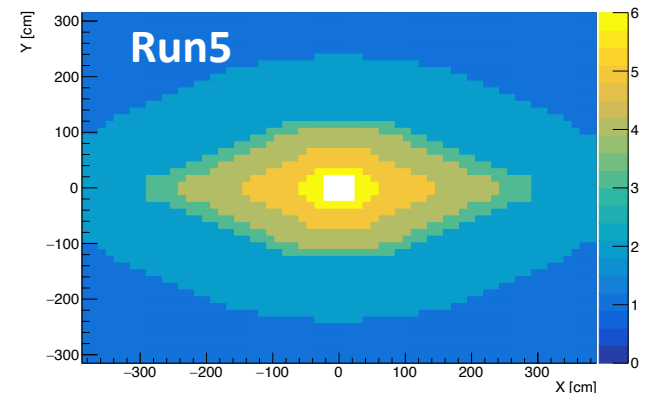
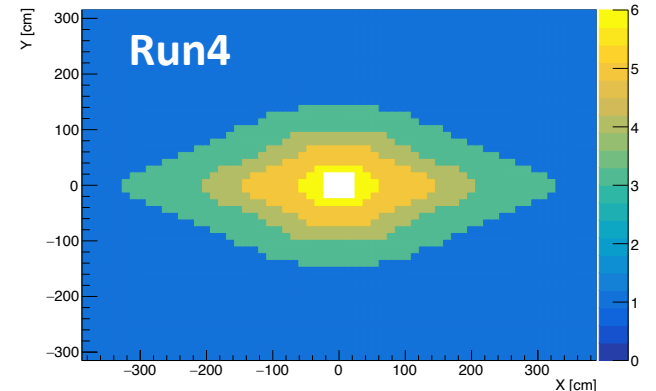
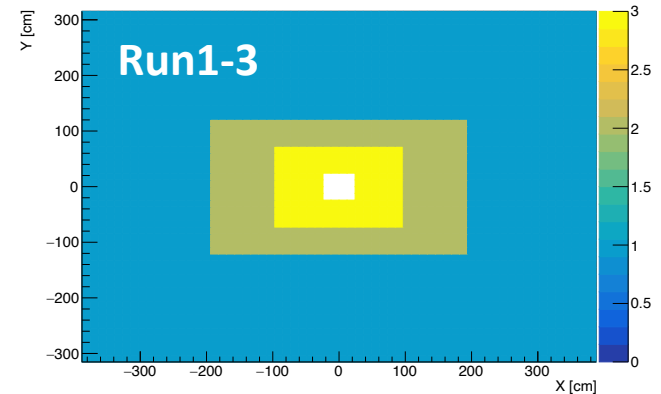
On behalf of the LHCb PicoCal R&D group

Peking University

6th Workshop on LHCb Upgrade II @ Barcelona, 30th March 2023

ECAL Upgrade scenarios

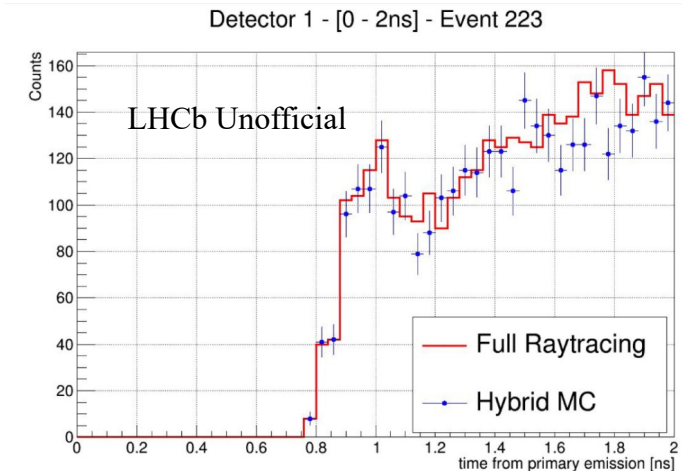
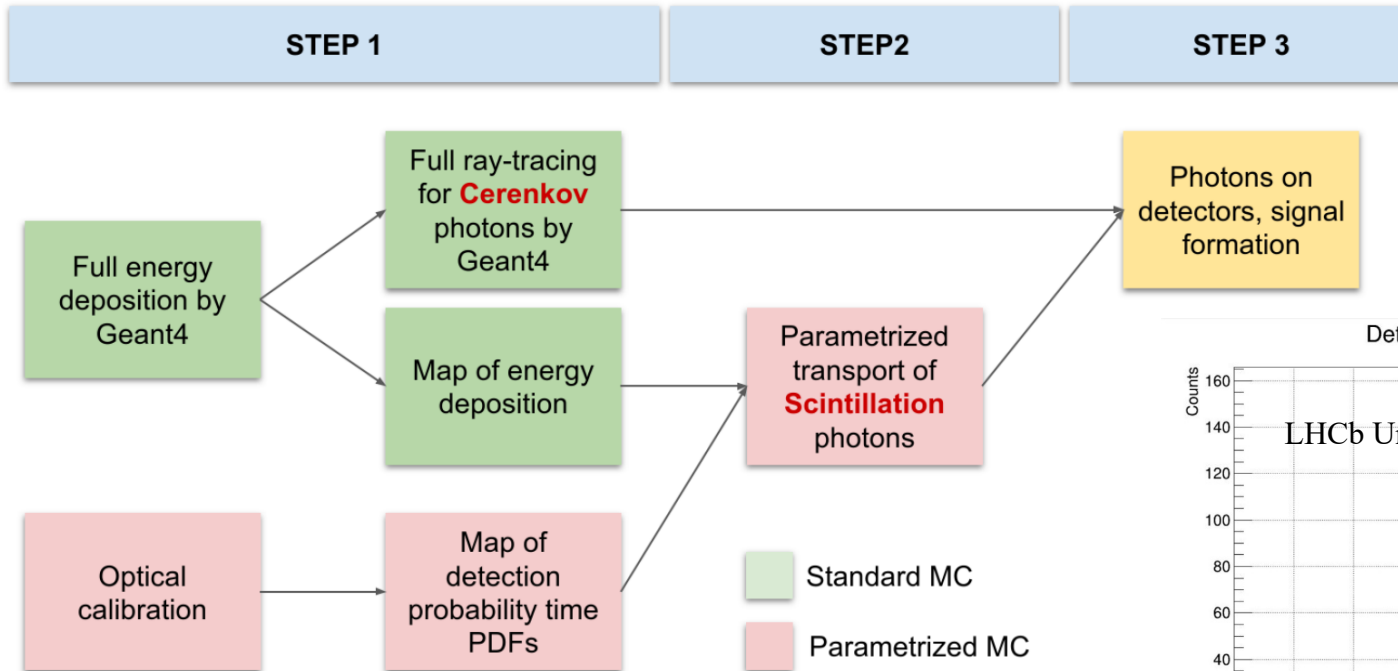
- Run 1-3: $4\times 4/6\times 6/12\times 12$ cm² Shashlik
- Run 4 (Upgrade Ib):
 - ✓ Innermost: 2×2 cm² SPACAL W+Poly.
 - ✓ Second inner: 3×3 cm² SPACAL Pb+Poly
 - ✓ Outer: $4\times 4/6\times 6/12\times 12$ cm² Shashlik
 - ✓ No longitudinal segmentation
 - ✓ Timing readout for SPACAL only (option with timing in Shashlik will also be checked)
- Run 5 (Upgrade II):
 - ✓ Innermost: 1.5×1.5 cm² SPACAL W+GAGG
 - ✓ Second inner: 3×3 cm² SPACAL Pb+Poly
 - ✓ Outer: $4\times 4/6\times 6/12\times 12$ cm² Shashlik
 - ✓ With longitudinal segmentation
 - ✓ Dual timing readout for all modules



Simulation framework

Marco Pizzichemi

- In simulation, optical photons ray-tracing needed, but extremely CPU-consuming
⇒ parametrized strategy developed



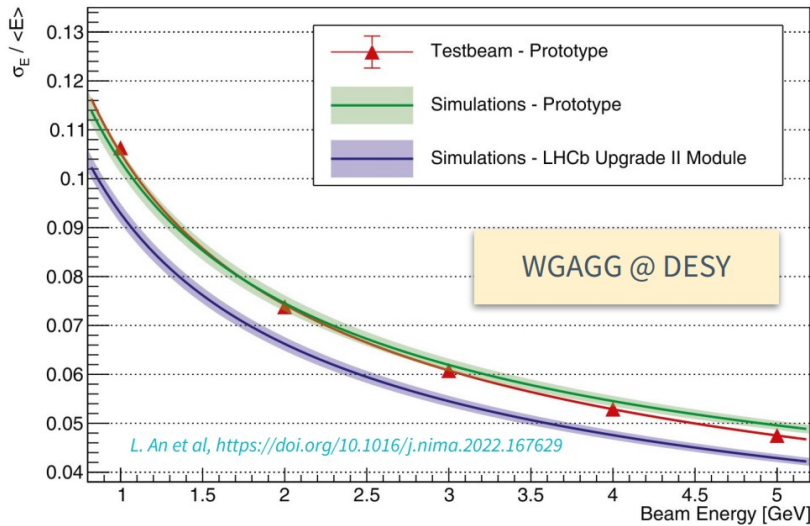
- **Hybrid-MC**: Geant4 simulation of energy deposit and parametrized transport of scintillation photons
⇒ **gain in computation time by up to a factor 1000**

- Available for both single module study and full-ECAL setups from Run3 to Run5

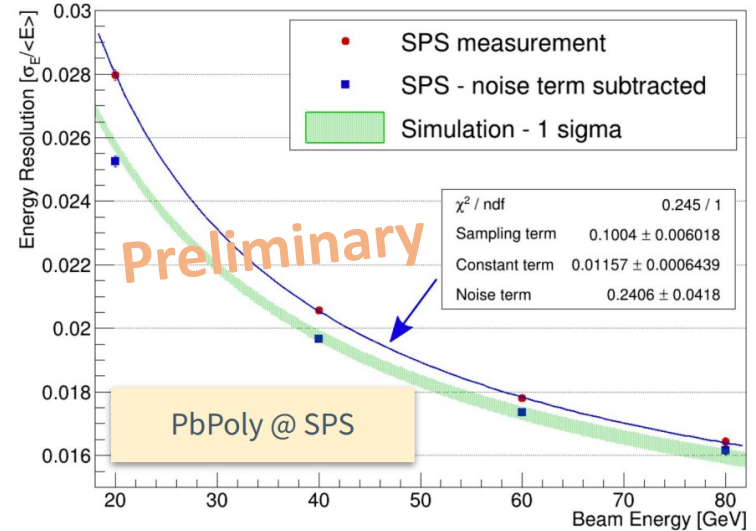
Comparison with test beam data

Marco Pizzichemi

Energy Resolution - 3°+3°



Energy Resolution at 3°+3°



$$\sigma(E)/E \approx \text{Sampling}/\sqrt{E} \oplus \text{Constant}$$

		Measurements on TB modules [%]	MC simulations on TB modules [%]	MC simulations on optimized modules [%]
WGAGG	Sampling term	10.6 ± 0.1	10.2 ± 0.1	9.2 ± 0.1
	Constant term	1~2	1.98 ± 0.04	1.18 ± 0.03
PbPoly	Sampling term	10.0 ± 0.6	10.3 ± 0.1	9.7 ± 0.1
	Constant term	1.16 ± 0.06	0.94 ± 0.04	0.56 ± 0.05

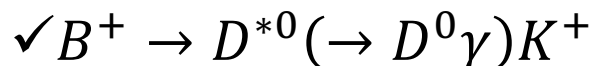
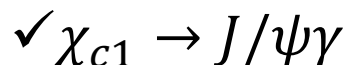
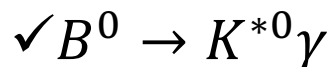
- Hybrid MC reproduces well the testbeam results after including the separation material
- Modules in ECAL will be designed with optimized separation, e.g. thin reflector foil
- Expected energy resolution in optimized modules in line with requirements

Benchmarking physics modes

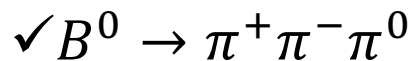
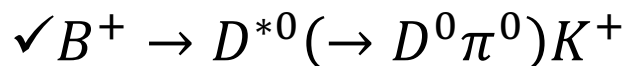
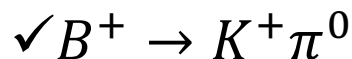
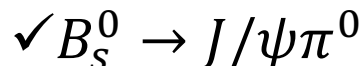
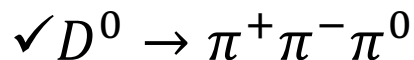
To cover physics modes involving photon, electron and π^0 with different energy coverage, background level, vertex constraint ...

In progress

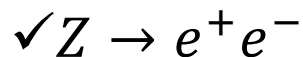
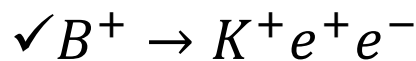
➤ Single photon



➤ $\pi^0 \rightarrow \gamma\gamma$



➤ Electron

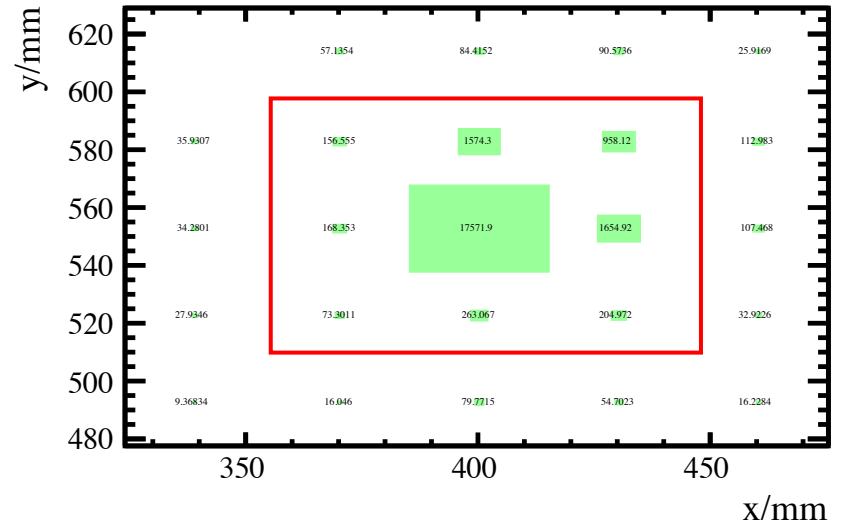


Reconstruction algorithm

➤ 3×3 cells clustering

- ✓ cell with larger deposit energy than all its neighbor cells taken as **seed cell**;
 3×3 cells surrounding seed cell taken as a cluster

- ✓ Seed cell with E_T threshold of 50 MeV
- ✓ **Energy in front and back cell summed up; timing taken as that of seed cell**
- ✓ Corrections to position and energy of the clusters are implemented
- ✓ Algorithm to utilize **long. segmentation (for Run 5), timing info** etc. and its effect on performance to be studied

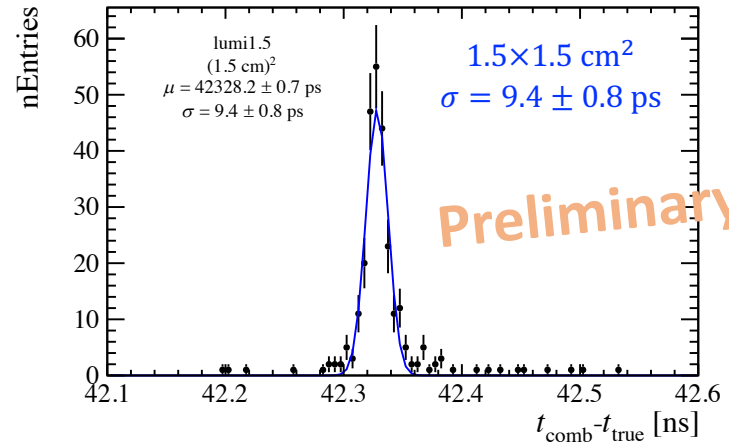
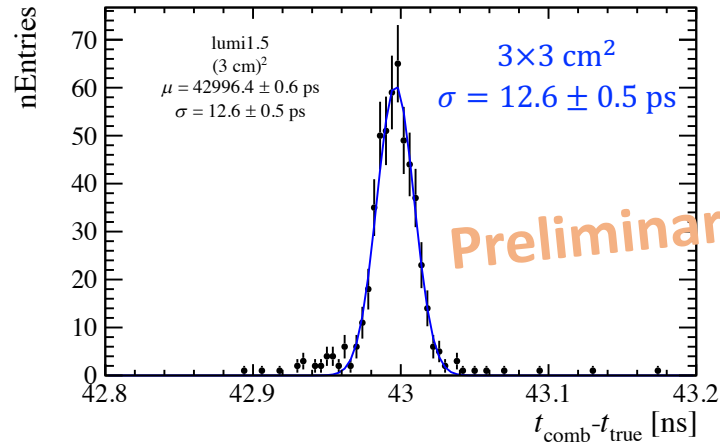


➤ Machine learning (ML) approach

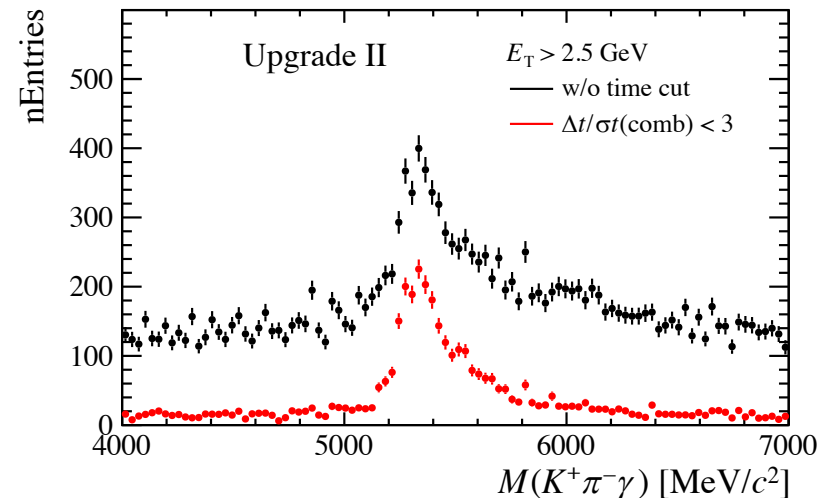
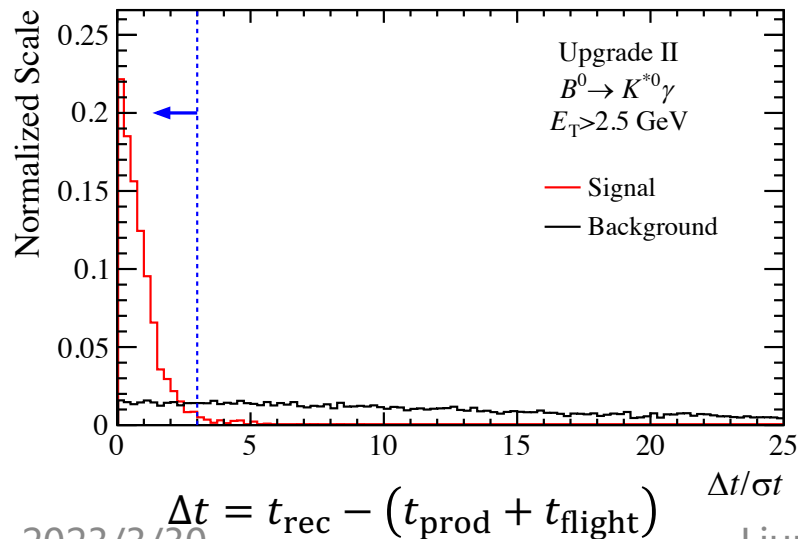
- ✓ Three sets of regressors are trained to estimate: *position, *energy, *time
- ✓ The ML input is Geant4 responses in cells of shape 5×5 (x number of layers)
- ✓ Applied to $B_s^0 \rightarrow J/\psi \pi^0$ only so far

$B^0 \rightarrow K^{*0} \gamma$ – timing study

- Studies performed for Upgrade II with peak luminosity of $\mathcal{L} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Timing resolution obtained as weighted average of front & back section time

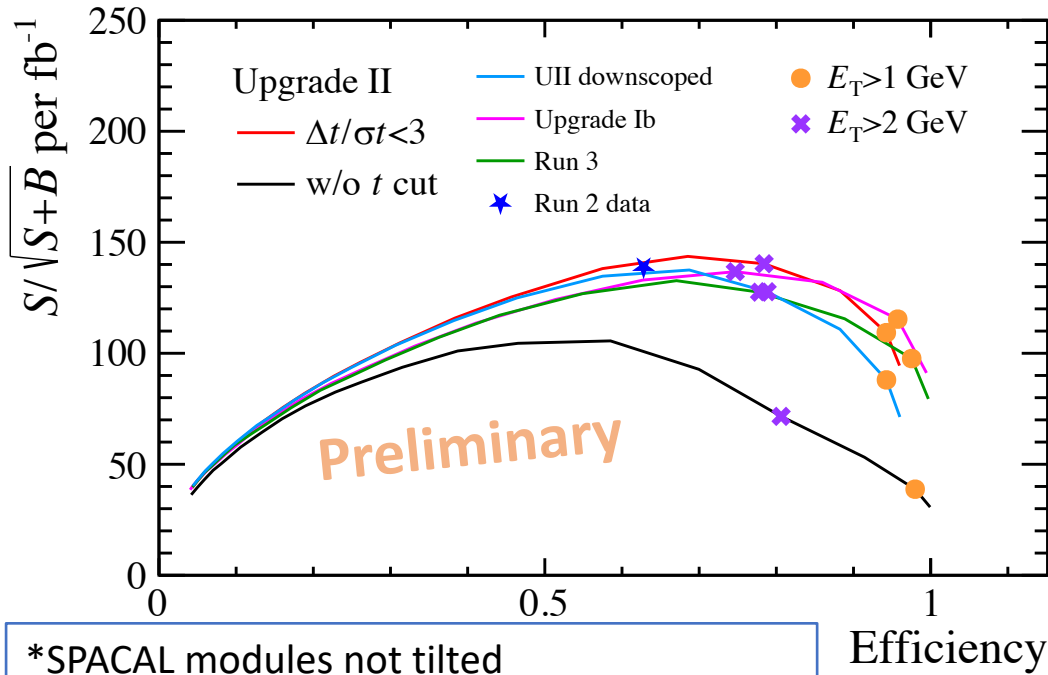


- The timing cut is effective in reducing background



$B^0 \rightarrow K^{*0} \gamma$ – performance comparison

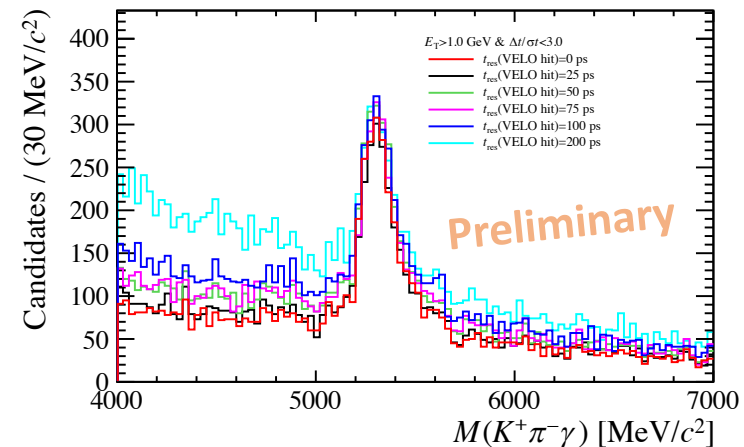
- ✓ The same bkg. level and tracking efficiency for K^{*0} are assumed for all setups
- ✓ Timing resolution of K^{*0} vertex assumed to be 0



- *SPACAL modules not tilted
- *UII downscaled: Upgrade II w/o long. seg.
- *Upgrade Ib: no timing info
- *Run 3: radiation damage not considered

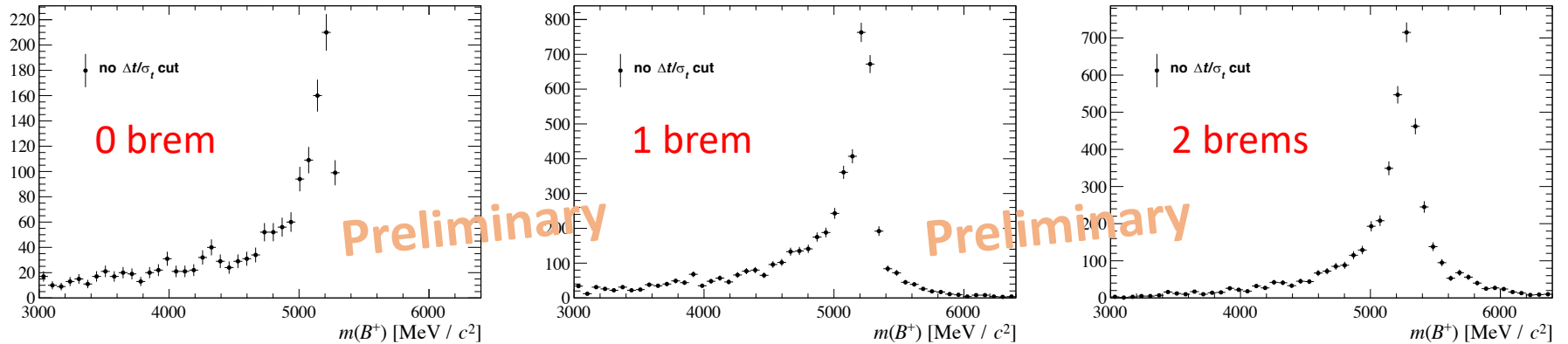
➤ Effect of timing resolution from tracking system is being studied with joint VELO-ECAL simulation
[\[See Timothy David Evans' talk for more details\]](#)

- Timing cut effective for Upgrade II
- With timing cut, Upgrade II performance can reach that of Run2
- Upgrade II downscaled option has a downscaled performance
- Upgrade Ib can improve performance wrt Run3

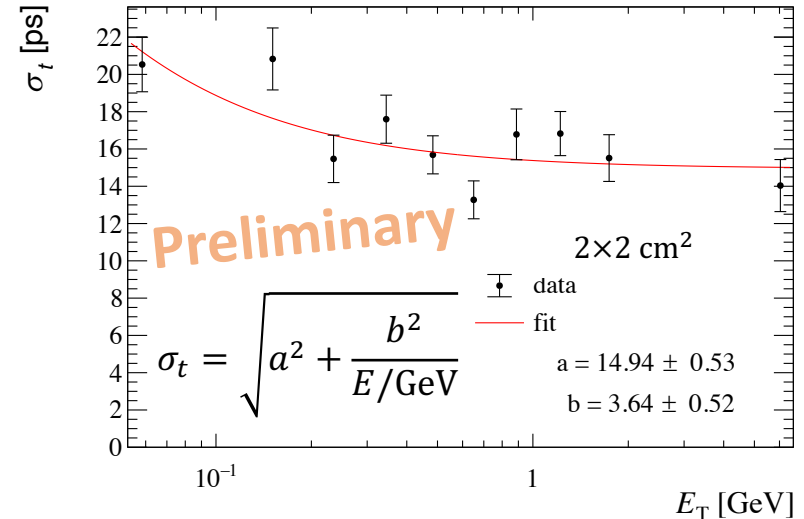


$B^+ \rightarrow K^+ e^+ e^-$

- Goal is to study reconstruction of bremsstrahlung photons in Upgrade II
- Latest study based on Run 4 (SPACAL modules rotated) with pile-up included

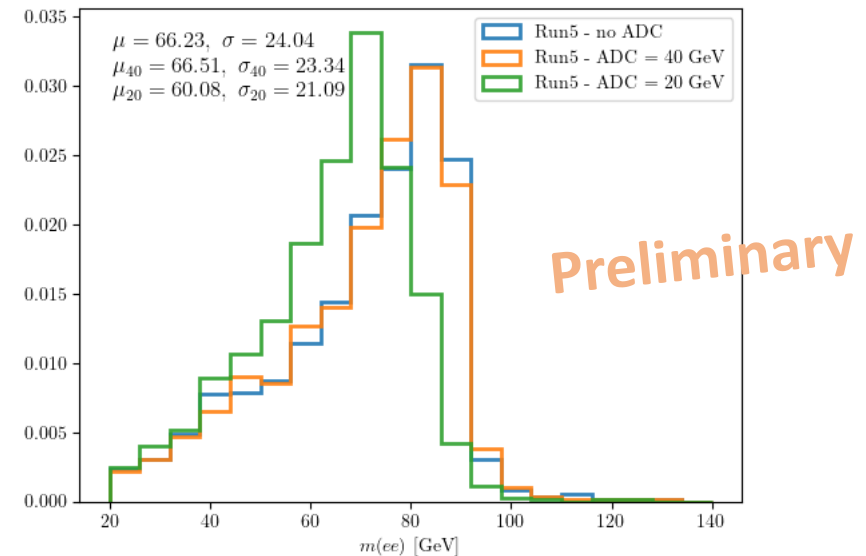
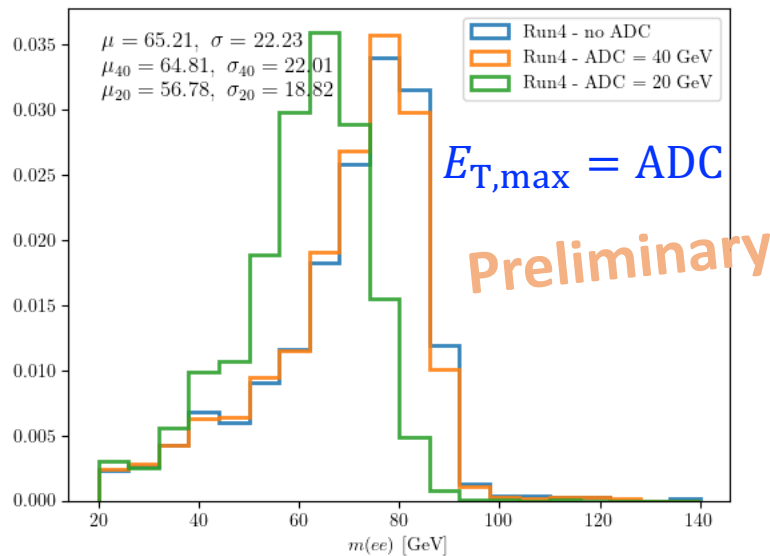


- Impact of timing cuts to suppress pile-up contamination is being studied
 - ✓ Timing resolution of bremsstrahlung photons from $B^+ \rightarrow K^+ e^+ e^-$ is studied
 - ⇒ low energy regime



$$Z \rightarrow e^+ e^-$$

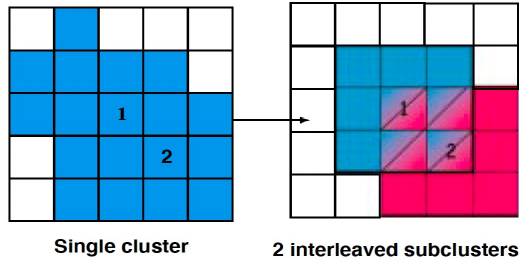
- Goal is to study and improve reconstruction of electrons with very high energies for Upgrade II
- **ADC saturation** is relevant for high p_T electrons and checked for Run 4 & 5 (SPACAL rotated)
 - ✓ With ADC = 40 GeV, the invariant mass distribution is almost the same with no saturation (as reference, Run 2 ADC ~ 10 GeV; Run 3 ADC ~ 20 GeV)
- There is still room to improve energy corrections at very high energy (most brems. photons are inside electron cluster)



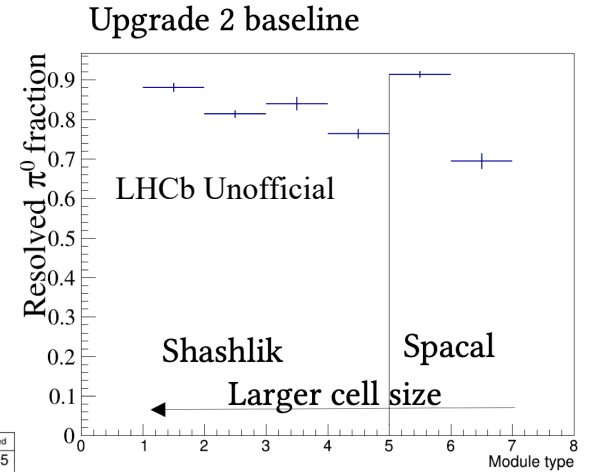
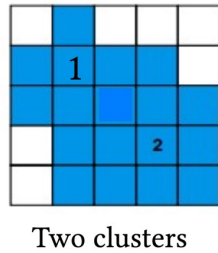
- Future steps
 - ✓ Study energy resolution of electrons as a function of energy
 - ✓ Improve the reconstruction of $Z \rightarrow e^+ e^-$

$$D^0 \rightarrow \pi^+ \pi^- \pi^0$$

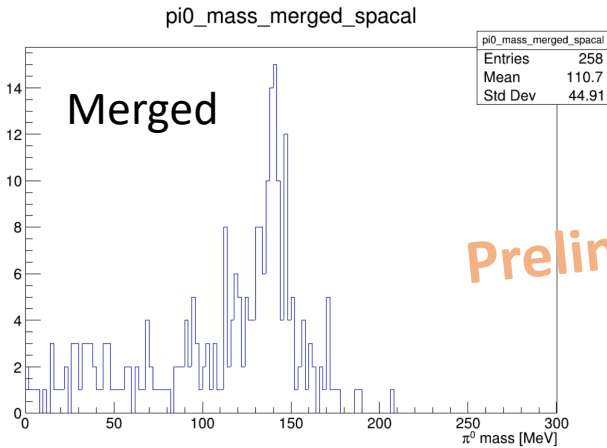
- Merged $\pi^0 \rightarrow \gamma\gamma$



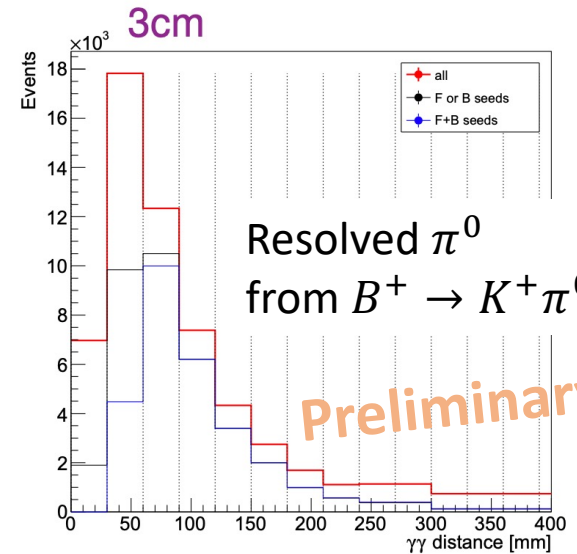
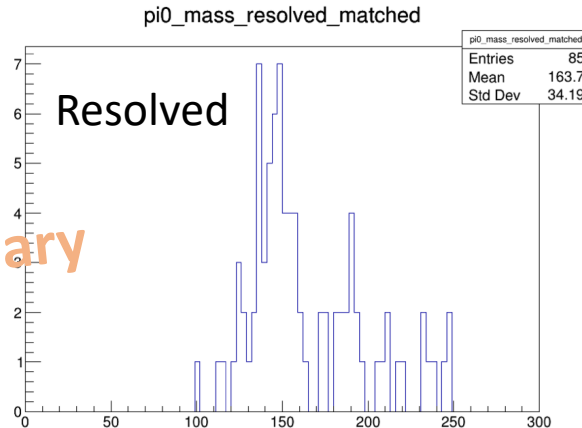
- Resolved $\pi^0 \rightarrow \gamma\gamma$



✓ Lower momenta
⇒ more resolved



Preliminary



Preliminary

- ✓ Following approach from Run1/2 to add a sub-cluster
- ✓ Use of timing can give improvement

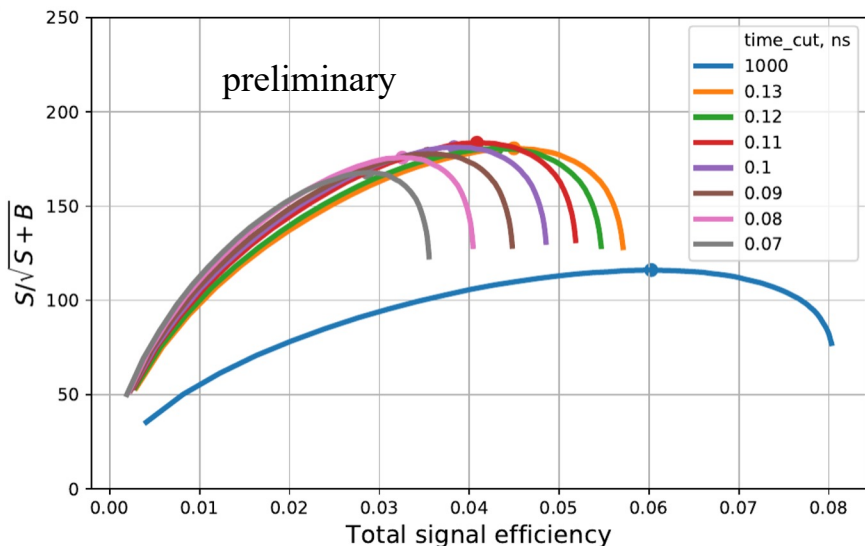
- ✓ Simple combination of two photons
- ✓ Long. segmentation is promising to help with it

$B_S^0 \rightarrow J/\psi\pi^0$ - ML approach

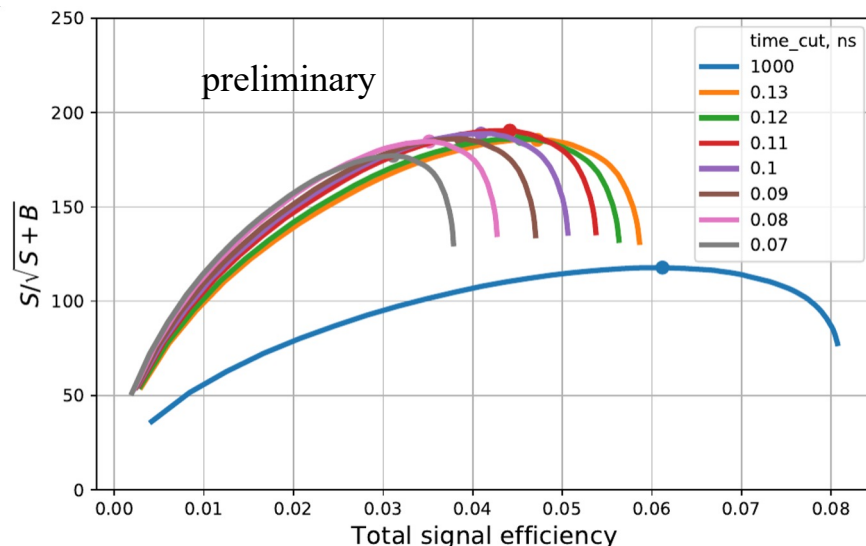
Alexey Boldyrev, Denis Derkach,
Fedor Ratnikov, Andrey Shevelev

➤ Performance with resolved π^0 studied for Upgrade II

Upgrade II downscoped (single layer)



Upgrade II baseline (long. segmented)



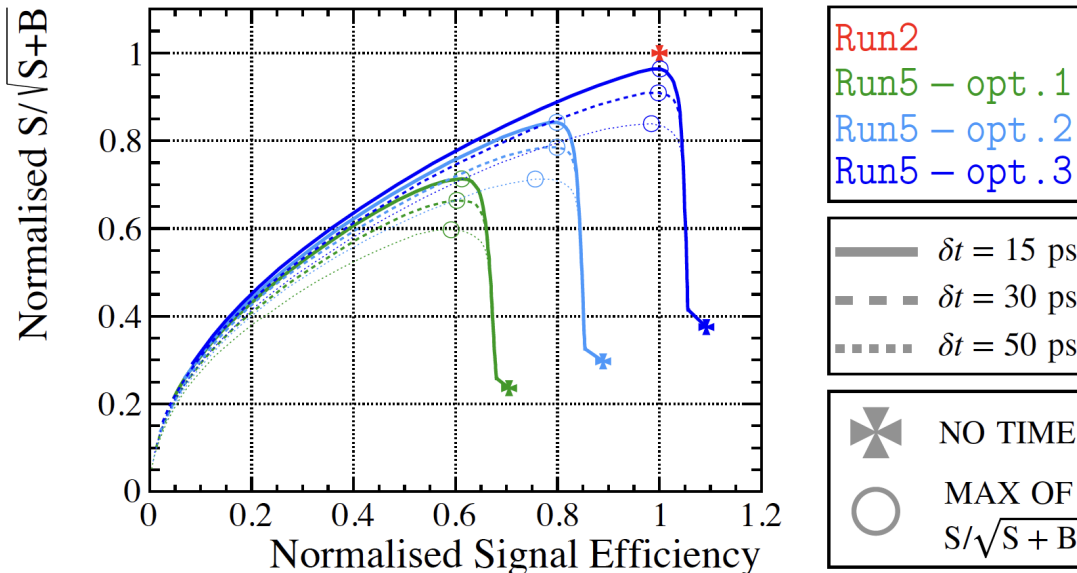
➤ Timing and long. segmentation help improve the performance

➤ Update to using hybrid-MC samples is ongoing (realistic simulation of time development in shower).

There is also room for improvement using more sophisticated ML approaches for the long. segmentation

$$B^0 \rightarrow \pi^+ \pi^- \pi^0$$

- The study is based on “Homogenous” simulation from Bologna
 - ✓ Homogeneous materials with average composition
 - ✓ Shower development simulated by Geant4
 - ✓ Energy resolution simulated with random rejection of energy deposits
 - ✓ Time resolution simulated by Gaussian smearing



Region		U2 BASELINE		BETTER OUTER		EVEN BETTER	
		Run5-opt.1	Run5-opt.2	Run5-opt.1	Run5-opt.2	Run5-opt.3	Run5-opt.3
name	index	Cell side [mm]	R_M [mm]	Cell side [mm]	R_M [mm]	Cell side [mm]	R_M [mm]
Innermost	0	15	15	15	15	15	15
Inner	1	30	30	30	30	15	15
Middle	2	40	35	40	35	40	35
Outer	4	60	35	40	35	40	35
Outermost	5	120	35	60	35	60	35

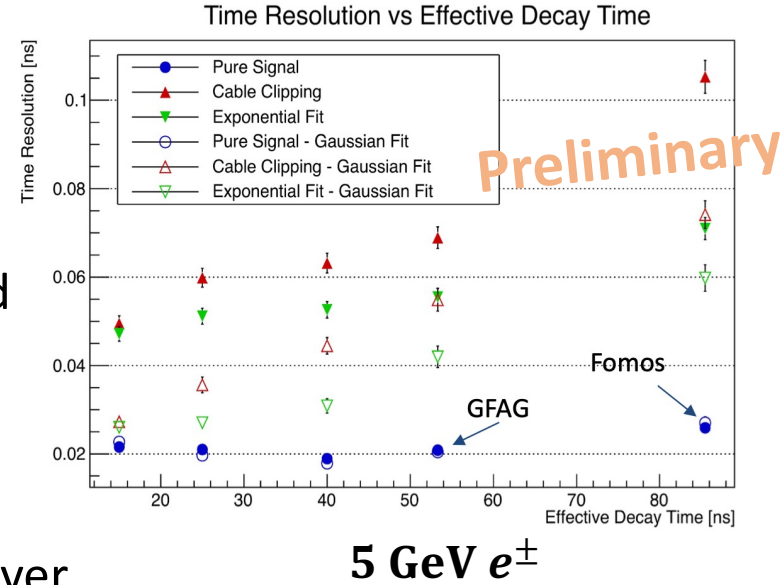
- The results suggest the critical need of R&D to improve the ECAL reconstruction algorithms in Upgrade II

Other studies

➤ Simulation study of spillover effects

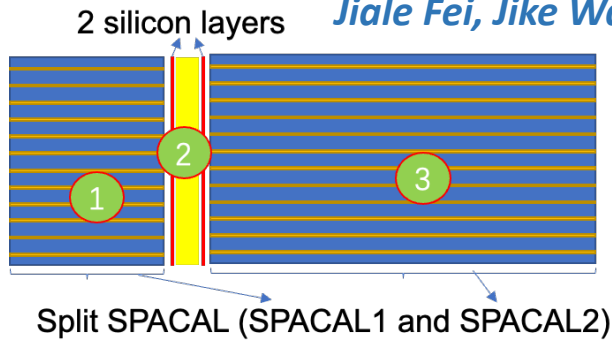
- ✓ Spillover effects are mitigated for crystals with faster decay time
- ✓ Pulse shaping techniques are important
- ✓ The effect on physics study is to be quantified

*Loris Martinazzoli, Stefano Perazzini,
Marco Pizzichemi, Vincenzo Vagnoni*

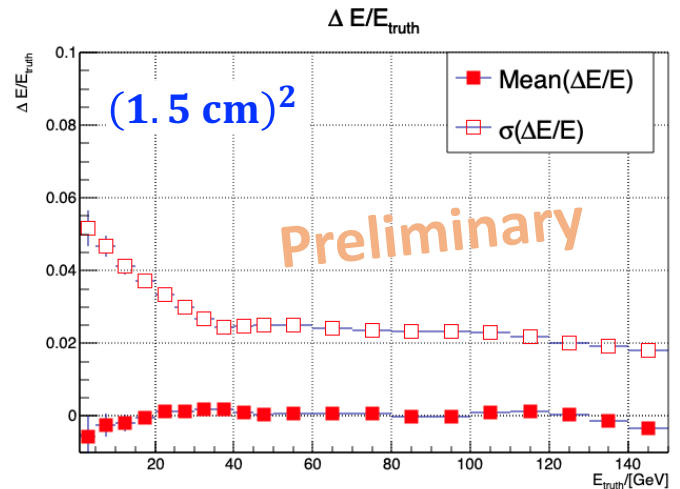


➤ Simulation study with additional silicon timing layer

Jiale Fei, Jike Wang, Xiangyu Wu



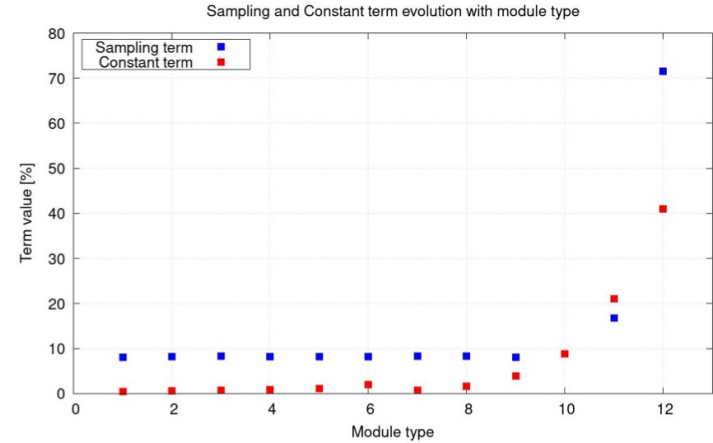
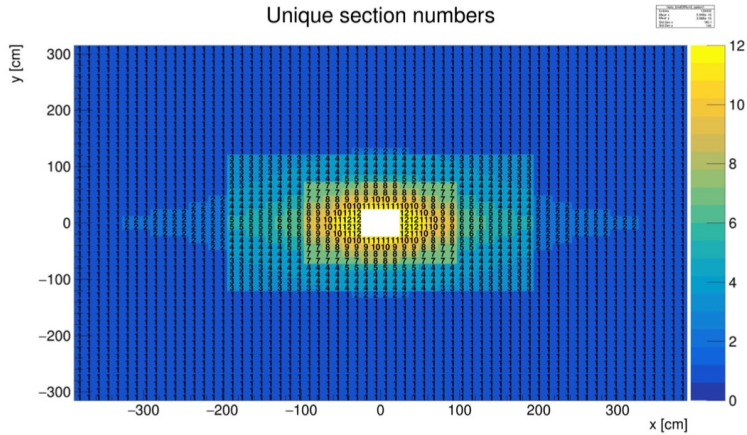
- ✓ Silicon layer: cell size $5 \times 5 \text{ mm}^2$; thickness 0.5 mm
- ✓ Cooling layer (Cu): thickness 6 mm



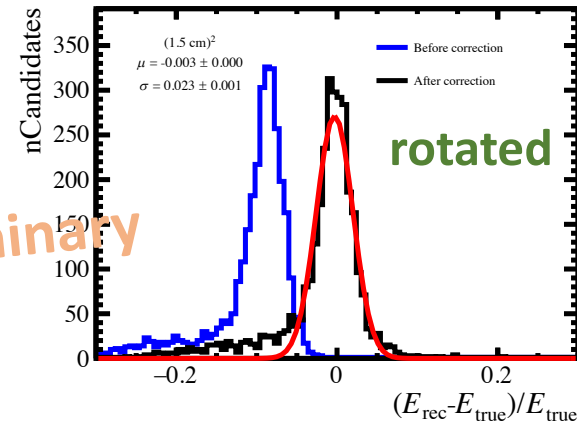
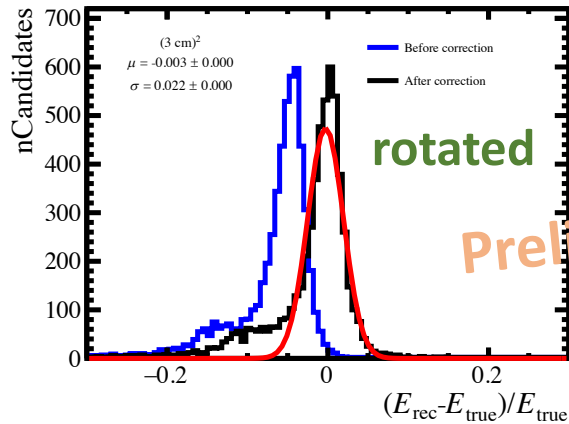
- ✓ Studies ongoing to fully exploit the potential of silicon layers

Towards Upgrade Ib FTDR

- Simulation configuration is prepared including radiation damage expected at the end of Run 3 to compare with Upgrade Ib
 - ✓ Current ECAL divided in 12 sections with different degraded light output derived from irradiation studies



- Reco. & energy+position corrections in place for end of Run 3 including radiation damage and rotated SPACAL modules



Summary and prospects

- A hybrid-MC framework is well established for ECAL simulation study
- Many benchmarking physics modes are being studied for ECAL Upgrade scenarios
 - ❖ Single photons: $B^0 \rightarrow K^{*0}\gamma$
 - ❖ Electrons: $B^+ \rightarrow K^+ e^+ e^-$, $Z \rightarrow e^+ e^-$
 - ❖ Neutral pions: $D^0 \rightarrow \pi^+ \pi^- \pi^0$, $B_s^0 \rightarrow J/\psi \pi^0$, $B^+ \rightarrow K^+ \pi^0$, $B^0 \rightarrow \pi^+ \pi^- \pi^0$
and more are on the way, e.g. $\chi_{c1} \rightarrow J/\psi \gamma$, $B^+ \rightarrow D^{*0} (\rightarrow D^0 \gamma / \pi^0) K^+$
- ✓ Timing information is necessary and effective to improve the performance
- ✓ It is crucial to optimize the reconstruction algorithm to fully utilize long. segmentation, timing info etc.
- Essential basics for Upgrade Ib study are in place; preparation of FTDR is underway
- There are still a lot to do for ECAL upgrade sim/reco study. You are welcome to join!

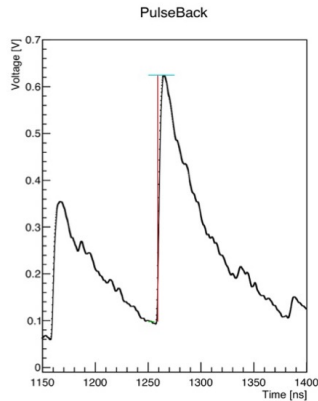
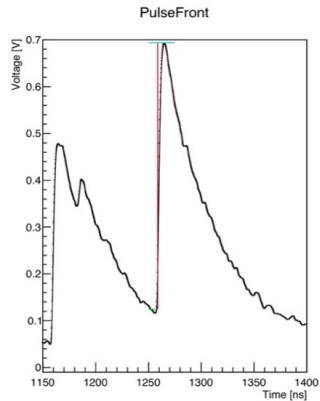
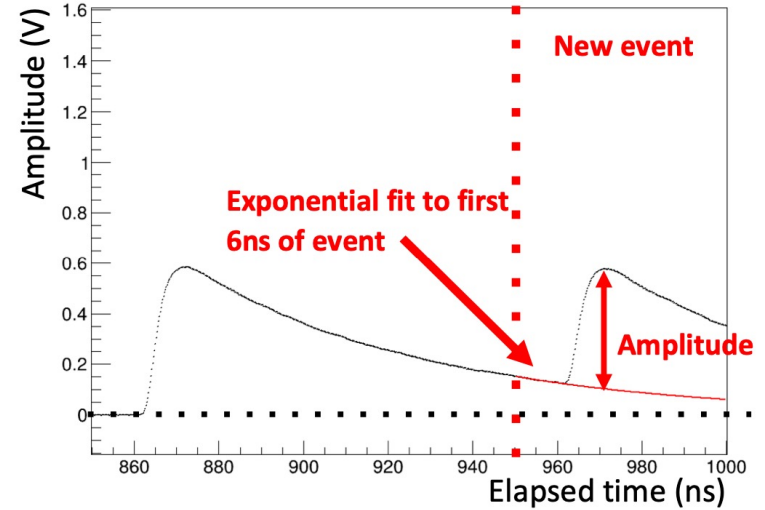
Back up

Pulse shaping techniques

*Loris Martinazzoli, Stefano Perazzini,
Marco Pizzichemi, Vincenzo Vagnoni*

- Time stamp is measured using a CFD technique
- Two pulse shaping techniques:
 - Exponential fit to the baseline used to subtract spillover
 - Cable clipping:

$$V_{out}(t) = \frac{1}{2} (V_{in}(t) - r \cdot V_{in}(t - \delta_t))$$



Clipping →

