



*Eccentric and hyperbolic black hole binaries*

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# Enhancing effective-one-body models for generic planar orbits using numerical information

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**Workshop on Gravitational Wave Modelling**

Institut de Ciències del Cosmos - Universitat de Barcelona

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# Outline

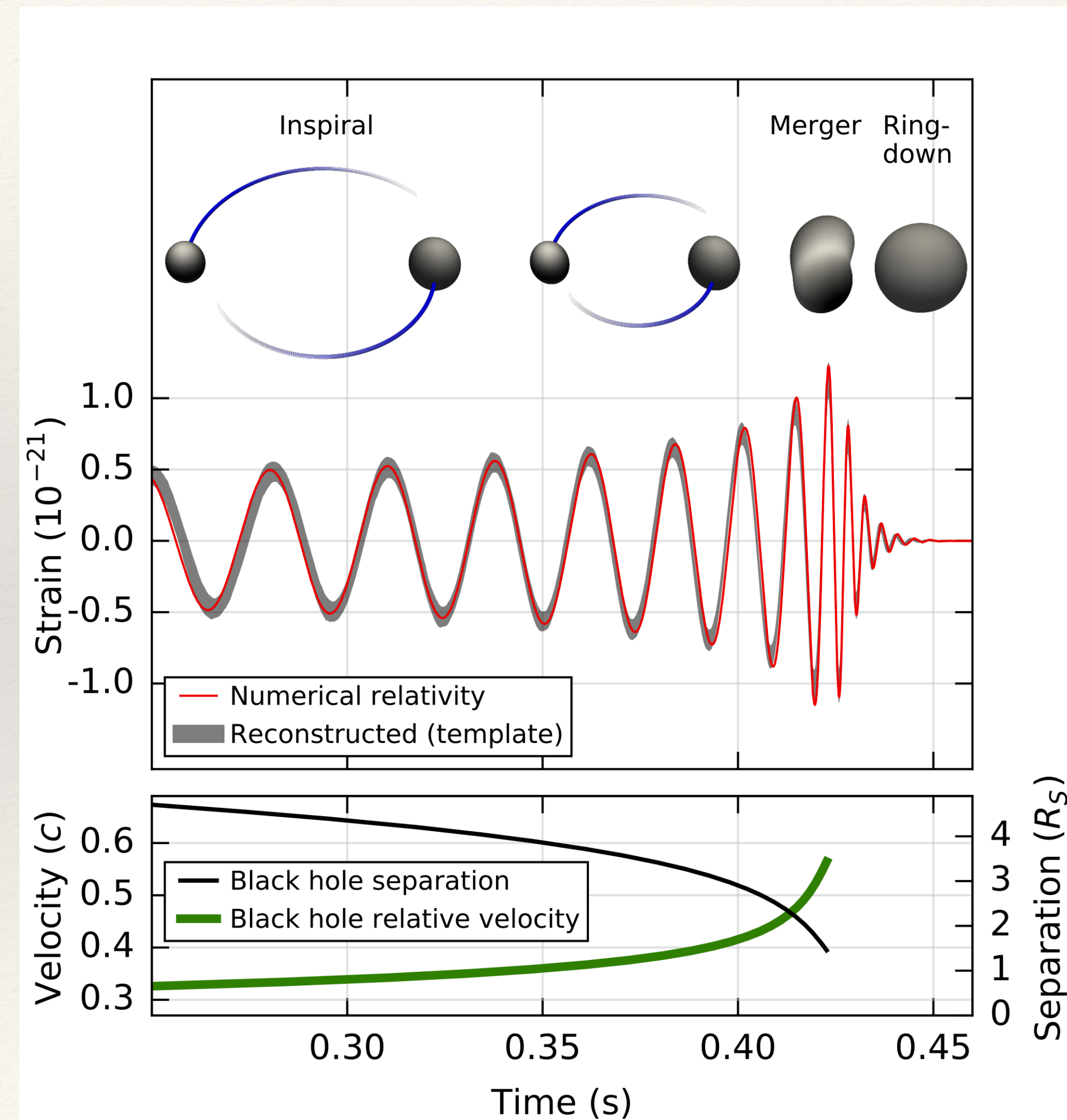
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- ❖ Intro on Effective One-Body (EOB) models
- ❖ Using numerical data from black hole perturbation theory:
  - Ringdown model for eccentric EMRIs
  - NQC for eccentric EMRIs
- ❖ Dynamical captures: test-mass and  $q = 1$  cases
- ❖ Future prospects



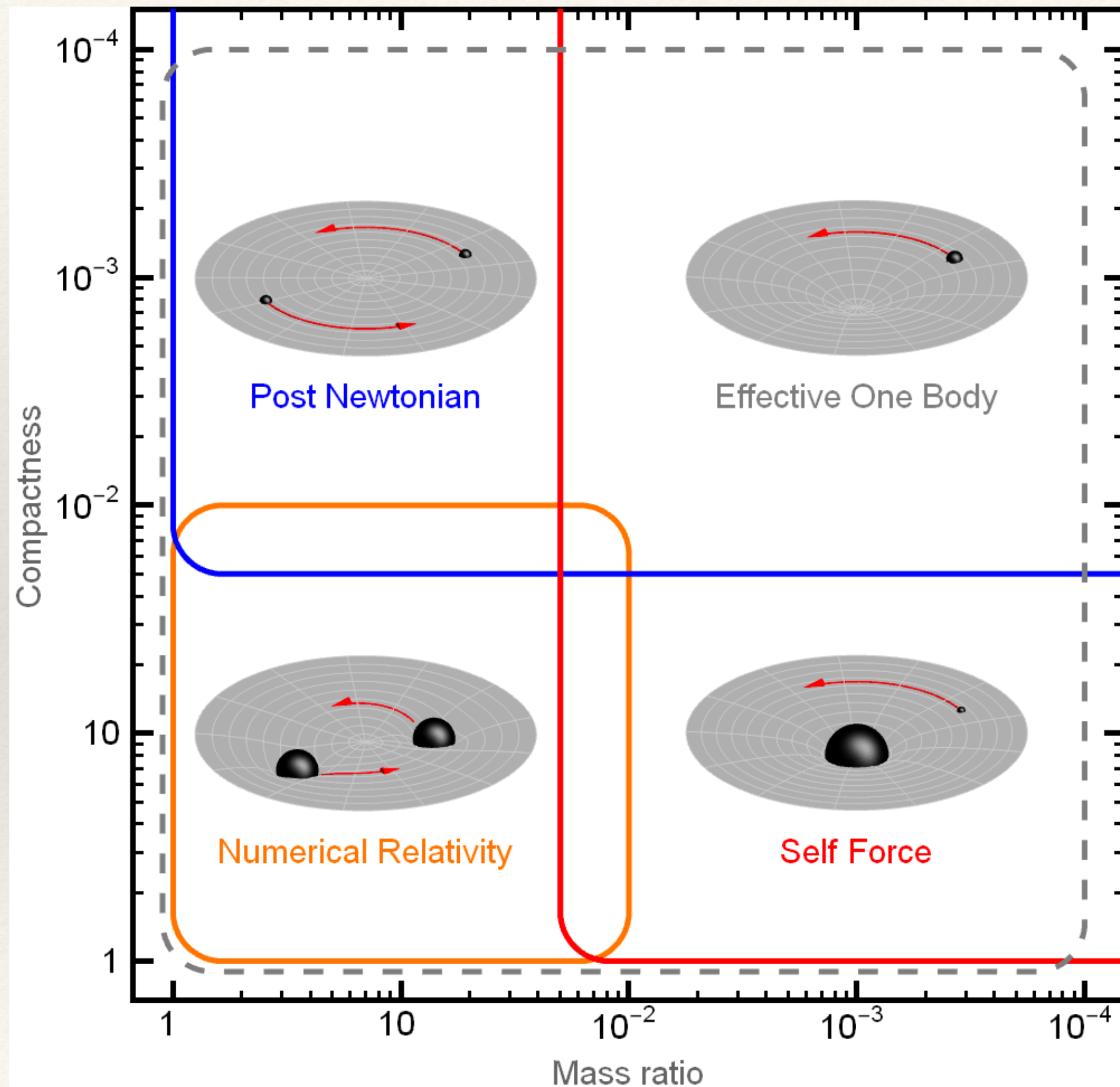
# Gravitational waves

- ❖ Gravitational Waves (GWs) from compact binaries are the only sources, at the moment, for the LVK detectors
- ❖ No exact solutions for black-hole binaries: we must rely on analytical approximations or Numerical Relativity (NR) simulations
- ❖ Stages: inspiral, plunge, merger, ringdown





# Effective one-body model



- ❖ (Semi)-analytical model to describe binaries in GR
- ❖ Basic idea: map the 2-body problem in a 1-body problem, where the body moves in an effective metric. This metric is a continuous  $\nu$ -deformation of a black hole solution, where  $\nu = m_1 m_2 / (m_1 + m_2)^2 \in [0, 1/4]$  is the symmetric mass-ratio
- ❖ The model is based on Post-Newtonian (PN) theory matched with Multipolar Post-Minkowskian (MPM) theory at large separations:
  - PN: expansion of Einstein Field Equations (EFE) for small velocities
  - MPM: expansion of EFE in powers of  $G$
- ❖ Test-mass limit natively included, i.e. setting  $\nu = 0$  everywhere gives geodesic motion in Kerr space-time (rotating black hole)
- ❖ Can be enhanced including information from NR and gravitational self-force (GSF) theory



# TEOBResumS

❖ Model based on three building blocks (each of them known at a certain PN order):

- EOB Hamiltonian: conservative part of the dynamics:

$$\hat{H}_{\text{EOB}} = \frac{1}{\nu} \sqrt{1 + 2\nu (\hat{H}_\nu - 1)} \quad \hat{H}_\nu = \sqrt{A_\nu \left( 1 + \frac{p_\phi^2}{r_c^2} \right) + p_{r*}^2 + Q_\nu + H_{\text{SO}}} \quad A_\nu = A_\nu^{\text{orb}} \frac{1 + 2/r_c}{1 + 2/r}$$

- Radiation reaction: non-conservative part of the dynamics, i.e. back-reaction of GW-emission:

$$\hat{F}_\phi = -\frac{32}{5} \nu r_\Omega^4 \Omega^5 \hat{f}_{\text{nc}_{22}} \quad F_r = \frac{32}{3} \nu \frac{p_{r*}}{r^4} P_2^0[\hat{f}_r^{2\text{PN}}]$$

where  $\hat{f}_{\text{nc}_{22}}$  contains contributions from the higher modes, PN circular corrections,  $\hat{h}_{\ell m}^{(N,\epsilon)_{\text{nc}}}$

- Prescription to compute the waveform at infinity from the dynamics:

$$h_+ - ih_\times = D_L^{-1} \sum_{\ell=2}^{\infty} \sum_{m=-\ell}^{\ell} h_{\ell m} {}_{-2}Y_{\ell m}(\theta, \phi) \quad h_{\ell m} = h_{\ell m}^{(N,\epsilon)_c} \hat{h}_{\ell m}^{(N,\epsilon)_{\text{nc}}} \hat{S}_{\text{eff}}^{(\epsilon)} T_{\ell m} e^{i\delta_{\ell m}} (\rho_{\ell m})^\ell \hat{h}_{\ell m}^{(2\text{PN},\epsilon)_{\text{nc}}}$$



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**noncircular terms**

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# Informing EOB models with NR

- ❖ Purely analytical EOB models cannot describe plunge accurately. Moreover, NR data and BH-perturbation theory results are needed to model the merger-ringdown.
- ❖ NR information included in EOB models:
  - Correct nonspinning sector adding free coefficients in PN expression that are then fitted on NR data
  - Next-to-Quasi-Circular (NQC) corrections for the plunge waveform: arbitrary basis of radius-derivative-like variables, coefficients extracted from NR → improves plunge
  - Ringdown model: based on Quasi-Normal-Modes (QNM) + fits on NR
- ❖ **EOB-NR models can describe the complete evolution of compact binaries**

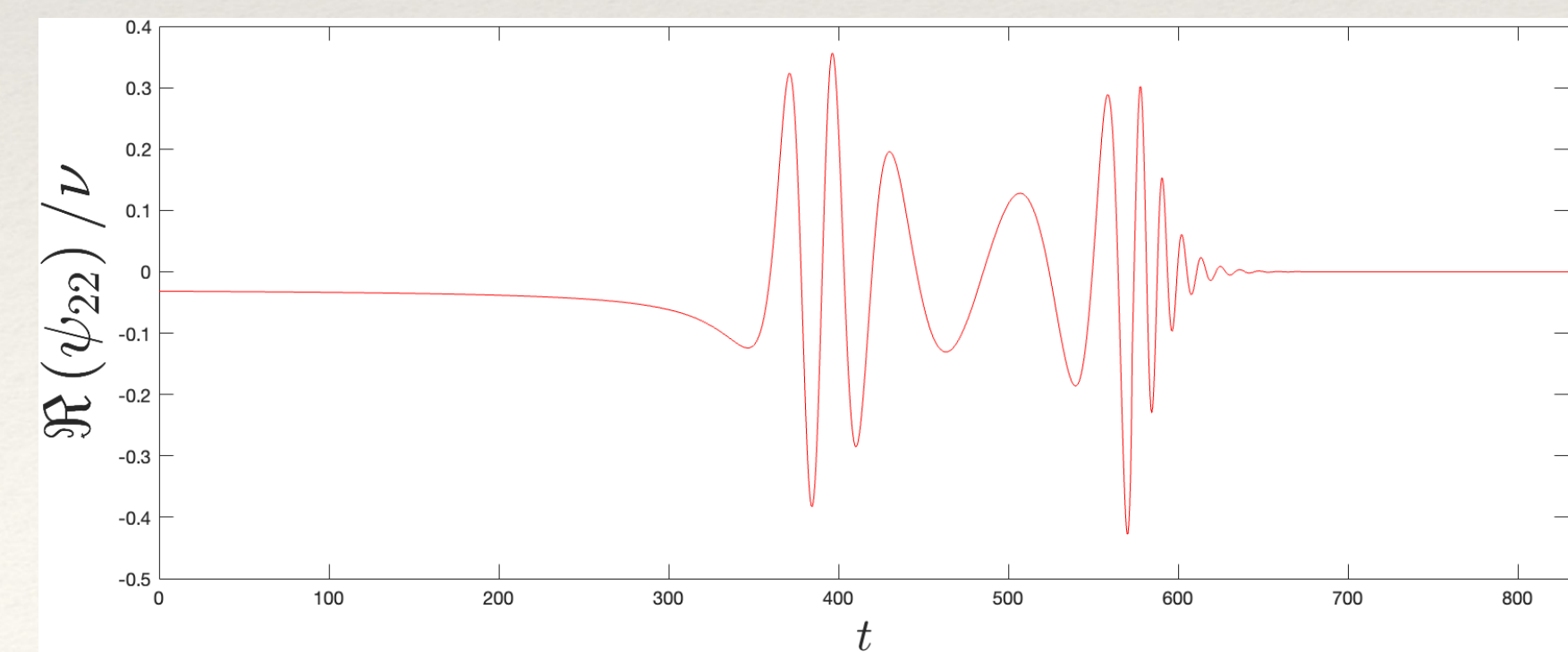
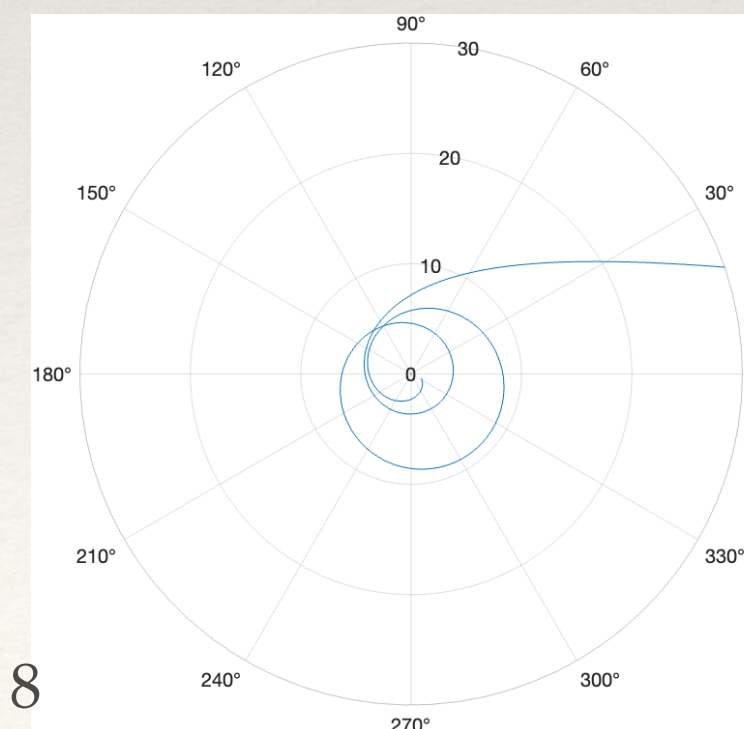
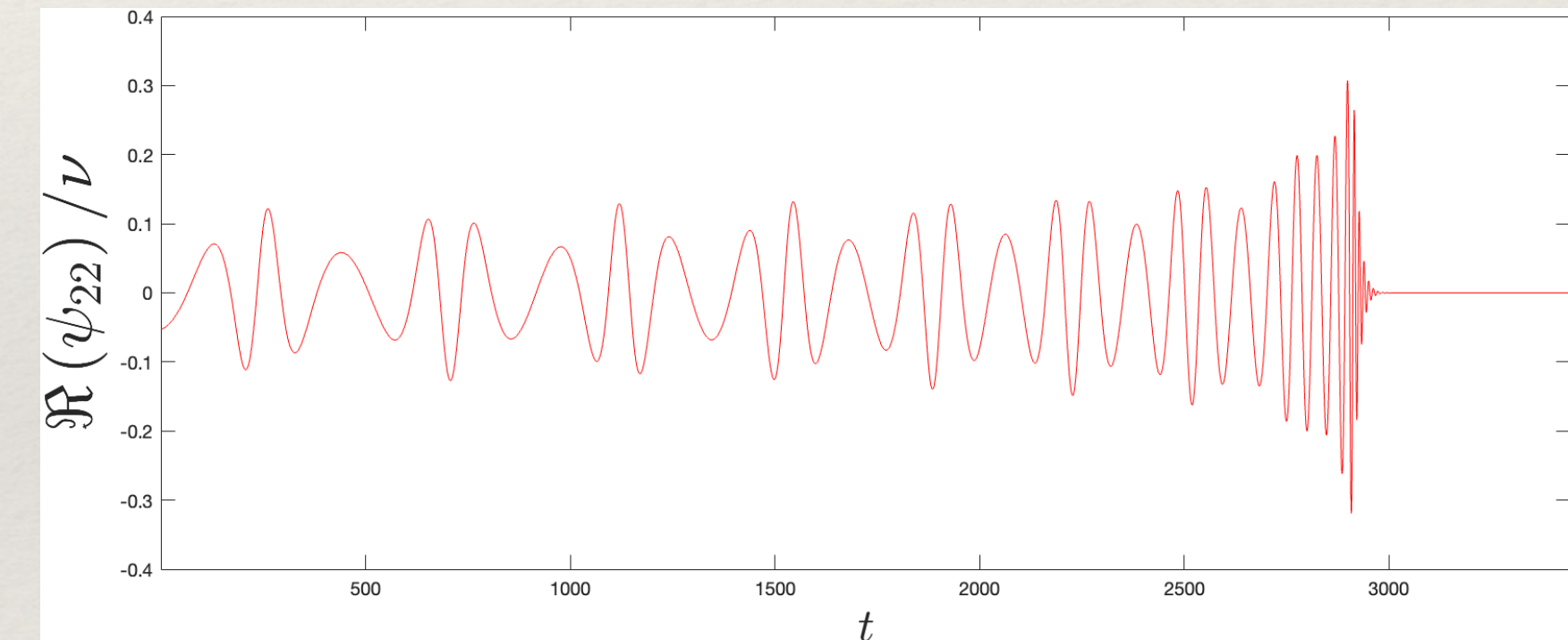
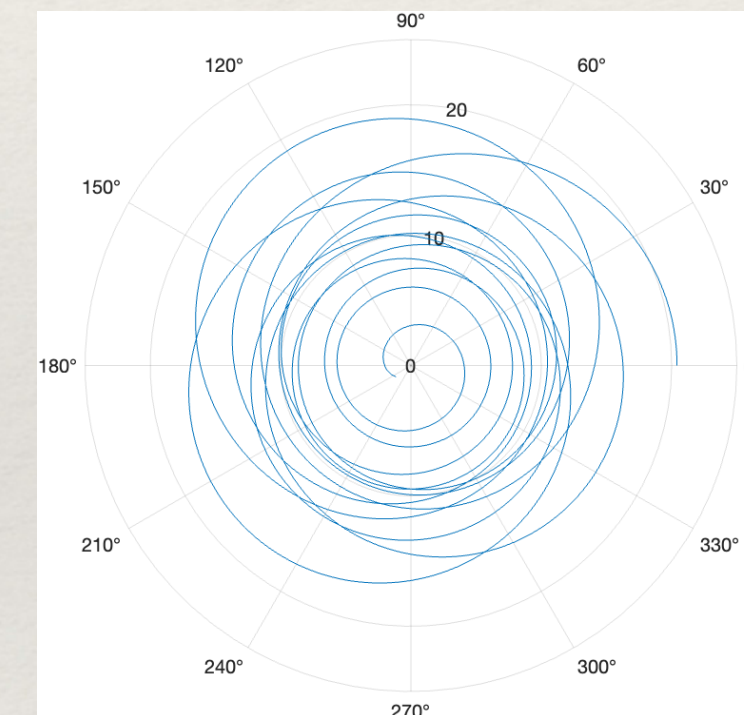
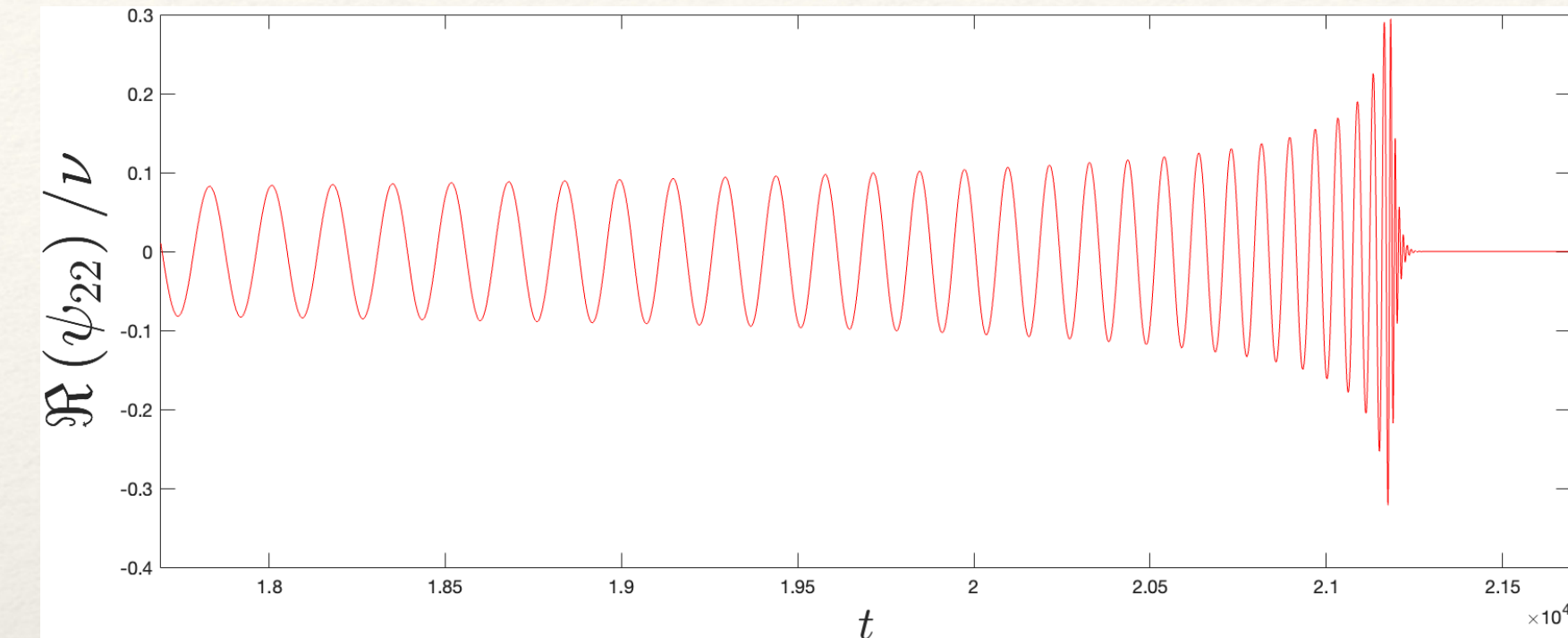
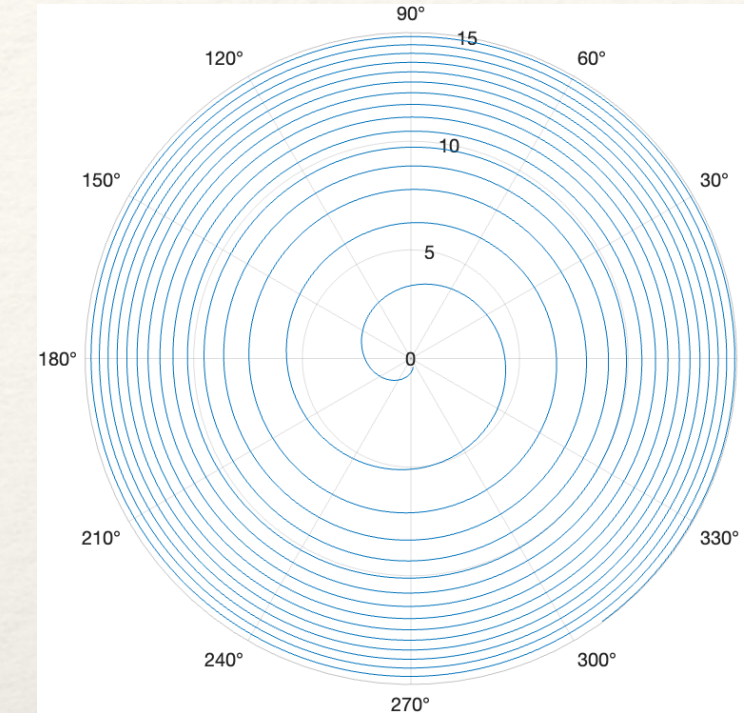
$$h_{\ell m}^{\text{EOB}} = \Theta(t_{\text{mrg}} - t) h_{\ell m}^{\text{inspiral}} \hat{h}_{\ell m}^{\text{NQC}} + \Theta(t - t_{\text{mrg}}) h_{\ell m}^{\text{rng}}$$

$t_{\text{mrg}}$  :  $A_{22}$ -peak time



# Nonspinning equal-mass examples from TEOBResumS

- ❖ Quadrupolar waveform in the quasi-circular case
- ❖ Quadrupolar waveform in an eccentric case
- ❖ Quadrupolar waveform for a dynamical capture



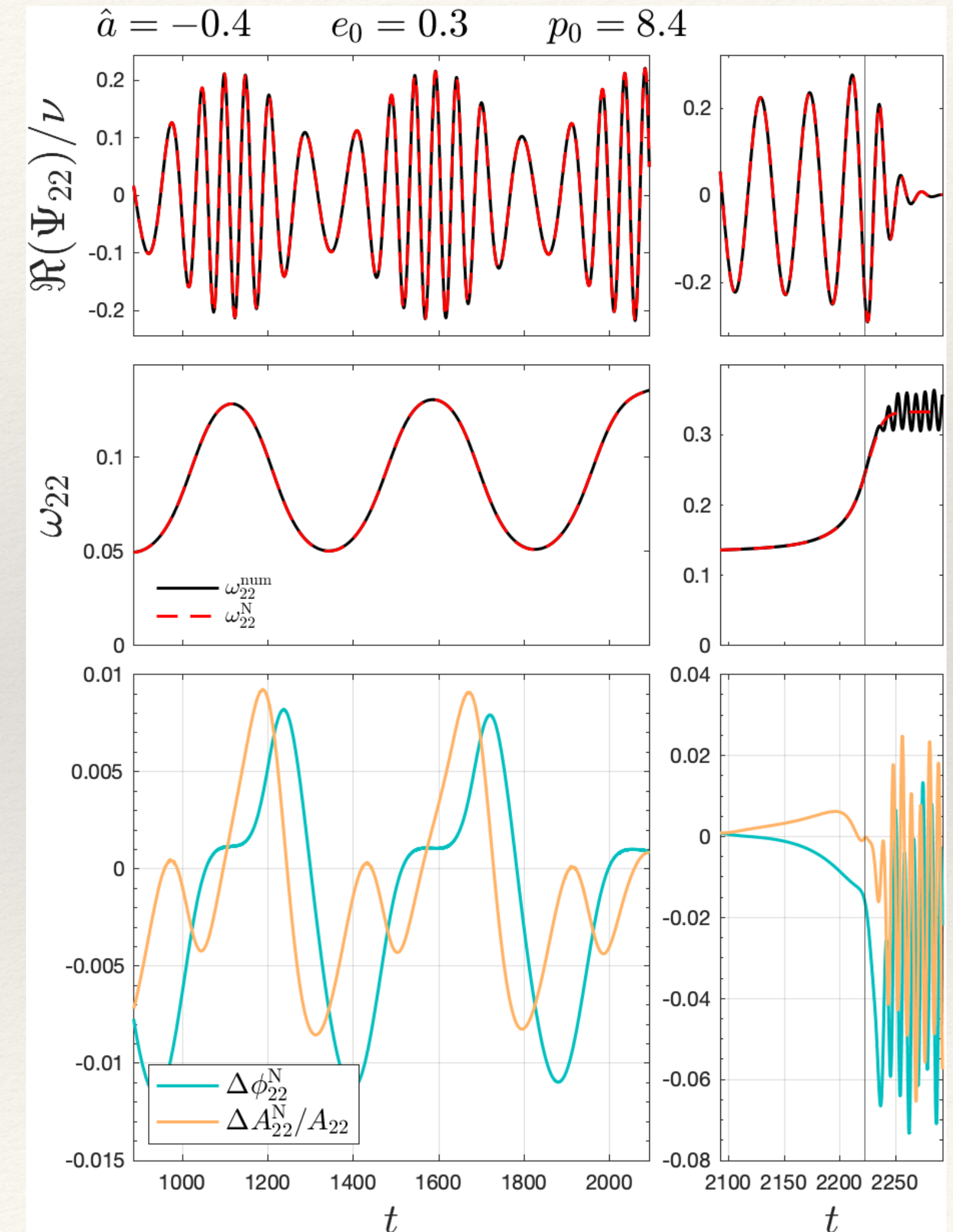


# Test-mass limit

- ❖ Setting  $\nu = 0$  in the Hamiltonian we recover motion in Kerr ( $|\hat{a}| < 1$  is the dimensionless spin parameter)

$$\hat{H}_{\text{Kerr}}^{\text{eq}} = \sqrt{A \left( 1 + \frac{p_\phi^2}{r_c^2} \right) + p_{r_*}^2} + \frac{2\hat{a}p_\phi}{rr_c^2}$$

- ❖ Why should we bother with the test-mass limit?
  - Can be used to describe Extreme Mass Ratio Inspirals (EMRIs) that will be probably detected by LISA. However, for the accurate description the  $\nu$ -terms in the conservative dynamics cannot be neglected, see e.g. Nagar-Albanesi:2207.14002
  - Useful to test EOB-prescriptions since the EOB dynamics can be used to compute numerical waveforms/fluxes. The dynamics is needed in the source term of the Teukolsky equation (linear perturbation of Kerr spacetime)





# Ringdown model: basic idea

- ❖  $h_{\ell m}^{\text{EOB}} = \Theta(t_{\text{mrg}} - t) h_{\ell m}^{\text{inspiral}} \hat{h}_{\ell m}^{\text{NQC}} + \Theta(t - t_{\text{mrg}}) \boxed{h_{\ell m}^{\text{rng}}}$

- ❖ In principle described by Quasi-Normal-Mode frequencies

$$h_{\ell m}^{\text{rng}} = \sum_n c_n e^{-\sigma_{\ell m}^n \tau}, \text{ where } \sigma_{\ell m}^n = \alpha_{\ell m}^n + i\omega_{\ell m}^n \text{ and } \tau = (t - t_{\text{mrg}})/\alpha_{22}^1$$

- ❖ Problem: time-dependent coefficients  $c_n \equiv c_n(\tau)$  are needed to accurately describe the ringdown
- ❖ We rescale the waveform with the fundamental QNM-frequency and write the rescaled-signal using amplitude and phase templates

$$\bar{h}(\tau) = e^{\omega_1 \tau + i\phi_{\ell m}^{\text{mrg}}} \boxed{h_{\ell m}^{\text{rng}}(\tau)} = A_{\bar{h}}(\tau) e^{\phi_{\bar{h}}(\tau)}$$

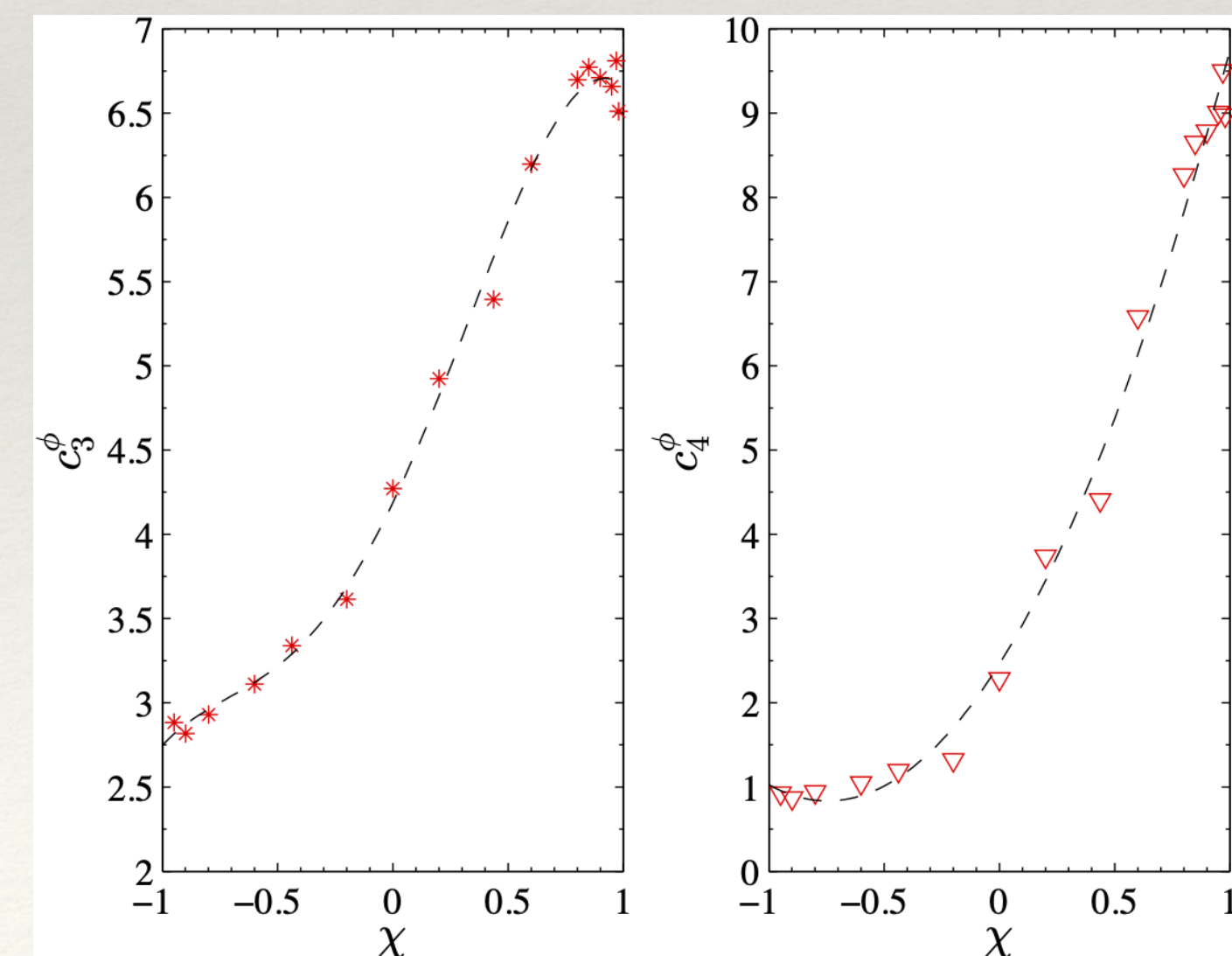
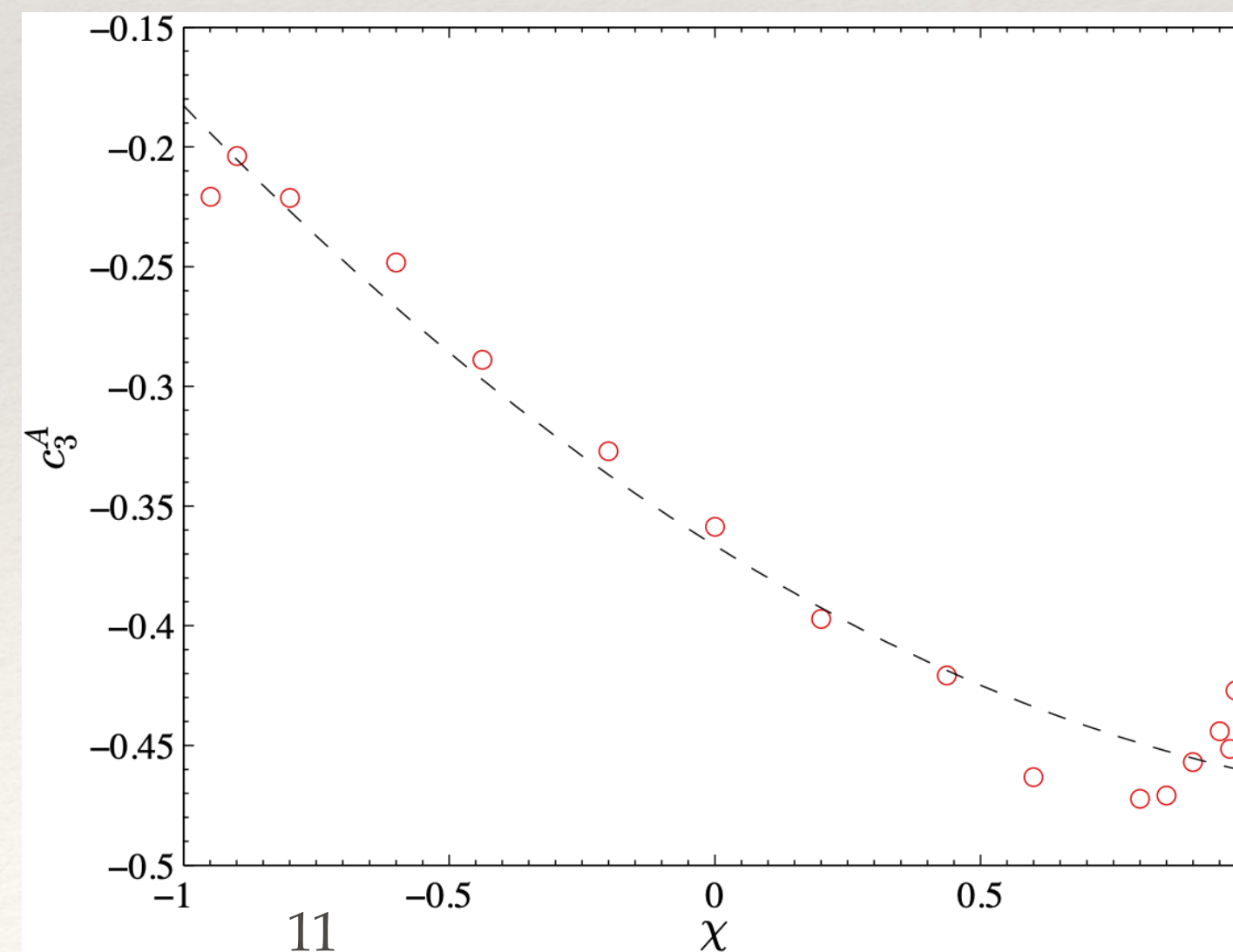
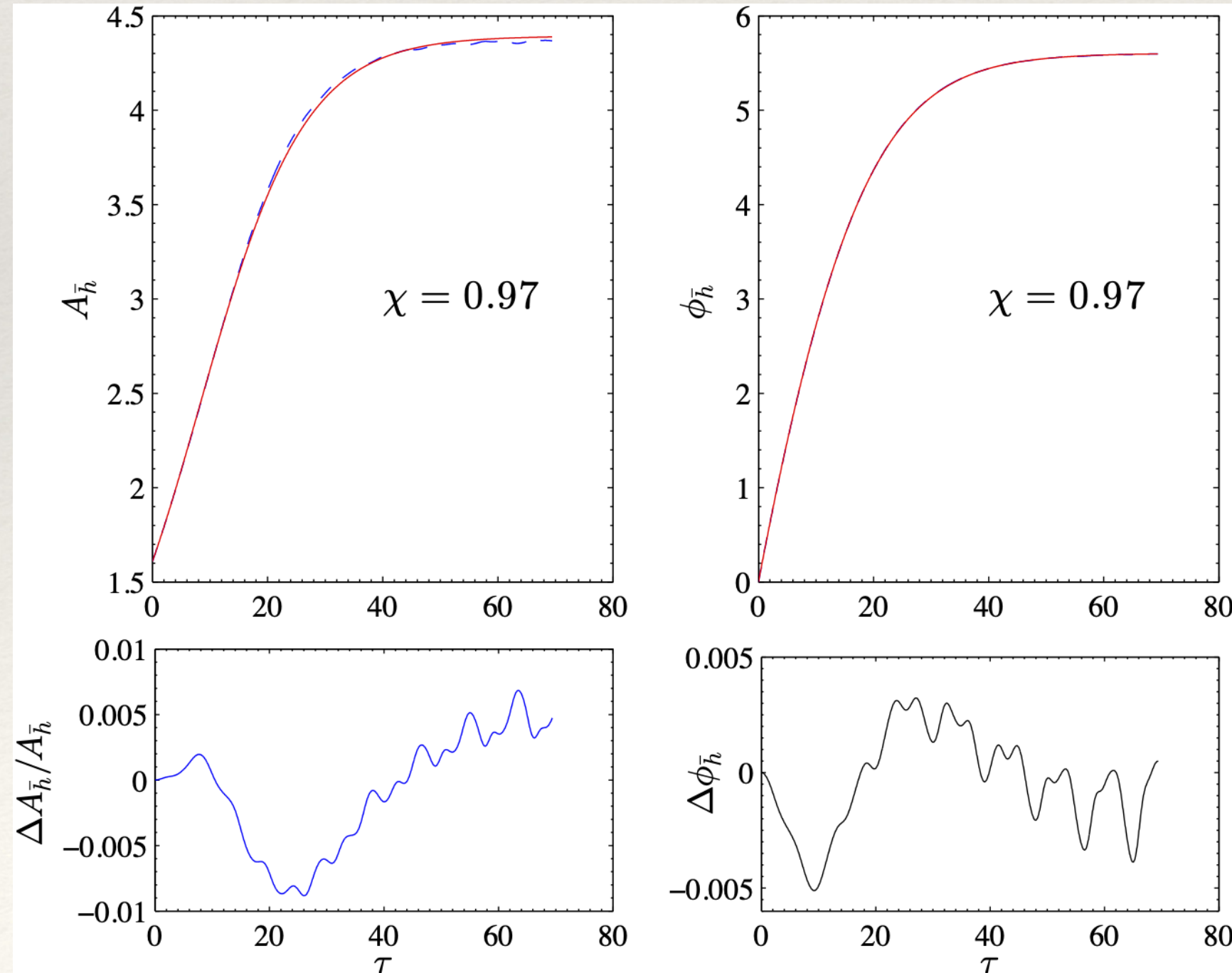
- ❖ The templates  $A_{\bar{h}}$  and  $\phi_{\bar{h}}$  depend on some parameters extracted fitting numerical waveform: **primary fits**
- ❖ We repeat the procedure for many waveforms trying to cover the parameter space (e.g. for quasi-circular binaries the parameter space could be the effective spin and the symmetric mass ratio)
- ❖ We perform **global fits** of the quantities that we need on the parameter space so that we have an analytical representation of the ringdown for all the possible configurations



# Ringdown model: QC example

- ❖ Quasi-circular equal mass binaries, results taken from Damour-Nagar:1406.0401
- ❖ Templates:  $A_{\bar{h}}(\tau) = c_1^A \tanh(c_2^A \tau + c_3^A) + c_4^A$  and  $\phi_{\bar{h}}(\tau) = -c_1^\phi \ln \left[ (1 + c_3^\phi e^{-c_2^\phi \tau} + c_4^\phi e^{-2c_2^\phi \tau}) / (1 + c_3^\phi + c_4^\phi) \right]$
- ❖ Some parameters constrained by continuity:  $c_2^A, c_1^A, c_4^A, c_2^\phi, c_1^\phi$  written in terms of QNM-frequencies (fundamental and first overtone),  $M_{BH}, A_{22}^{\text{mrg}}, \omega_{22}^{\text{mrg}}$
- ❖ 1D parameter space: effective-spin

- ❖ We need global fits for the coefficients  $c_3^A, c_3^\phi, c_4^\phi$  and the NR quantities  $A_{22}^{\text{mrg}}, \omega_{22}^{\text{mrg}}$

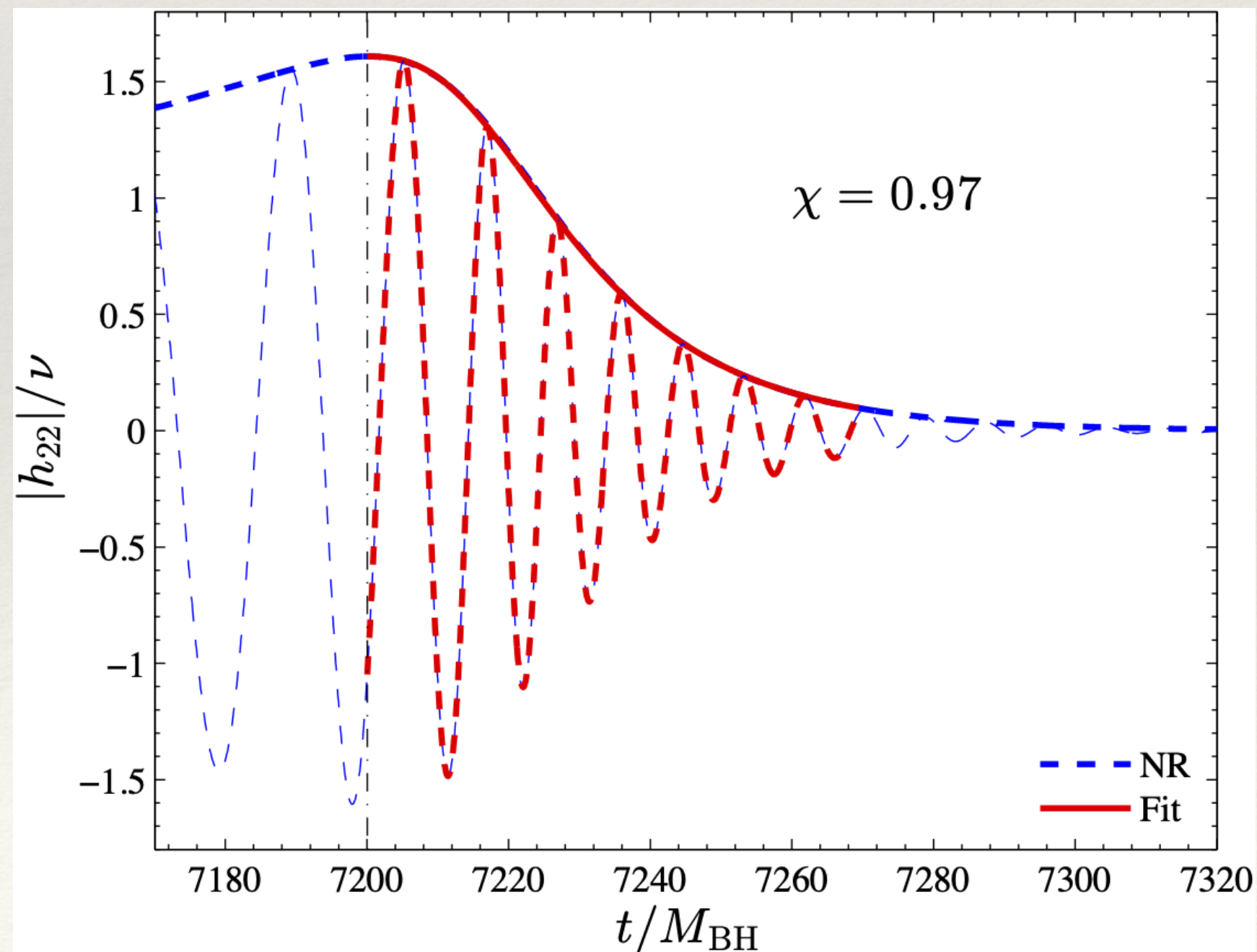


here  $\tau$  is  
 $(t - t_{\text{mrg}})/M_{BH}$

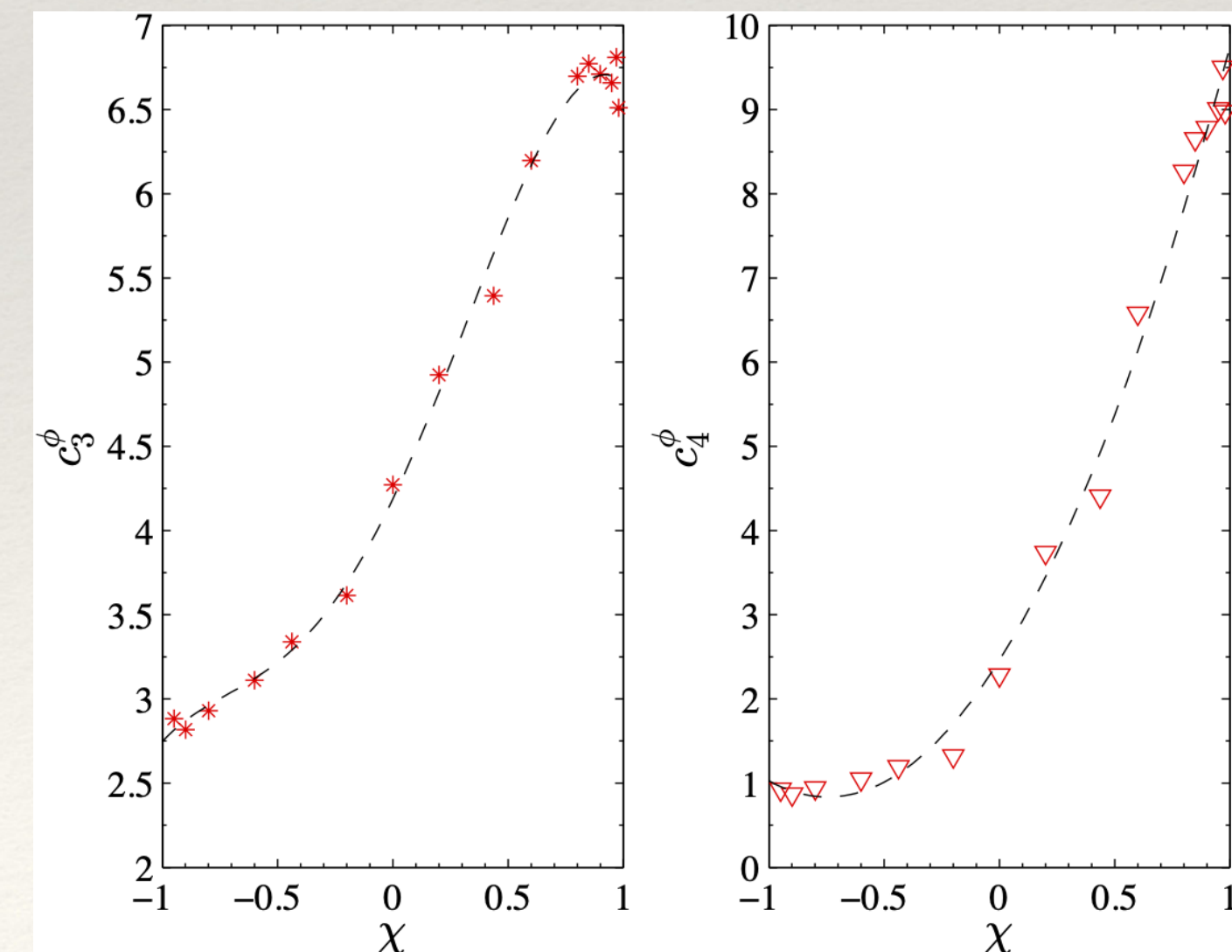
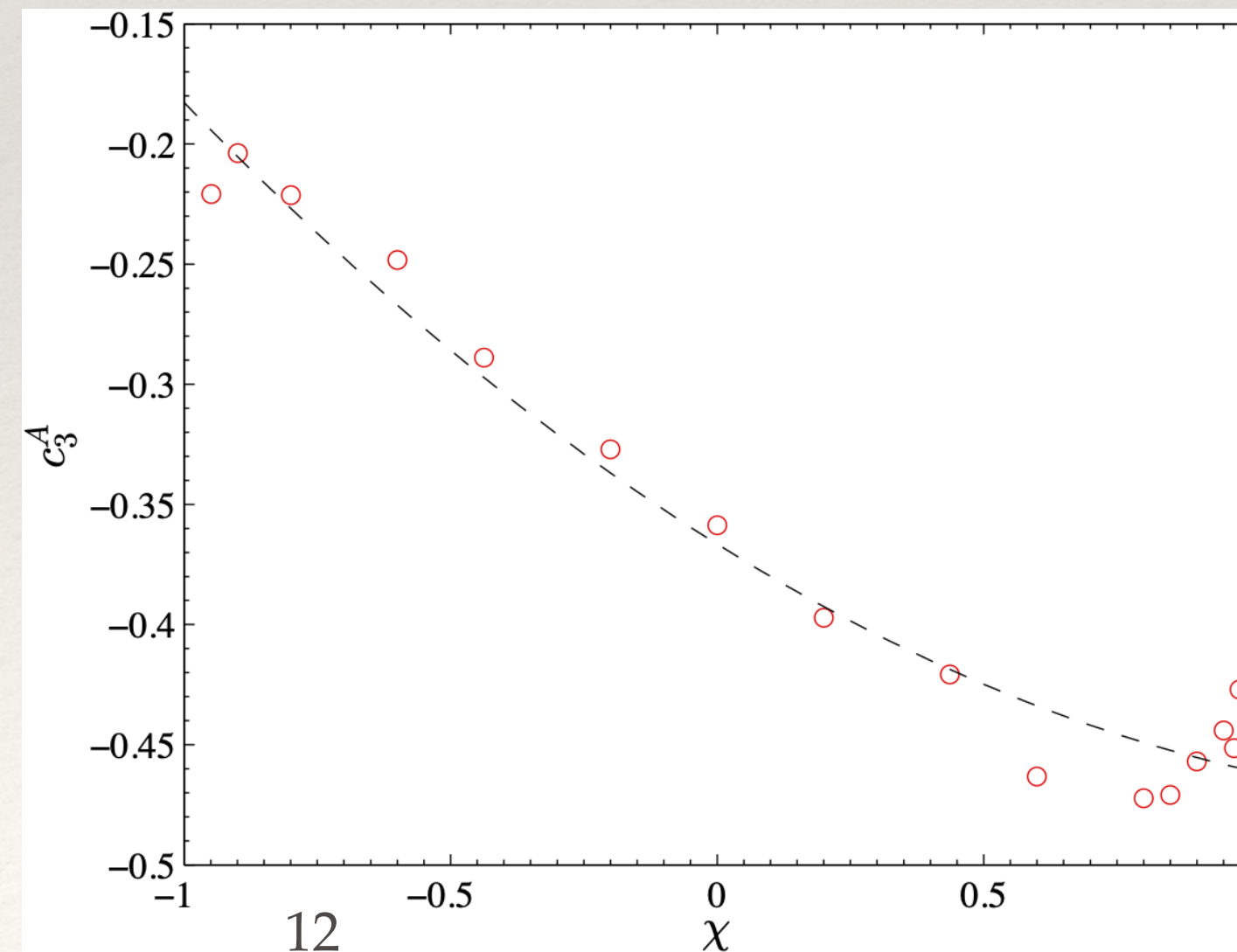


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# Ringdown model: eccentric, $q \rightarrow \infty$ case

- ❖ Problem: extend the model to noncircularized binaries
- ❖ Start from the planar test-mass case, i.e. plunges in the equatorial plane of Kerr black holes.

- ❖ New amplitude template:

$$A_{\bar{h}}(\tau) = \left[ c_1^A / \left( 1 + e^{-c_2^A \tau + c_3^A} \right) + c_4^A \right]^{1/c_5^A}$$

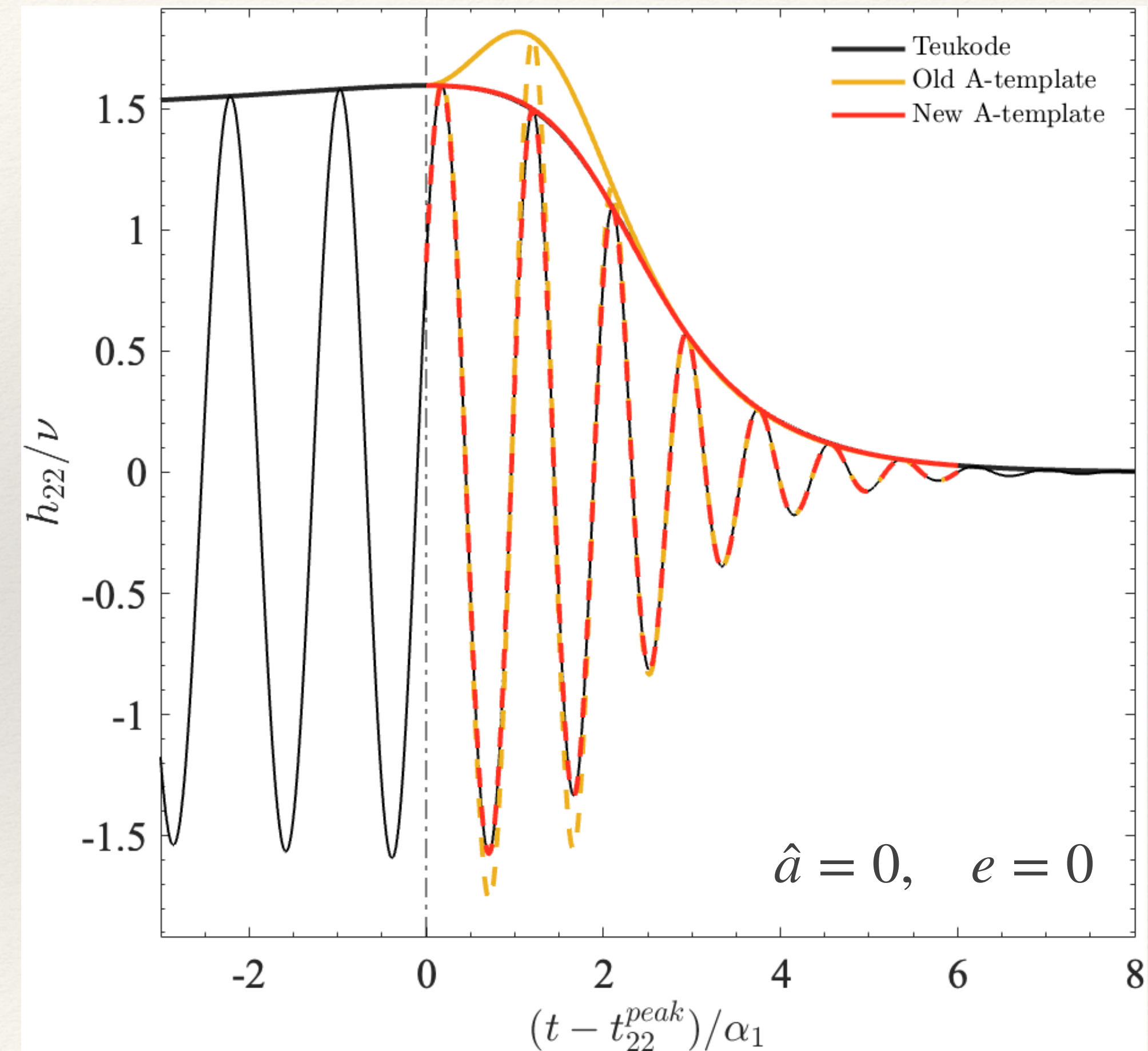
- ❖ Same phase template:

$$\phi_{\bar{h}}(\tau) = -c_1^\phi \ln \left[ (1 + c_3^\phi e^{-c_2^\phi \tau} + c_4^\phi e^{-2c_2^\phi \tau}) / (1 + c_3^\phi + c_4^\phi) \right]$$

- ❖ We need global fits of 7 quantities (+ QNMs):

$$c_2^A, c_3^A, c_1^\phi, c_2^\phi, A_{\text{mrg}}, \ddot{A}_{\text{mrg}}, \omega_{\text{mrg}}$$

- ❖ 2D parameter space: Kerr-spin, a parameter that characterize non-circularity at the end of the evolution





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# Eccentricity

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- ❖ Not gauge-invariant: choose the definition you like most!
- ❖ Our definition (used in TEOBResumS):

$$e = \frac{r_+ - r_-}{r_+ + r_-}, \quad p = \frac{2r_+r_-}{r_+ + r_-}$$

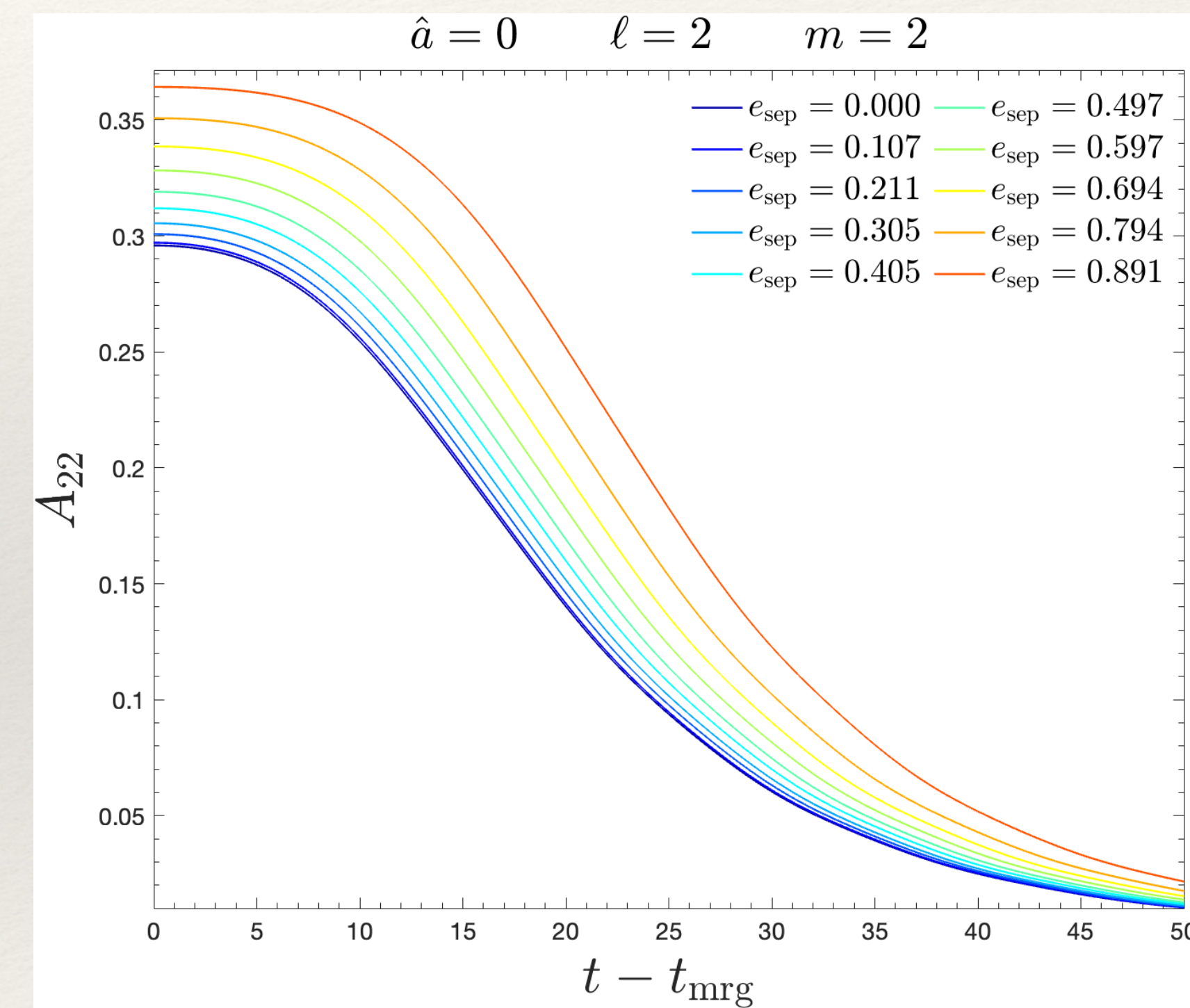
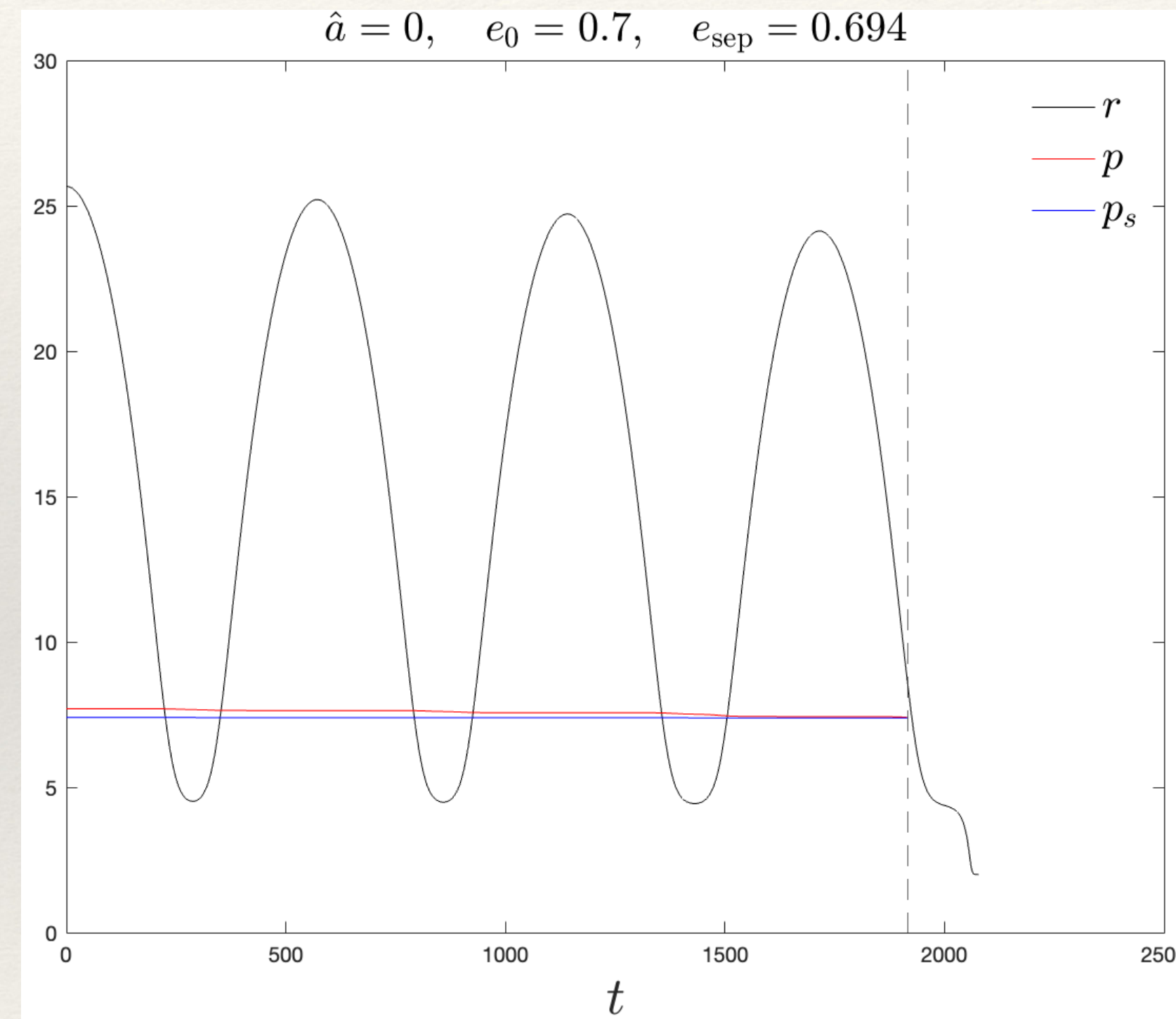
where  $r_+$  and  $r_-$  are the apastron and the periastron. We found them from the energy equation  $\hat{E} = \hat{H}_{\text{EOB}}|_{p_{r*}=0}$

- ❖ Not defined through the whole evolution because at some point the radial turning points are no longer defined
- ❖ Cannot be used for unbound orbits and dynamical captures



# Ringdown model: eccentric, $q \rightarrow \infty$ case

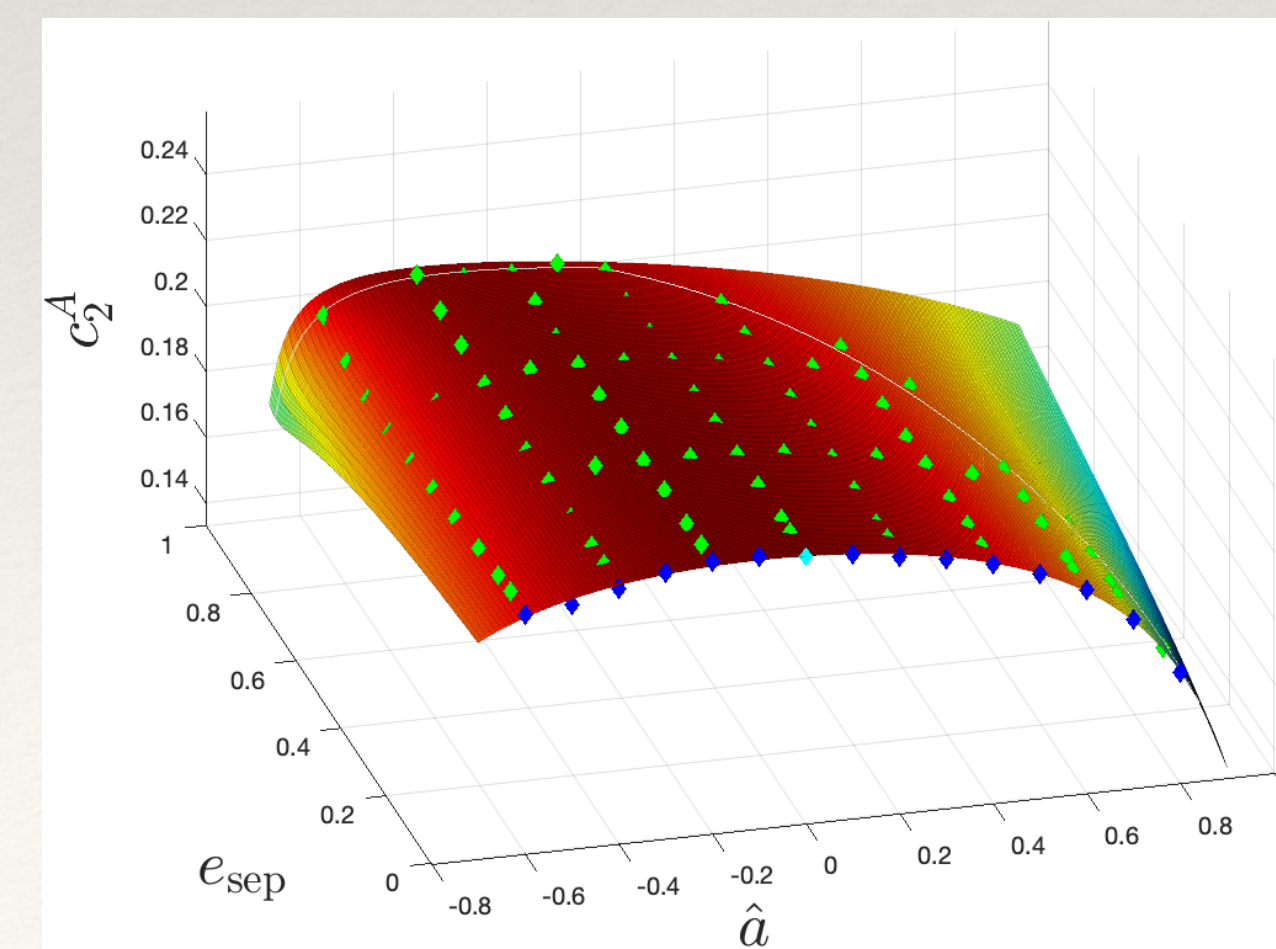
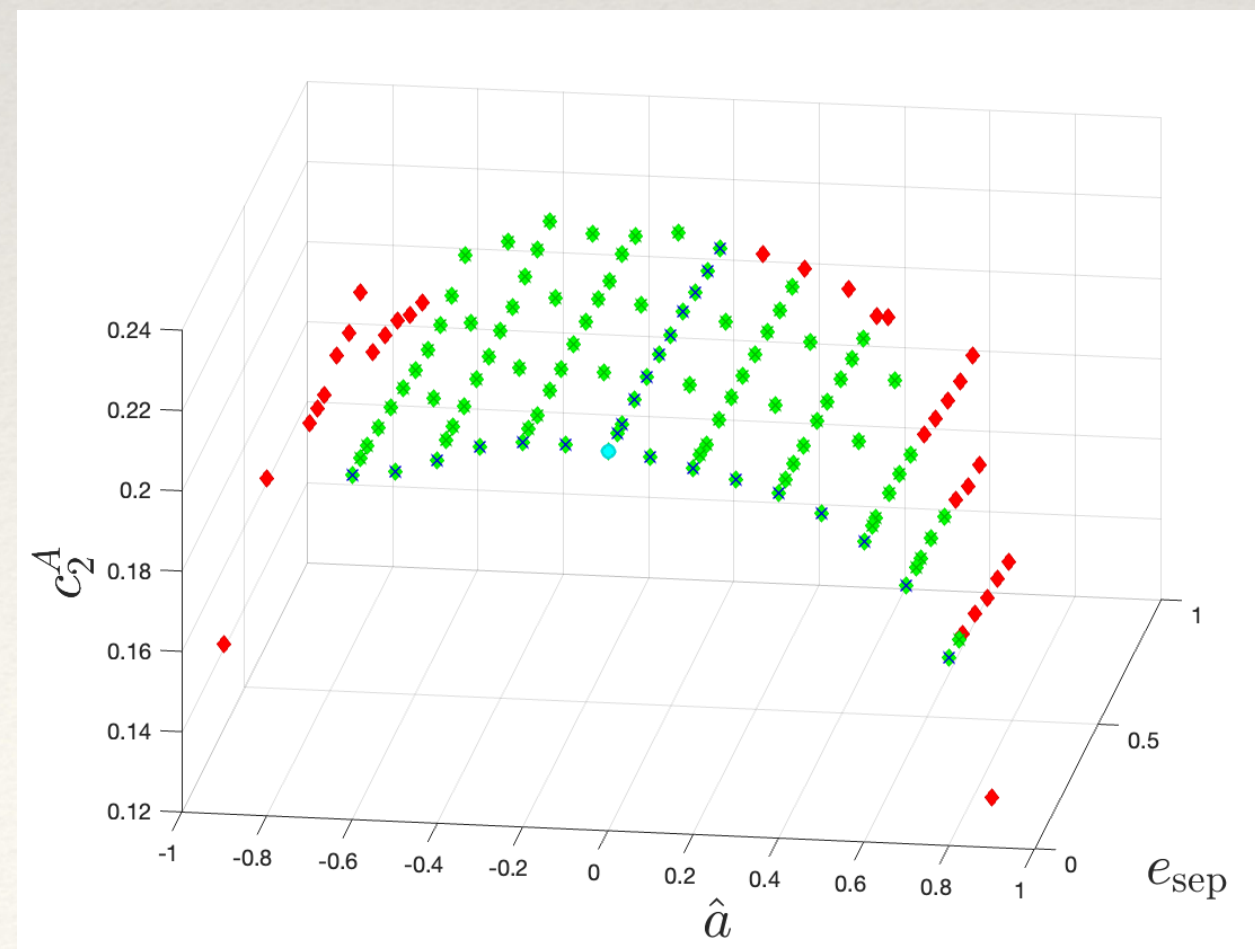
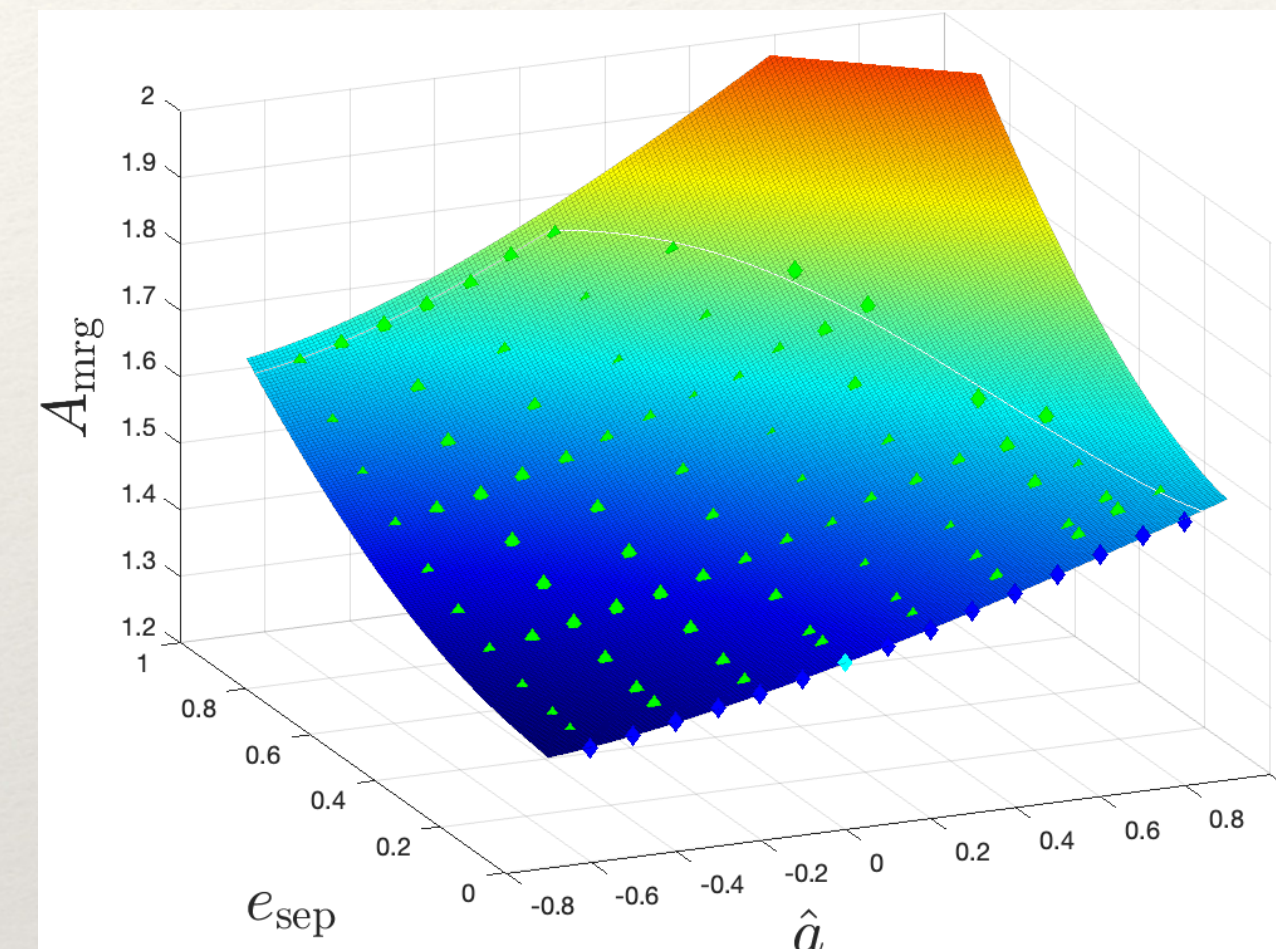
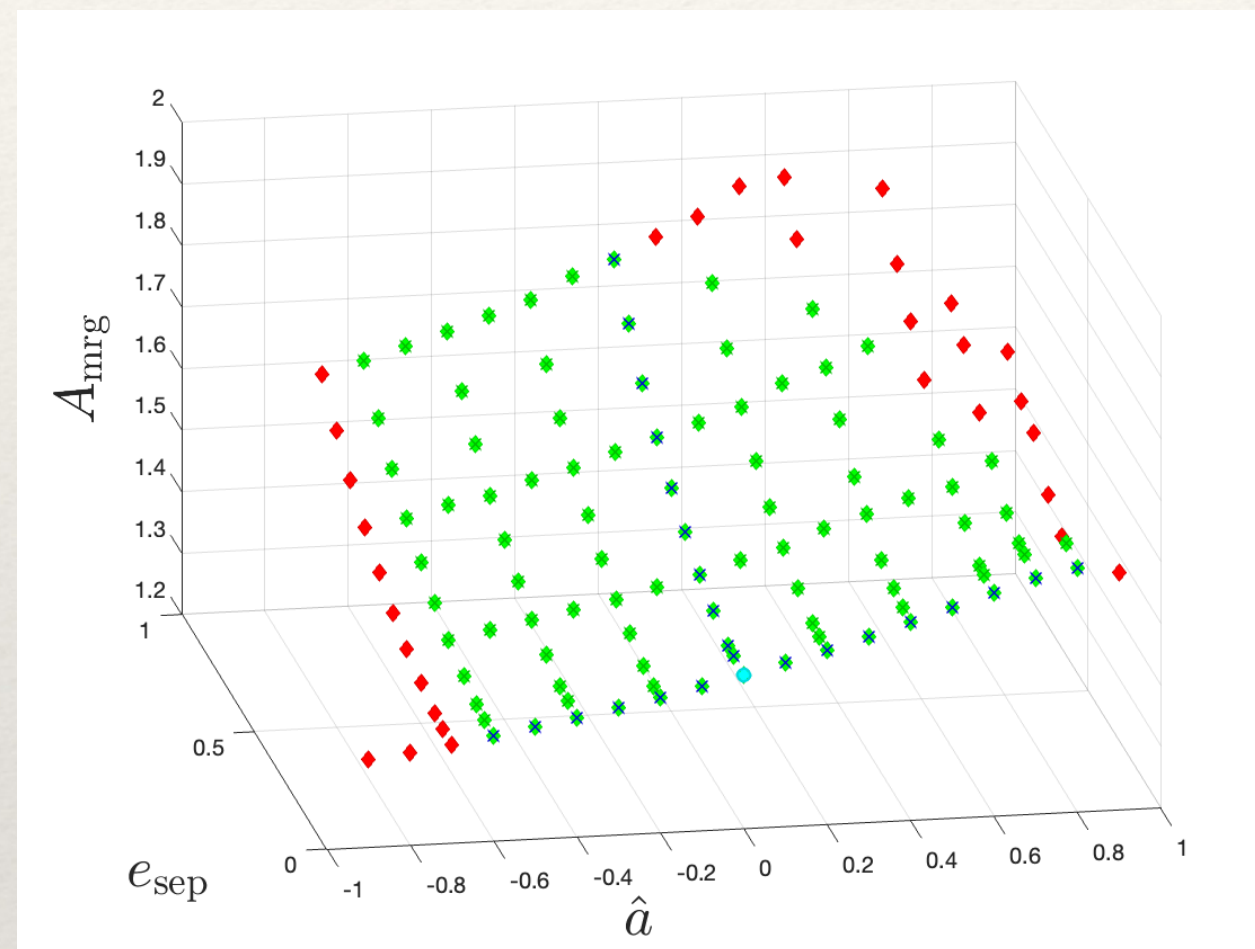
- ❖ Parameter to characterize non-circularity ‘near’ merger: eccentricity  $e_{\text{sep}}$  when the semilatus rectum  $p$  crosses the separatrix  $p_s$  (eccentric generalisation of last-stable-orbit), i.e. when the motion stops being bound and eccentricity is no longer defined
- ❖ Higher values of eccentricity produce higher amplitude at merger





# Ringdown model: eccentric, $q \rightarrow \infty$ case

- ❖  $e_{\text{sep}}$  is not optimal, but works. Example of 2D fits in the  $(\hat{a}, e_{\text{sep}})$ -plane. Note that **not** all the points are considered in the global fits (**red** points are excluded), i.e. model not reliable if both high Kerr-spin and high eccentricity





# Ringdown model: eccentric, $q \rightarrow \infty$ case

❖ How do we know the merger time when computing the EOB waveform from the dynamics?

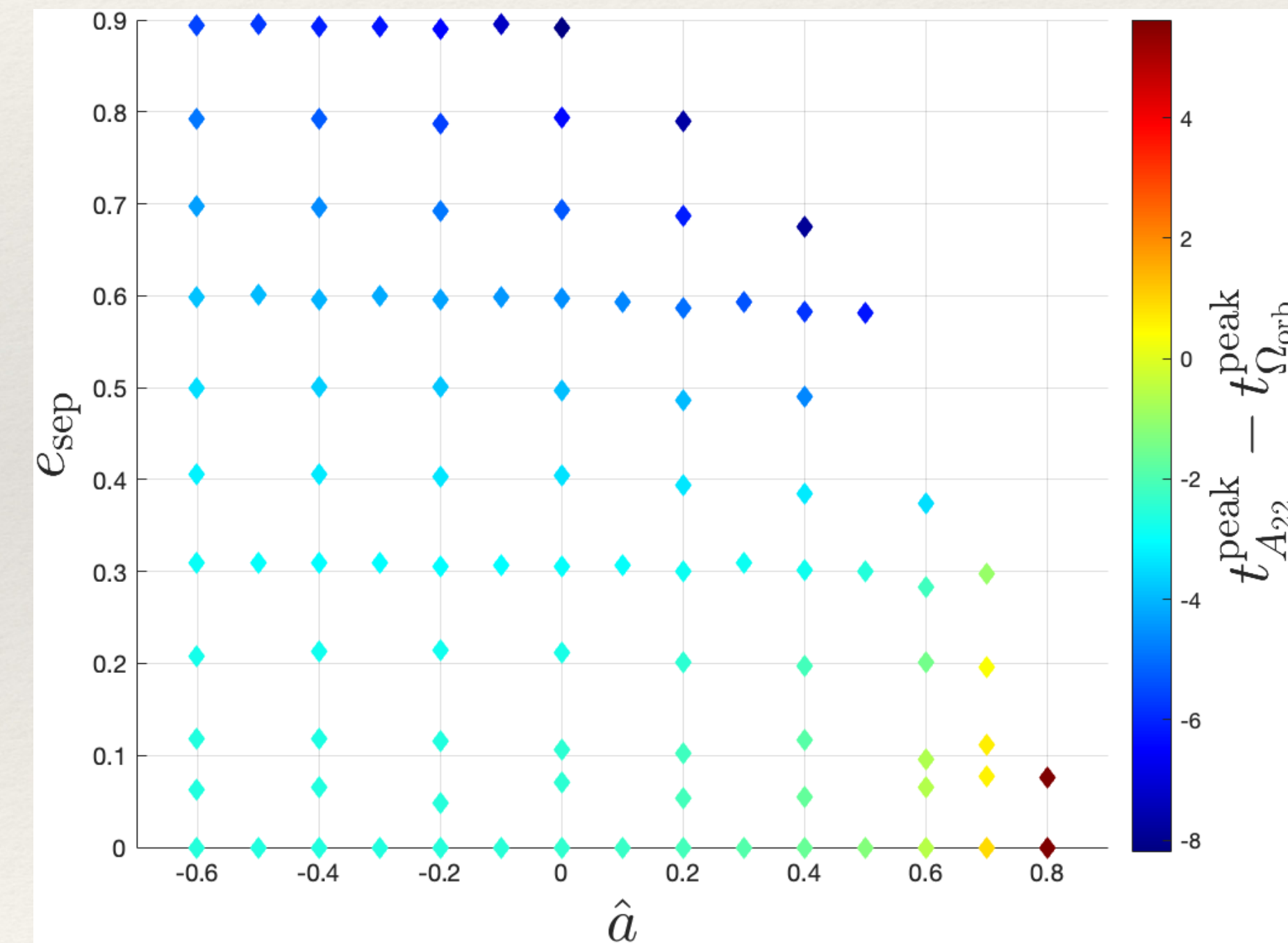
❖ In the quasi-circular case a rule of thumb that works is

$$t_{\text{mrg}} = t_{\Omega_{\text{orb}}}^{\text{peak}} - 3$$

where on the RHS there is the peak of the orbital frequency

❖ In the noncircular case this is not very accurate

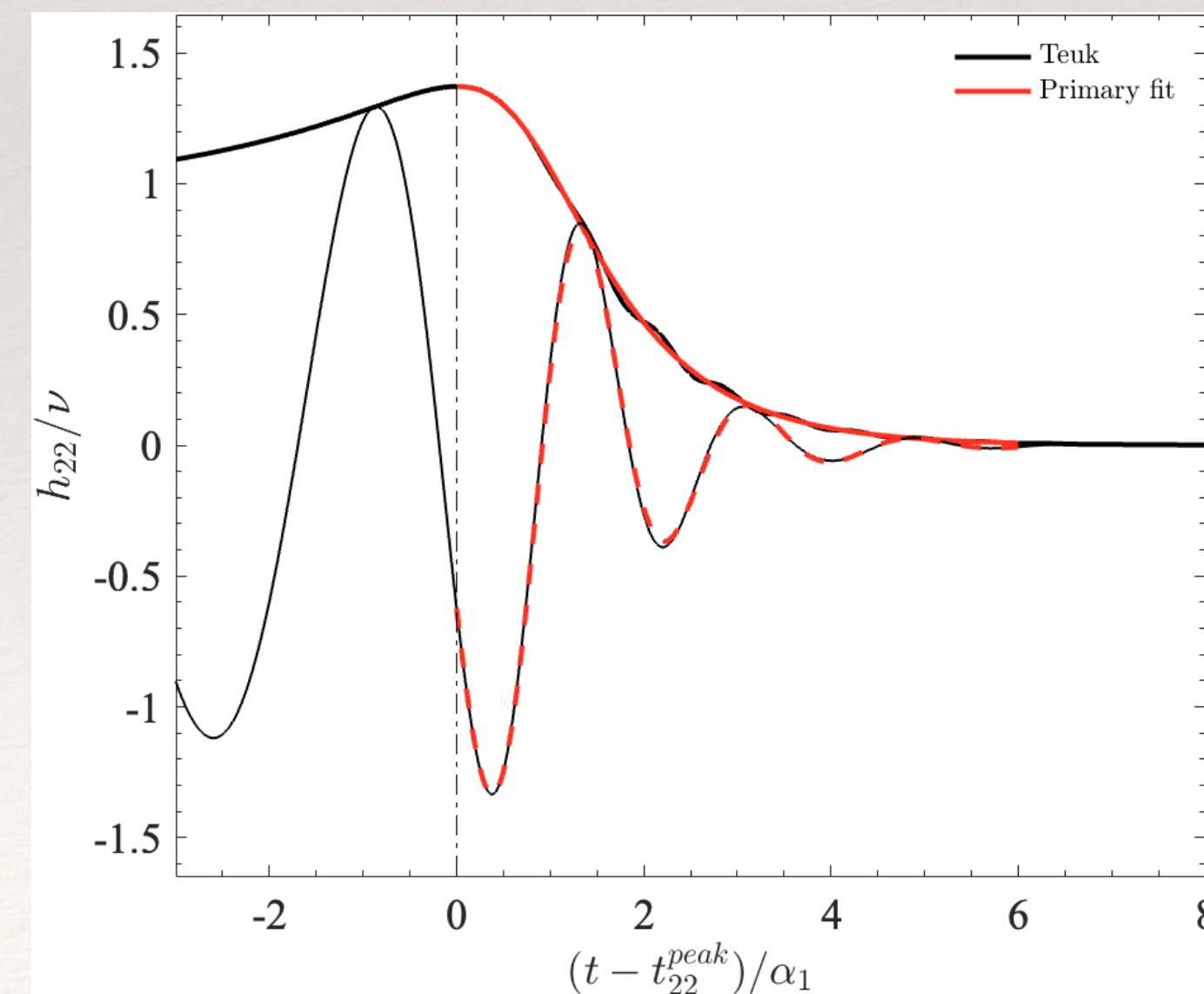
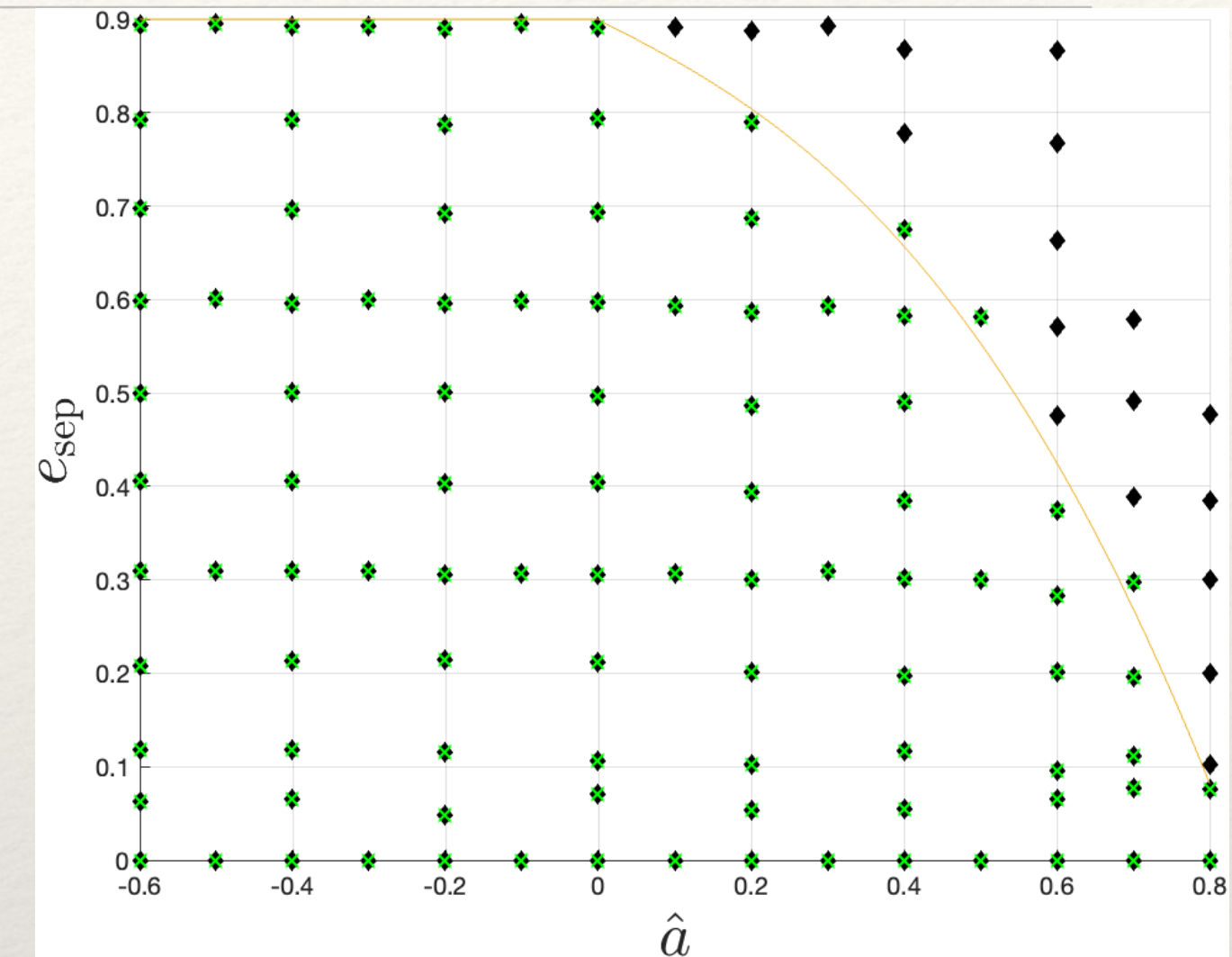
❖ Solution: global fit of  $\Delta t = t_{A_{22}}^{\text{peak}} - t_{\Omega_{\text{orb}}}^{\text{peak}}$





# Ringdown model: eccentric, $q \rightarrow \infty$ case

- ❖ Ringdown model for eccentric plunges in Kerr
- ❖ Higher-modes not discussed but similar (important feature: the peak is delayed)
- ❖ Not reliable for both high spin and eccentricity
- ❖ Mode-mixing not included in the model
- ❖ A better parameter than  $e_{\text{sep}}$  could be useful for extending the model to dynamical capture. One possibility is the impact parameter





# Next-to-Quasi-Circular corrections

- ❖  $h_{\ell m}^{\text{EOB}} = \Theta(t_{\text{mrg}} - t) h_{\ell m}^{\text{inspiral}} \hat{h}_{\ell m}^{\text{NQC}} + \Theta(t - t_{\text{mrg}}) h_{\ell m}^{\text{rng}}$
- ❖ Named this way for historical reasons
- ❖ NQC corrections provide a smooth connection between the analytical-inspiral solution and the ringdown model
- ❖ Numerical-informed corrections for the waveform during the plunge

$$\hat{h}_{\ell m}^{\text{NQC}} = \left( 1 + \sum_{j=1}^3 a_j^{\ell m} n_j \right) \exp \left( i \sum_{j=4}^6 b_{j-3}^{\ell m} n_j \right) \sigma(t; \alpha, t_0)$$

$$n_1 = \frac{p_{r_*}^2}{(r\Omega)^2}, \quad n_2 = \frac{\ddot{r}}{r\Omega^2}, \quad n_3 = n_1 p_{r_*}^2, \quad n_4 = \frac{p_{r_*}}{r\Omega}, \quad n_5 = n_4 \Omega^{2/3}, \quad n_6 = n_5 p_{r_*}^2.$$

- ❖ Coefficients  $a_j^{\ell m}$  and  $b_j^{\ell m}$  extracted from numerical data
- ❖ The sigmoid  $\sigma(t; \alpha, t_0) = 1/[1 + e^{-\alpha(t-t_0)}]$  is used to switch-off the noncircular analytical corrections and to switch-on the NQC corrections during the plunge



# Next-to-Quasi-Circular corrections

$$A_{\ell m}^{\text{EOB}}(t_{\text{NQC}}) = A_{\ell m}^{\text{num}}(t_{\text{NQC}}) \quad \omega_{\ell m}^{\text{EOB}}(t_{\text{NQC}}) = \omega_{\ell m}^{\text{num}}(t_{\text{NQC}})$$

❖ How to extract  $a_j^{\ell m}$  and  $b_j^{\ell m}$  :  $\dot{A}_{\ell m}^{\text{EOB}}(t_{\text{NQC}}) = \dot{A}_{\ell m}^{\text{num}}(t_{\text{NQC}}) \quad \dot{\omega}_{\ell m}^{\text{EOB}}(t_{\text{NQC}}) = \dot{\omega}_{\ell m}^{\text{num}}(t_{\text{NQC}})$

$$\ddot{A}_{\ell m}^{\text{EOB}}(t_{\text{NQC}}) = \ddot{A}_{\ell m}^{\text{num}}(t_{\text{NQC}}) \quad \ddot{\omega}_{\ell m}^{\text{EOB}}(t_{\text{NQC}}) = \ddot{\omega}_{\ell m}^{\text{num}}(t_{\text{NQC}})$$

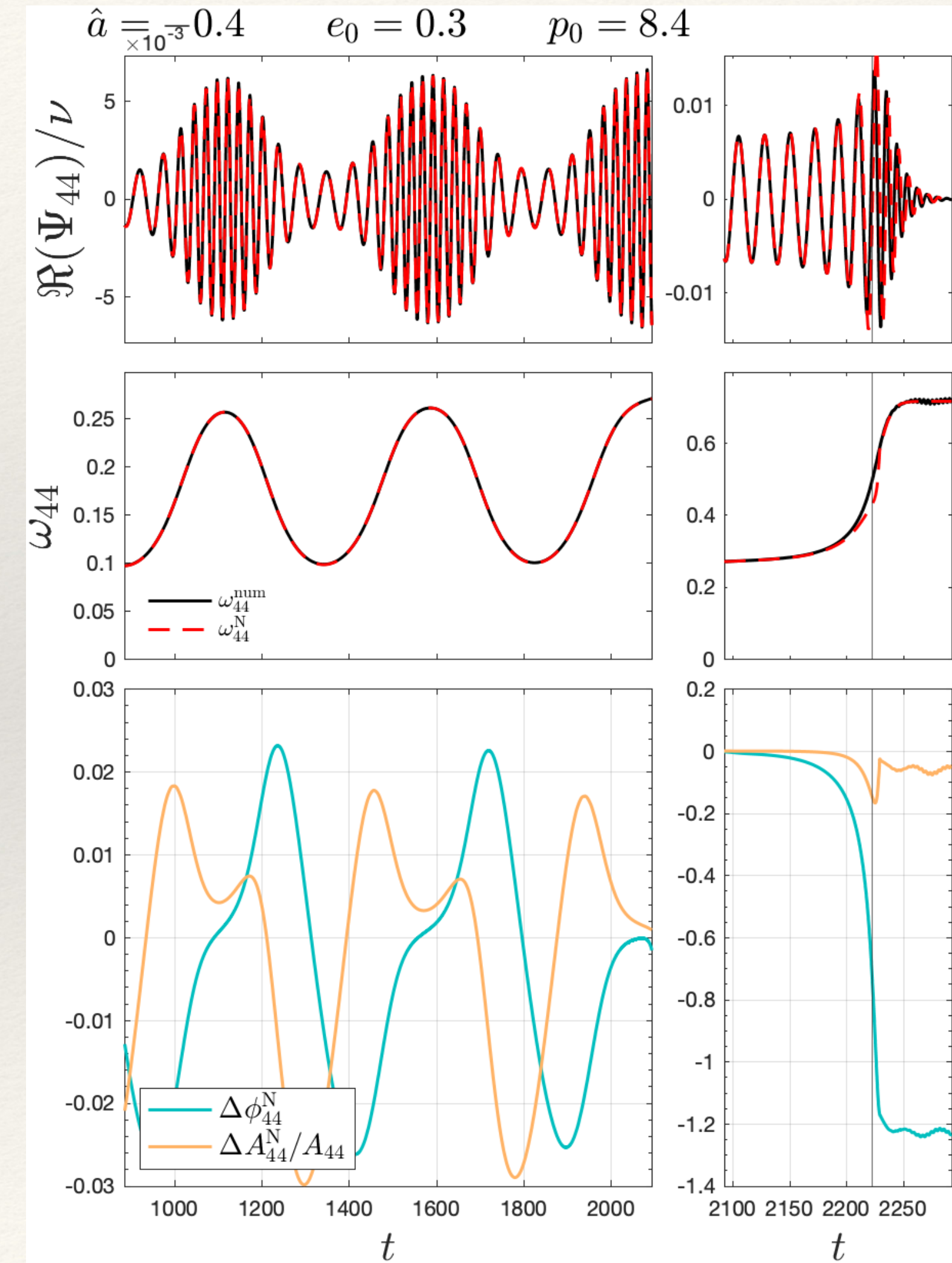
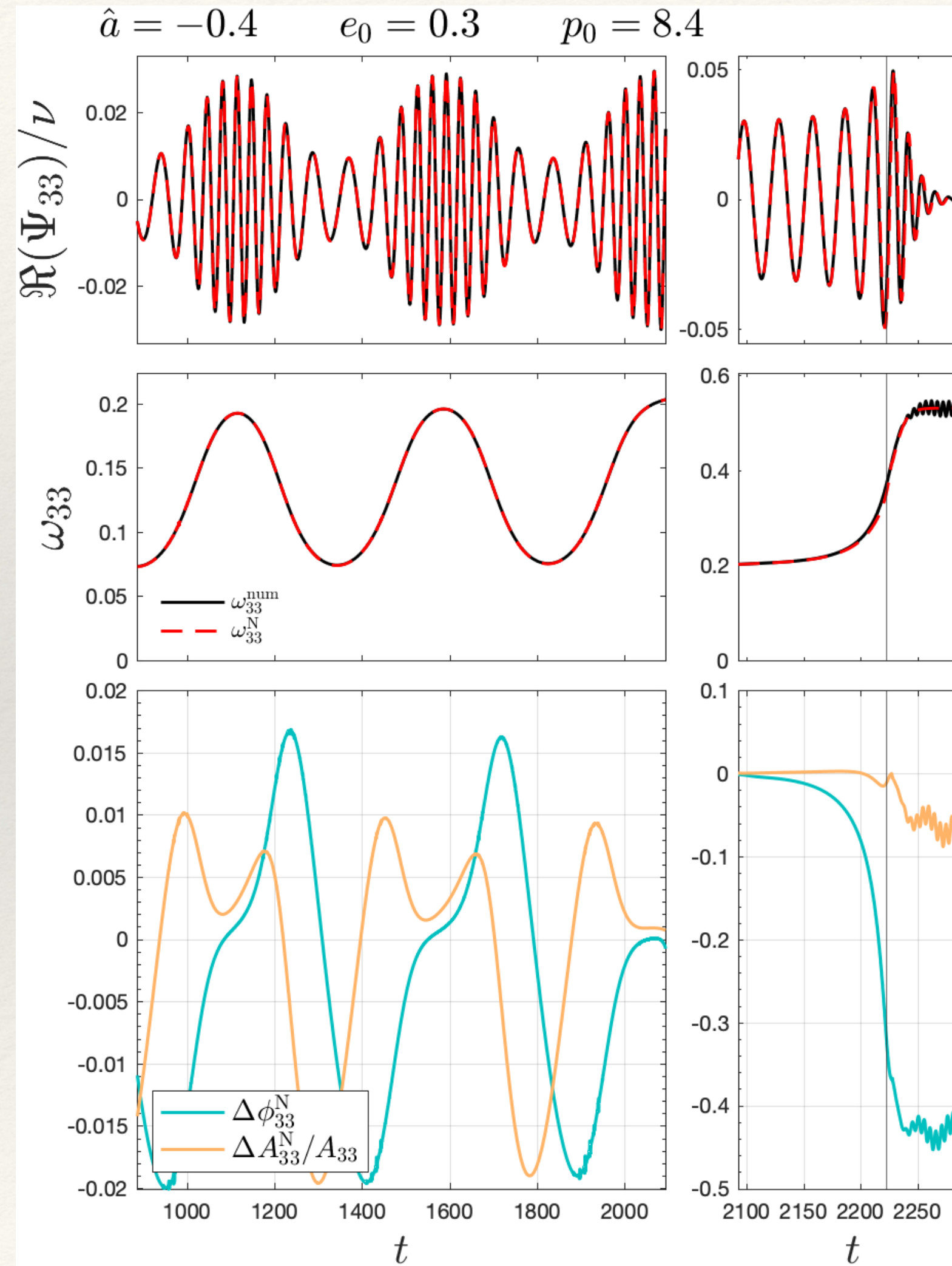
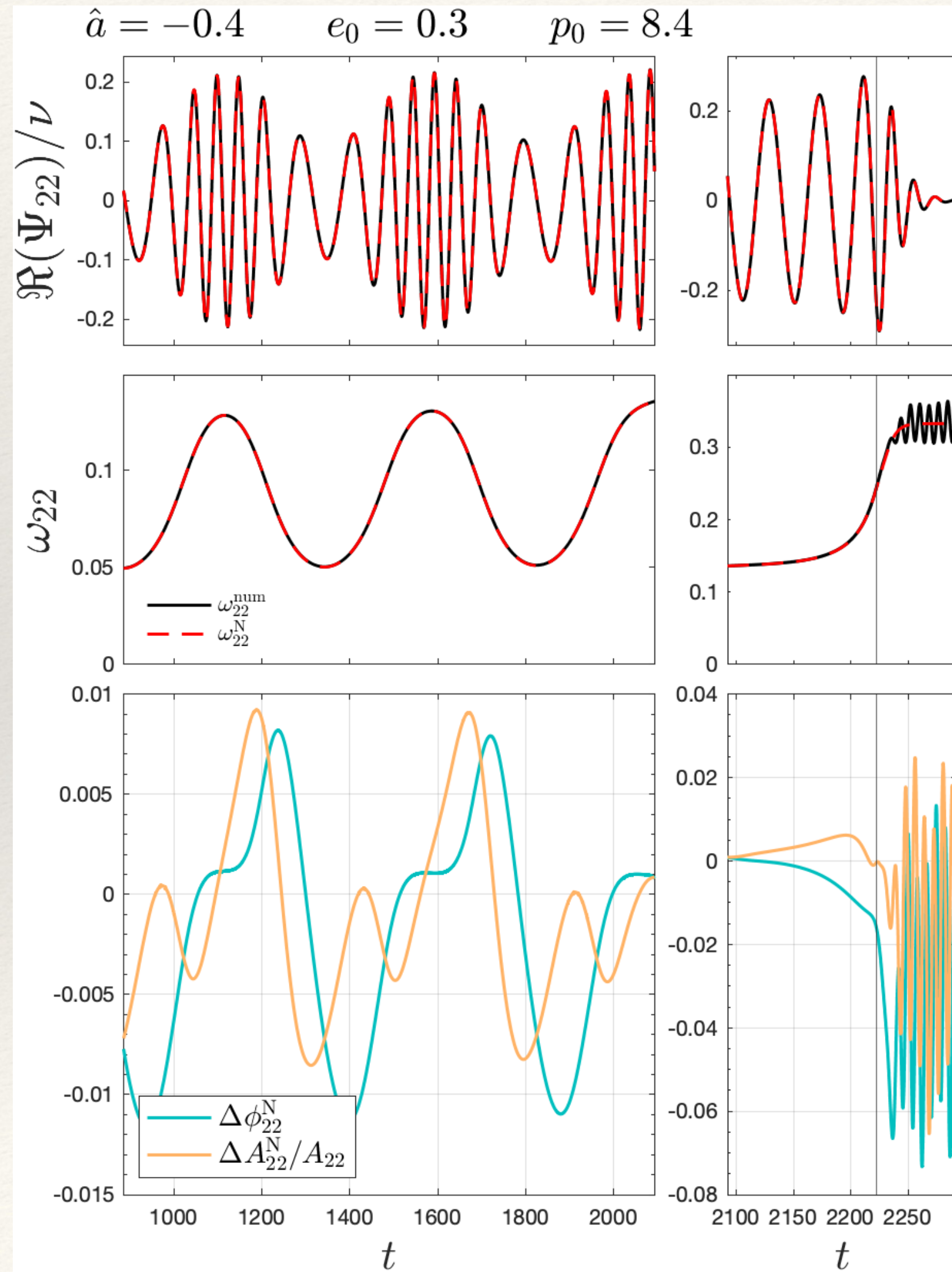
❖  $t_{\text{NQC}} \geq t_{\text{peak}} \rightarrow h^{\text{num}}(t_{\text{NQC}}) \equiv h^{\text{rng}}(t_{\text{NQC}})$ , otherwise  $h(t_{\text{NQC}})^{\text{num}}$  have to be fitted on the parameter space

❖ At the moment we are using  $t_{\text{NQC}} = t_{\text{peak}} + 2$

❖ With these corrections there is a smooth connection between the EOB inspiral and the merger model

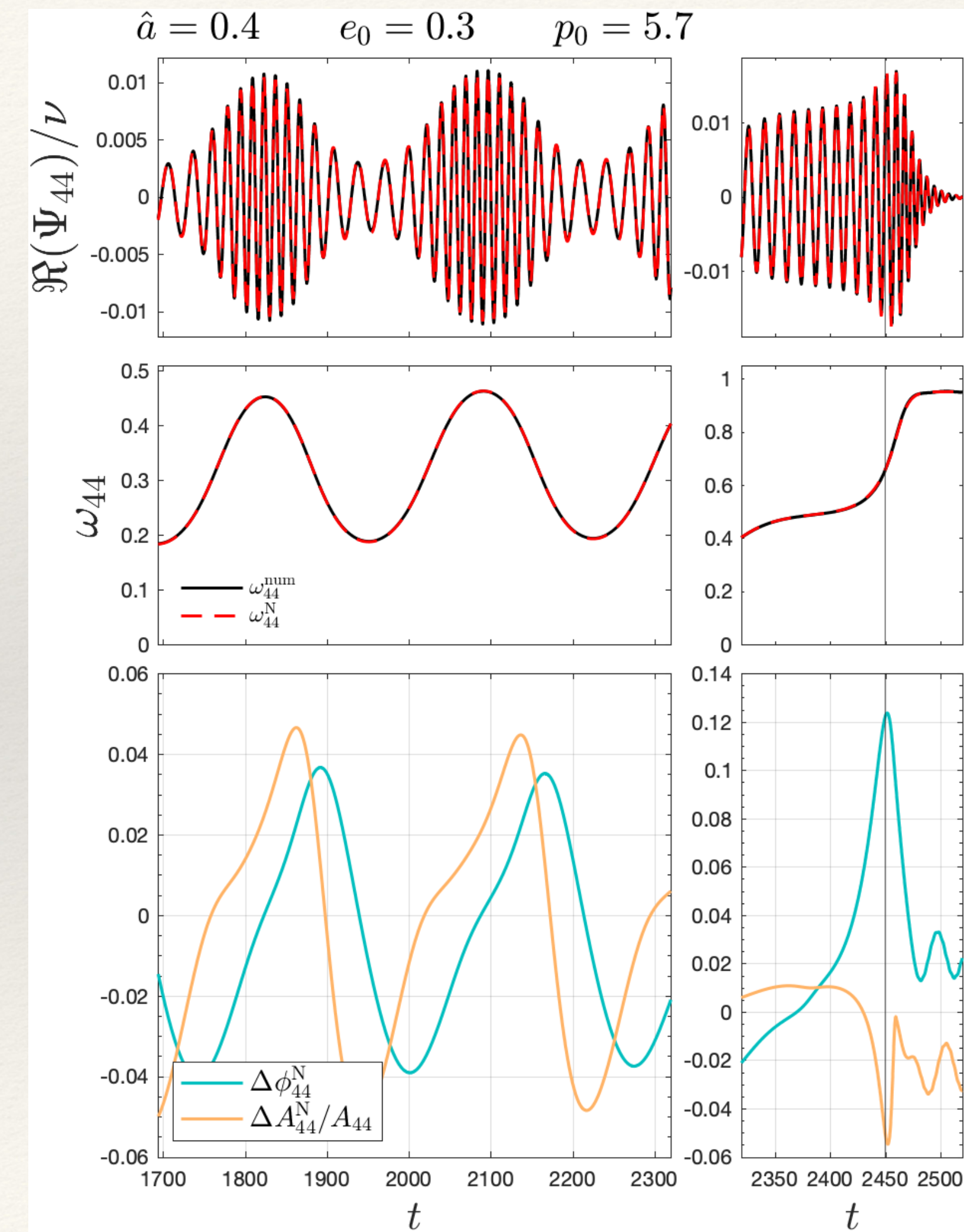
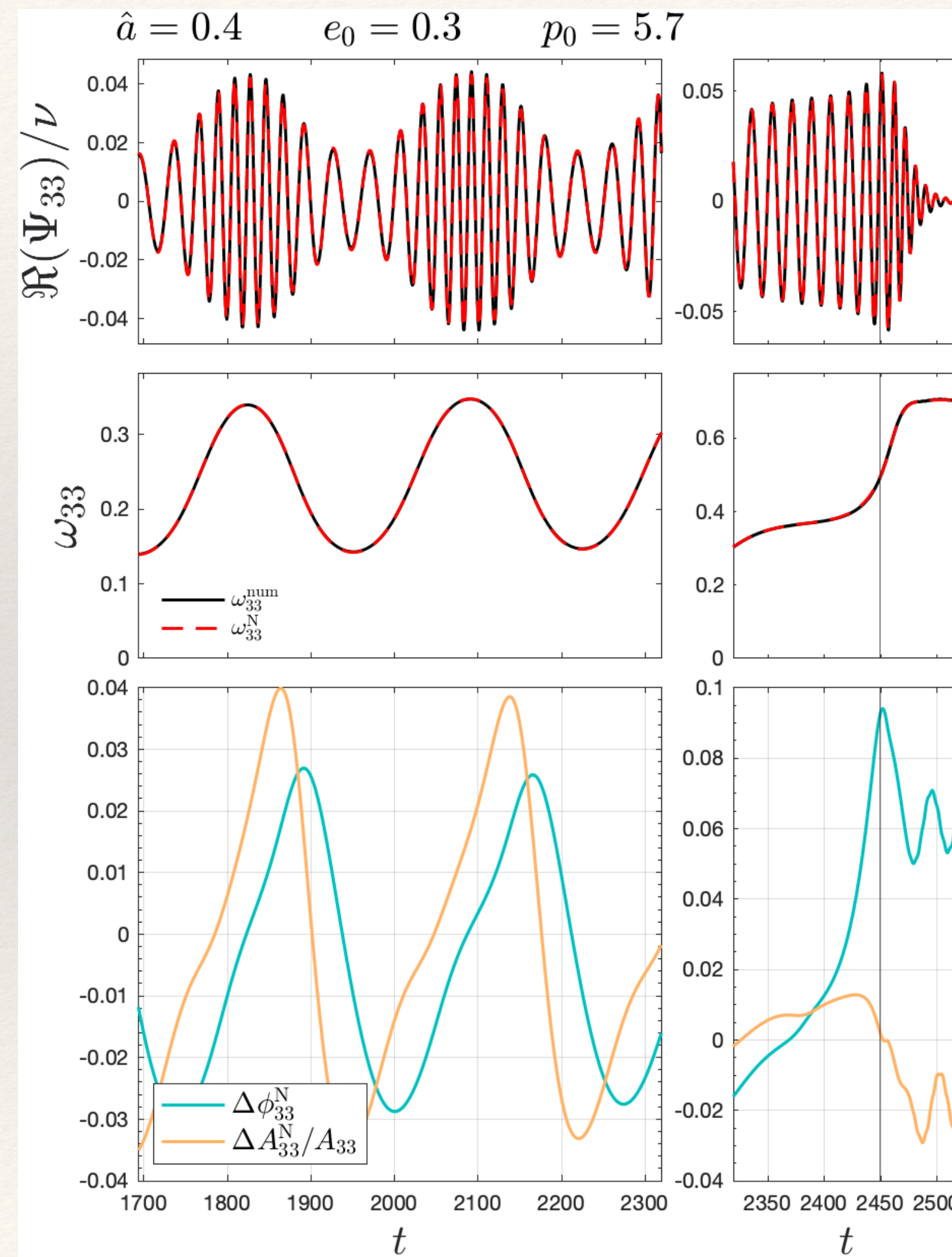
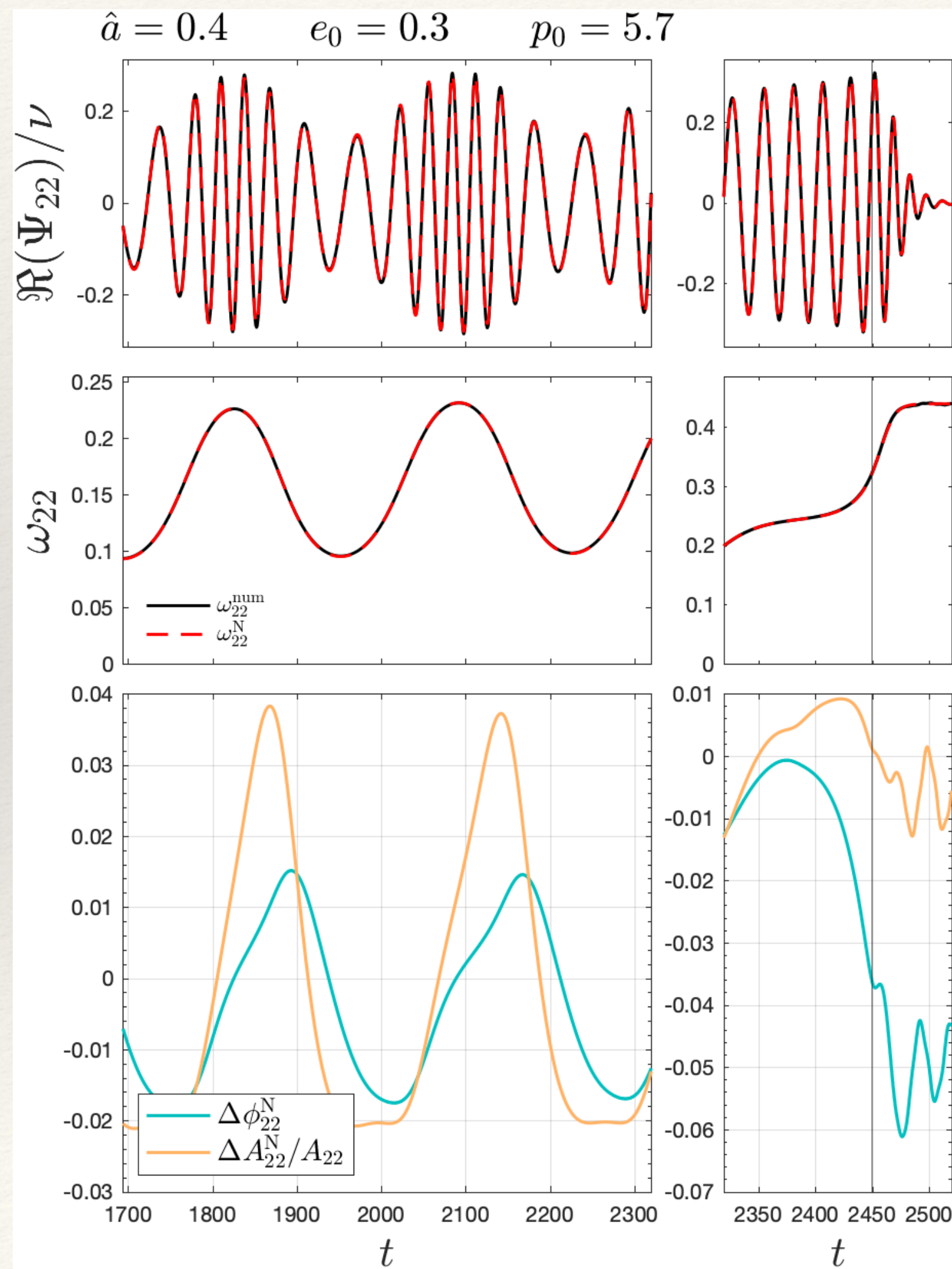


# Anti-aligned spins



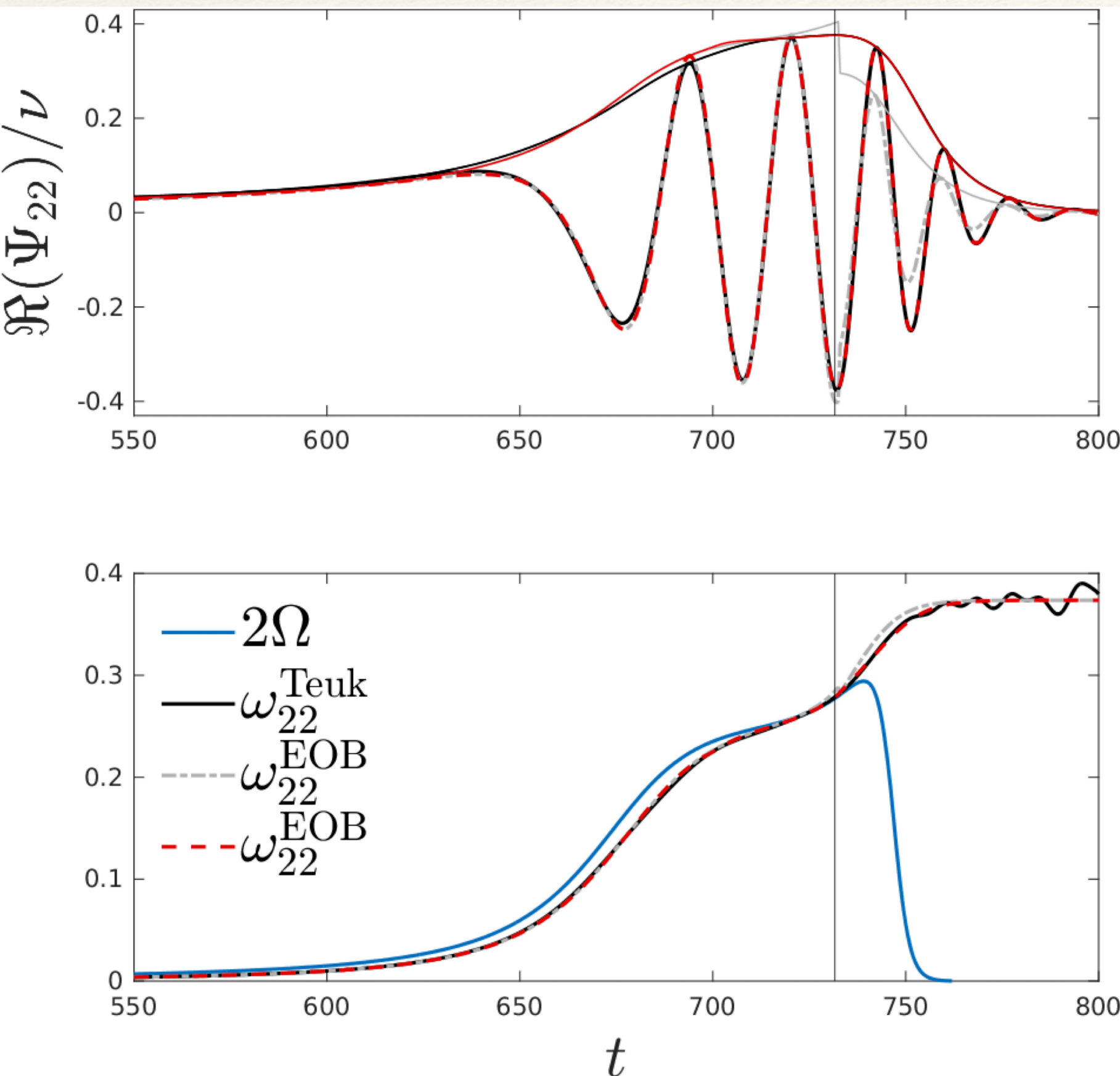


# Aligned spins

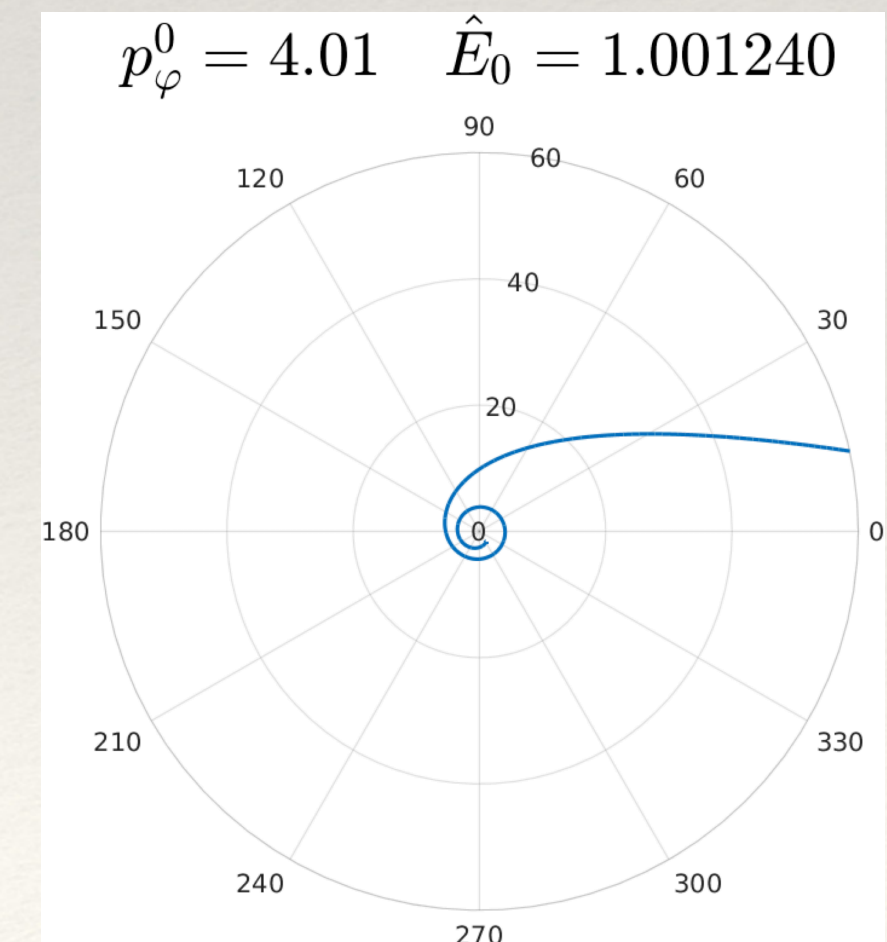




# Dynamical captures



- ❖ Test-mass limit
- ❖ We currently have a few configurations, so we do not have global fits.
- ❖ Merger-time taken from numerical waveform
- ❖ Grey waveform: no NQC, quasi-circular ringdown model
- ❖ **Red waveform**: ringdown parameter taken from primary fits, used NQC
- ❖ Proof of principle that the templates and the general idea work also in the hyperbolic case (at least for the non-spinning configuration checked)

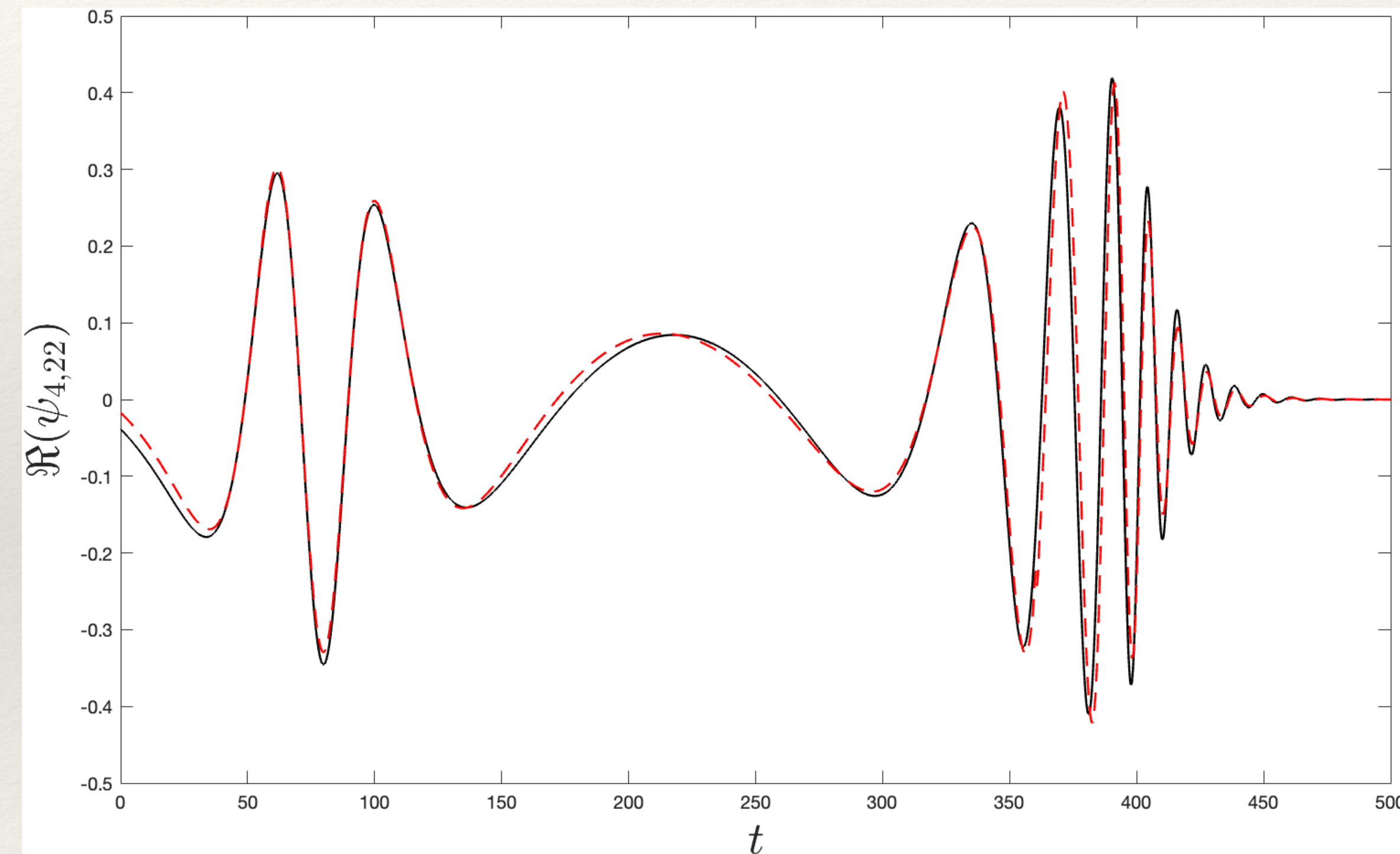




# Dynamical captures

- ❖ Model used to analyze GW190521 (Gamba+:2106.05575)
- ❖ Equal mass case: work in progress
- ❖ Some key points from EOB / NR comparisons:
  - Amplitude from QC-ringdown model underestimates the real one
  - The frequency is mostly ok

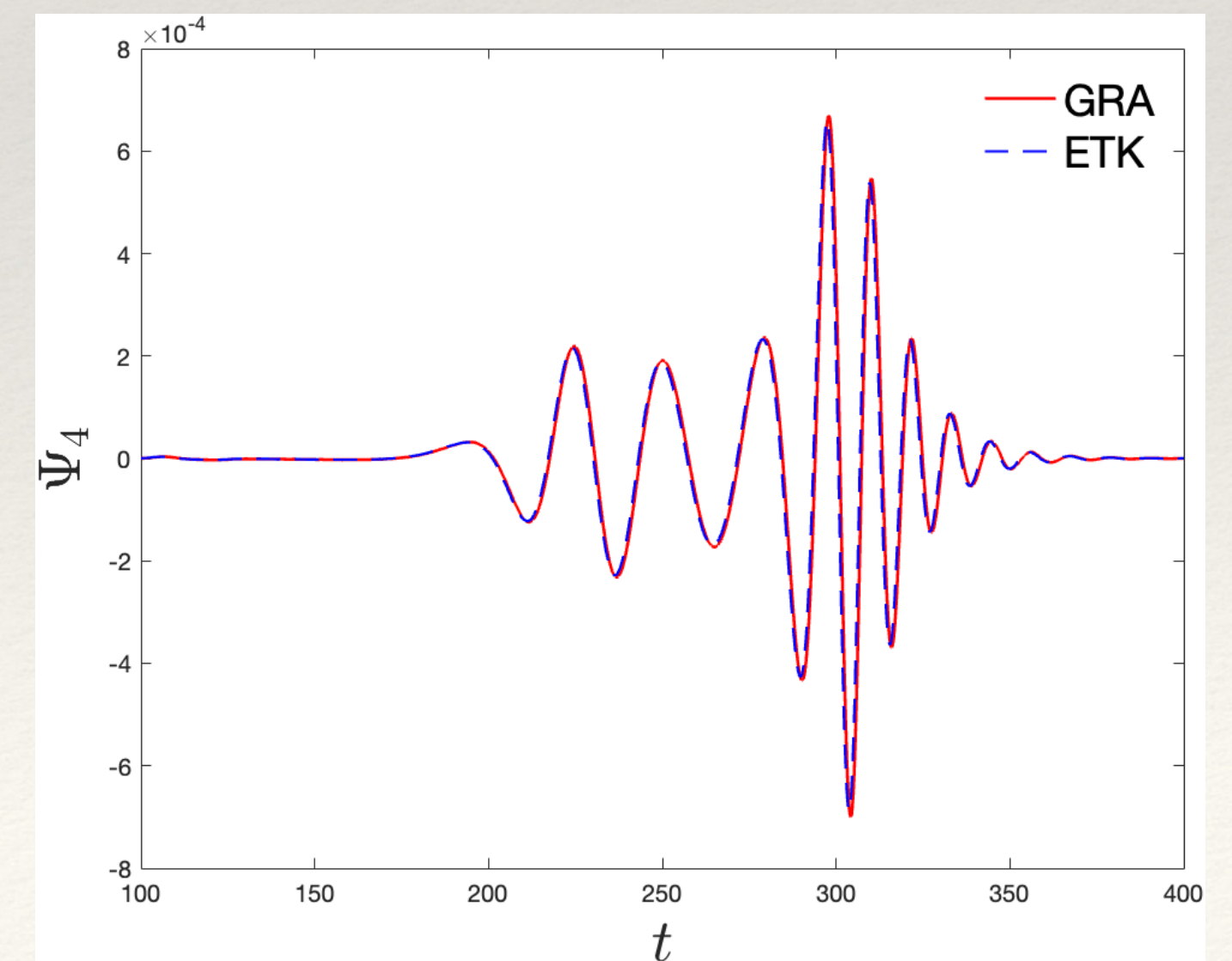
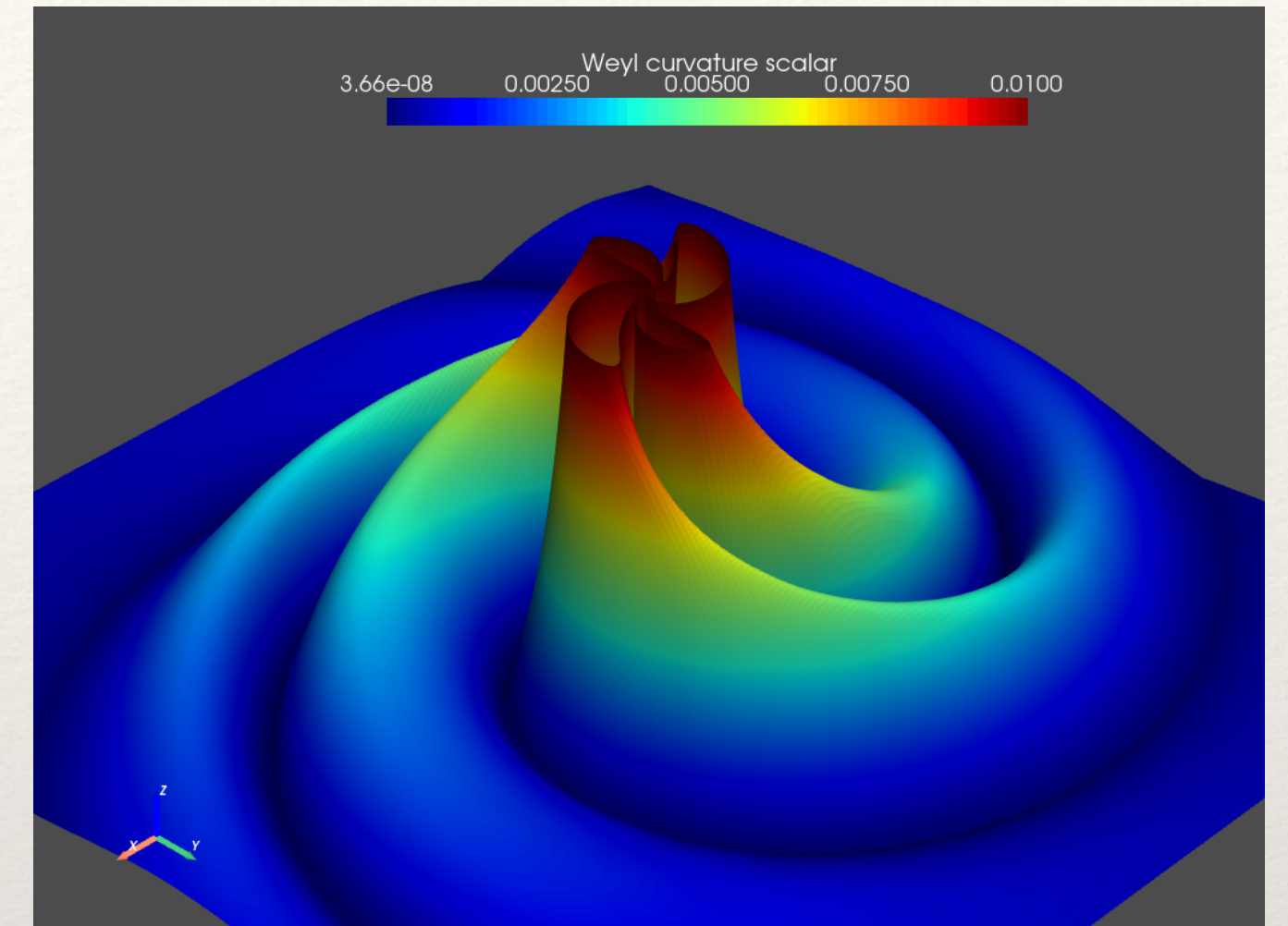
Courtesy of Tomás and Juan (QC-ringdown model)





# NR simulations of dynamical captures

- ❖ Performed mostly without spins
- ❖ The integration of the Weyl scalar is tricky.  
Cannot use FFI methods and TD integrations are not really accurate
- ❖ Compared dynamical captures from ETK and GR-Athena++ (work with Tomás and Juan)
- ❖ Work in progress!





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# Summary

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- ❖ Eccentric ringdown: model based on primary and global fits of numerical waveform in the test-mass limit. The same logic can be applied to the comparable mass case using NR waveforms
- ❖ NQC determined using the ringdown model
- ❖ Still many thing to do in the dynamical capture scenario, even in test-mass case
- ❖ Noncircular inspiral not discussed here, but for more info see e.g. Chiaramello+:2001.11736, Albanesi+:2104.10559, Placidi+:2112.05448, Albanesi+:2202.10063, Albanesi+:2203.16286



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# Next steps

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- ❖ Including the mass-ratio in the parameter space for eccentric binaries. Probably extension to higher-dimensional parameter space could be more difficult
- ❖ Use impact parameter at merger instead of eccentricity at separatrix-crossing?
- ❖ Produce more numerical waveforms for dynamical captures in Kerr
- ❖ Other approaches? Surrogate model for ringdown could be an option

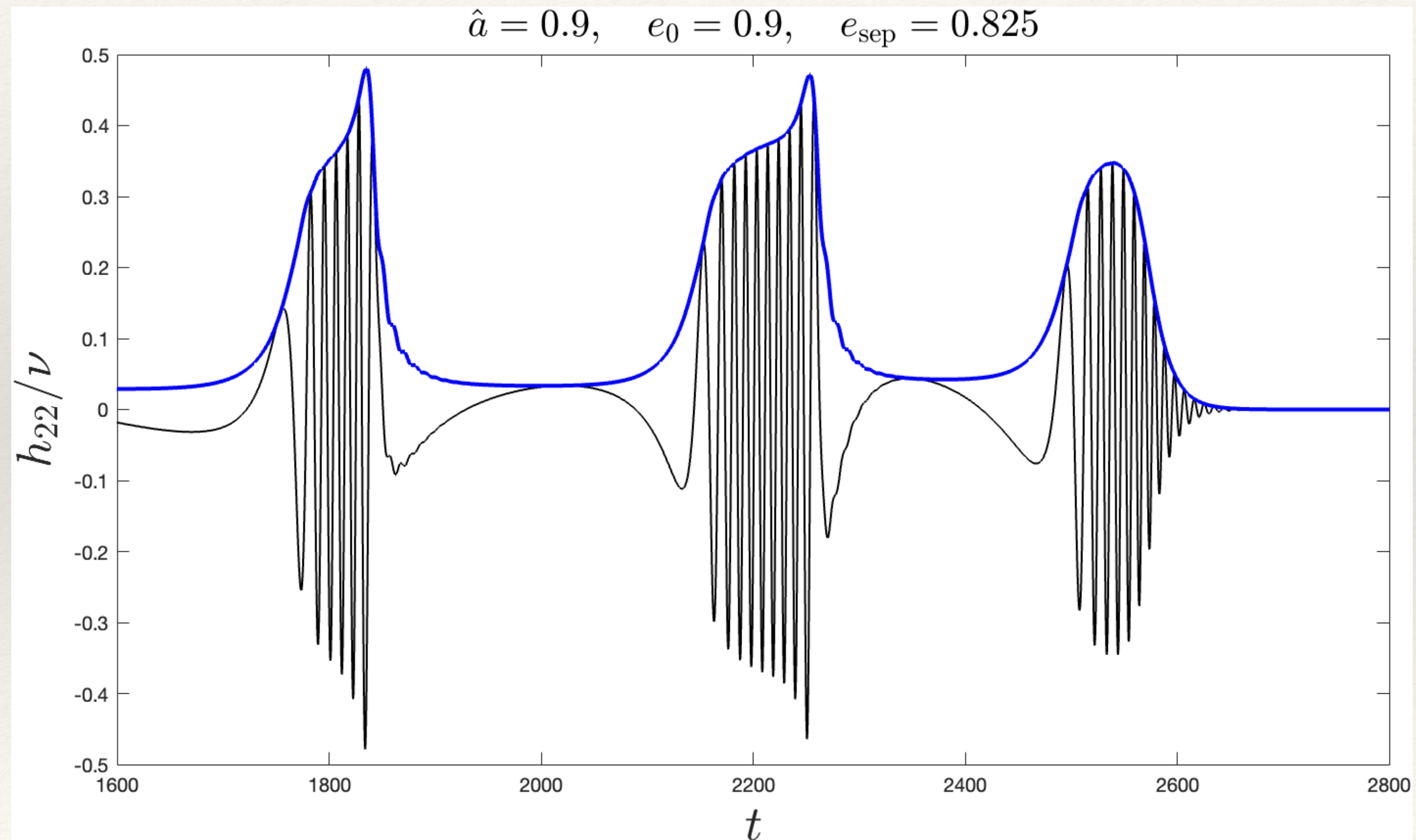


Thank you for your attention!



# Supplemental material

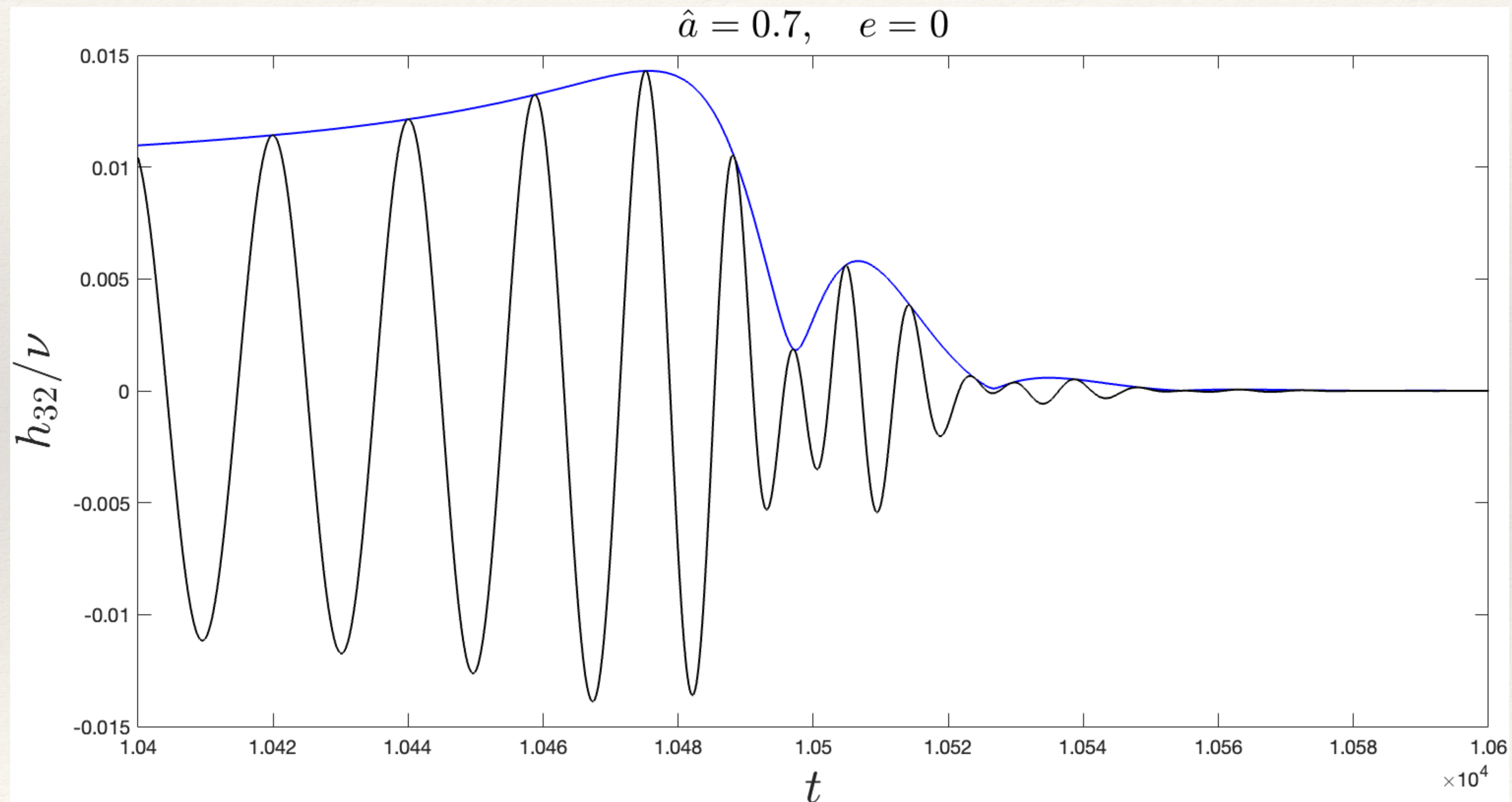
- ❖ Extreme-configuration: high spin and high eccentricity also lead to QNM-burst during the inspiral





# Supplemental material

- ❖ Higher modes can show mode-mixing effects also at high positive spins





# Supplemental material

- ❖ Amplitude-hierarchy less clear at high positive spins

