

# Shell-model study of $^{28}\text{Si}$ : Shape coexistence and superdeformation

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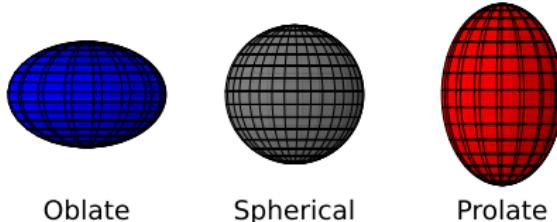


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# Shape coexistence in $^{28}\text{Si}$

- **Different shapes** among states of the same nucleus within few MeV.
- Motivation for  $^{28}\text{Si}$  ( $Z = N = 14$ ):
  - ① **Oblate** ground state.
  - ② **Prolate** structure ( $\sim 6$  MeV).
  - ③ **Superdeformed** structure?  
( $E \gtrsim 10$  MeV)

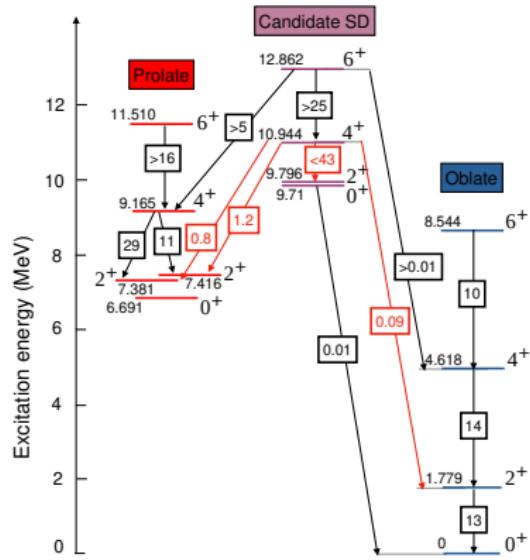
Taniguchi, Y., et al. Phys. Rev. C **80**, 044316 (2009)



Oblate

Spherical

Prolate



Morris, L. et al. Phys. Rev. C **104**, 054323 (2021)

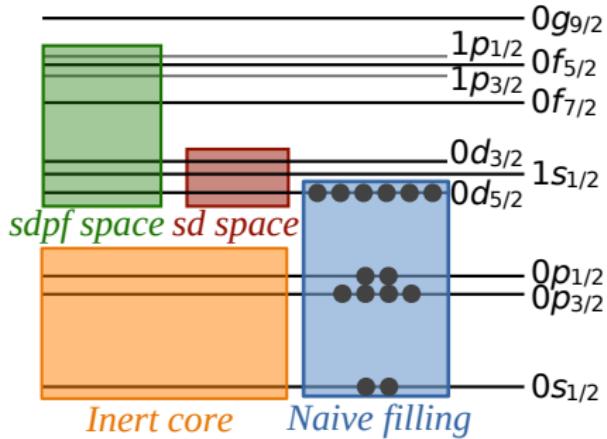
- $E \sim J(J+1); J = 0^+, 2^+, 4^+ \dots$
- Strong  $B(E2)$  strengths

# Interacting shell model

Schrödinger equation

$$\mathcal{H}|\Psi\rangle = E|\Psi\rangle$$

- $^{28}\text{Si}$ :  $Z = N = 14$  Slater determinant (**spherical!**)
- **Interacting shell model**:  
 $\mathcal{H}_{\text{eff}} = \mathcal{H}_0 + \mathcal{H}_{\text{res}}$   
 $\mathcal{H}_{\text{res}}$ : **valence space**
- Slater determinant basis  $\{\Phi_i\}$
- Configuration mixing:  
 $|\Psi_{\text{ISM}}\rangle = \sum_i C_i |\Phi_i\rangle$



Valence space:  $Z_v = N_v = 6$  ( $^{28}\text{Si}$ )

Inert core:  $^{16}\text{O}$  nucleus

# Exact diagonalization (ISM)

- **Diagonalization** of  $\mathcal{H}_{\text{eff}}$ :

$$\mathcal{H}_{\text{eff}}|\Psi_{\text{ISM}}\rangle = E|\Psi_{\text{ISM}}\rangle$$

- **Slater determinants**  $|\Phi_i\rangle$ :

$$\text{dim} = \binom{\Omega_Z}{Z_v} \binom{\Omega_N}{N_v}$$

- **ANTOINE**:

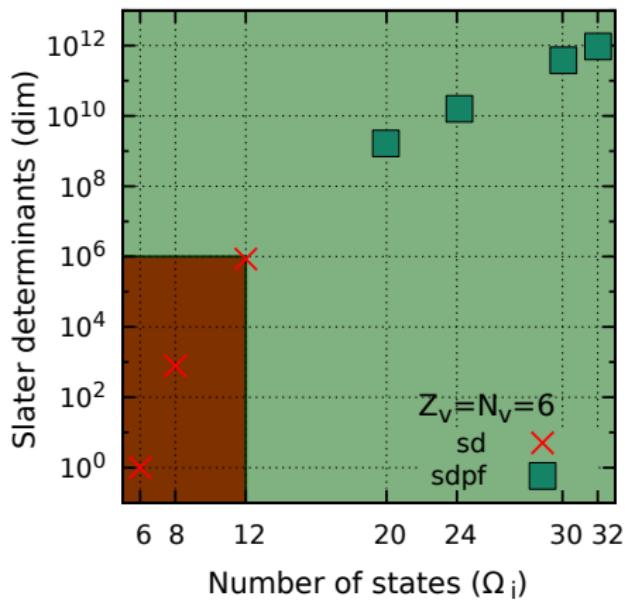
shell model code

E. Caurier and F. Nowacki,

Acta Phys. Pol. B **30**, 705 (1999).

- **Lanczos method**

**sd** (dim=10<sup>6</sup>): easily computable  
**sdpf** (dim=10<sup>12</sup>): beyond our capability



# Variational method

## Exact diagonalization

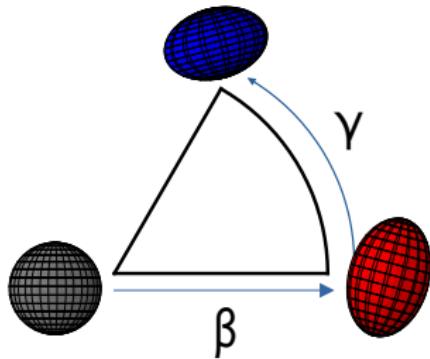
- Most accurate solution of  $\mathcal{H}_{\text{eff}}|\Psi\rangle = E|\Psi\rangle$
- Large set of simple Slater determinants  
 $|\Phi_i\rangle = c_{i1}^\dagger c_{i2}^\dagger \dots c_{iA}^\dagger |0\rangle$
- Best suited for smaller valence spaces (sd)
- Cannot explore a single degree of freedom ( $Q_{\lambda\mu}$ )

## Variational method

- Approximate solution to  $\mathcal{H}_{\text{eff}}|\Psi\rangle = E|\Psi\rangle$
- Smaller set of more complex wavefunctions (HFB)  
 $\beta_k^\dagger = \sum_I (U_{Ik} c_I^\dagger + V_{Ik} c_I)$   
 HFB:Hartree-Fock-Bogoliubov
- Alternative for large valence spaces (sdpf)
- Exploration of relevant degrees of freedom ( $Q_{\lambda\mu}$ )

# Ground state (Mean field)

- Quadrupole-constrained HFB basis  $|\phi(q)\rangle$ :  
 $\mathcal{H}'_{\text{eff}} = \mathcal{H}_{\text{eff}} - \lambda \sum_{\mu} Q_{2,\mu}$
- $(\beta, \gamma)$  parameters:

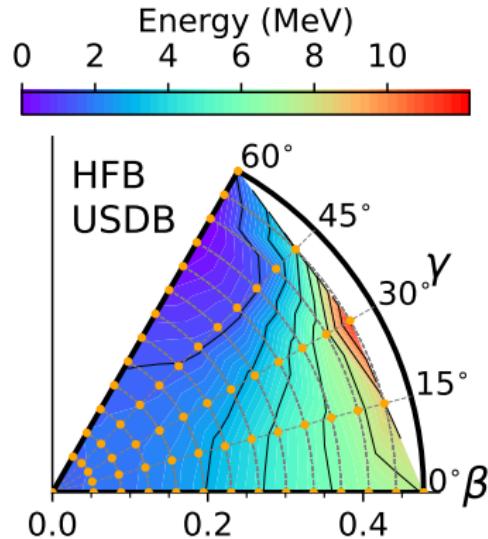


- **TAURUS<sub>vap</sub>** code

B. Bally, et al. Eur. Phys. J. A **57**, 69 (2021)

$$Z_v = N_v = 6 \text{ USDB interaction}^{\dagger}$$

<sup>†</sup>W. A. Richter, et al. Phys. Rev. C **78**, 064302 (2008)



Oblate minimum ( $\beta \approx -0.4$ )

# Ground state (Beyond mean field)

## Mean field:

- Quadrupole-constrained HFB basis  $|\phi(q)\rangle$ :
- $$\mathcal{H}'_{\text{eff}} = \mathcal{H}_{\text{eff}} - \lambda \sum_{\mu} Q_{2,\mu}$$

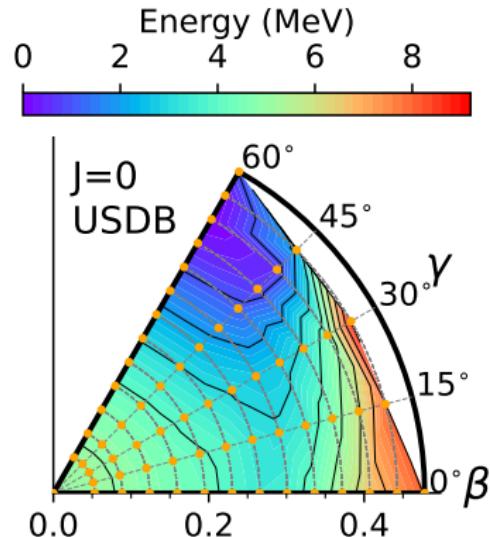
## Beyond mean field:

- Symmetry restoration  $|\phi^{NZJ}\rangle = P^N P^Z P_{MK}^J |\phi\rangle$
- Configuration mixing of projected states (PGCM)  $|\Psi_{\text{GCM}}\rangle = \sum_q f_q |\phi^{NZJ}(q)\rangle$   
GCM: generator coordinate method
- TAURUS<sub>pav,mix</sub> codes

B. Bally, et al. Eur. Phys. J. A **60**, 62 (2024)

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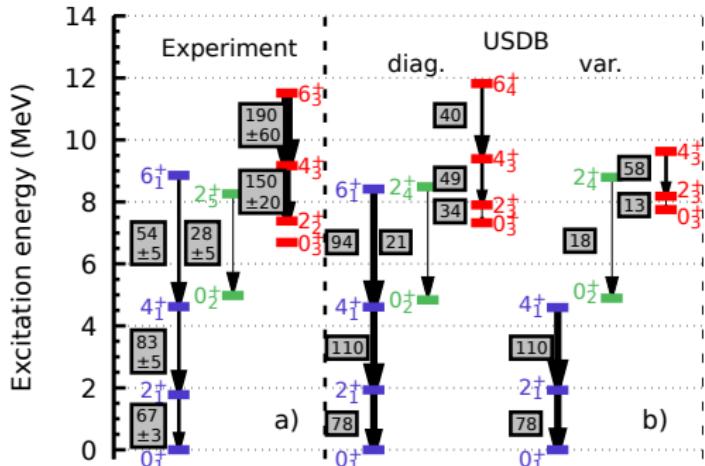
# Spectrum of $^{28}\text{Si}$ (USDB)

Oblate rotational band:  
**well described**, slightly  
more deformed

Vibrational band based on  
the ground state is also  
**well described**

Prolate rotational band  
has too **weak  $B(E2)$**

D. Frycz, J. Menéndez, A. Rios, B. Bally,  
T. R. Rodríguez and A. M. Romero,  
[arXiv:2404.14506 [nucl-th]]



Experiment vs Theory (USDB):

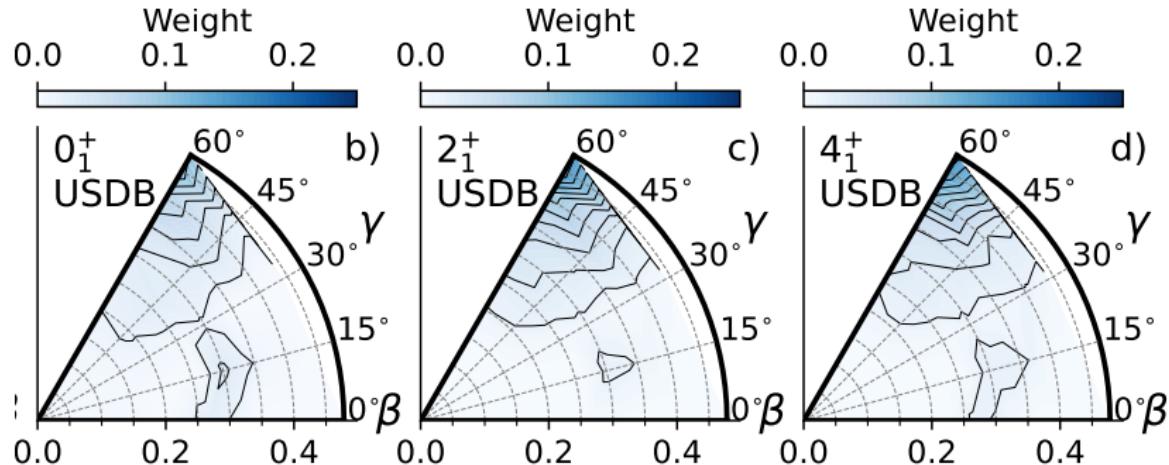
$$B(E2, 2^+_{\text{obl}} \rightarrow 0^+_{\text{obl}}) = 67 \pm 3 \text{ vs } 78 \text{ e}^2\text{fm}^4$$

$$B(E2, 2^+_{\text{vib}} \rightarrow 0^+_{\text{vib}}) = 28 \pm 5 \text{ vs } 21 \text{ e}^2\text{fm}^4$$

$$B(E2, 4^+_{\text{pro}} \rightarrow 2^+_{\text{pro}}) = 150 \pm 20 \text{ vs } 50 \text{ e}^2\text{fm}^4$$

# Oblate rotational band (USDB)

**Collective wavefunctions:** weight of each wf in the mixed state

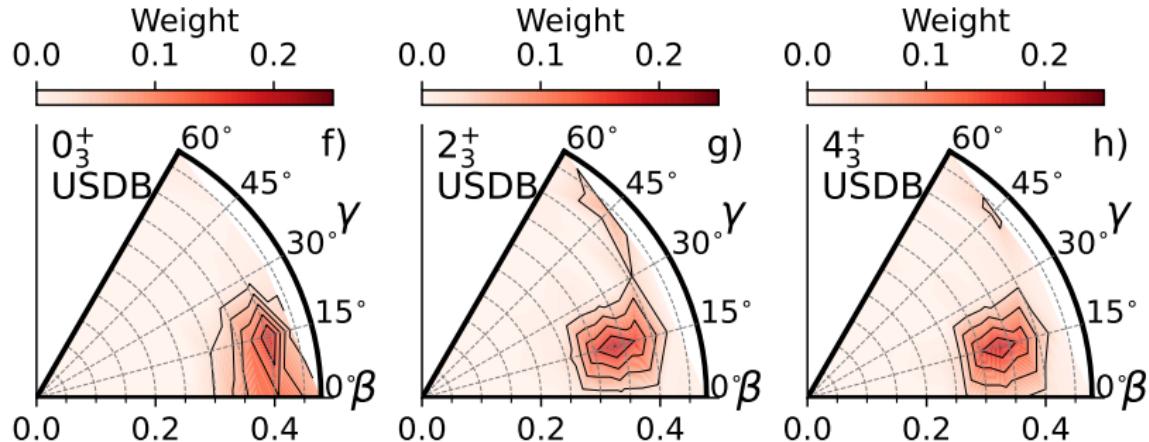


- Shared intrinsic deformation ( $\beta \approx -0.45$ )
- Rotational band behaviour:
  - $E \sim J(J+1)$  and strong  $B(E2)$ :  $67 \pm 3$  vs  $78$   $e^2 fm^4$

D. Frycz, J. Menéndez, A. Rios, B. Bally, T. R. Rodríguez and A. M. Romero, [arXiv:2404.14506 [nucl-th]]

# Prolate rotational band (USDB)

**Collective wavefunctions:** weight of each w.f. in the mixed state

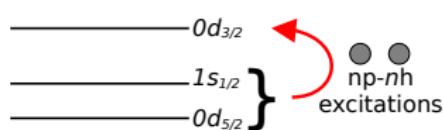


- Different deformation patterns
- Reduced deformation for  $J = 2$  and  $J = 4$  ( $\beta \approx 0.35$ )
- Weak  $B(E2)$  transition strengths:  $150 \pm 20$  vs  $50$   $e^2 fm^4$

# Modification of the interaction

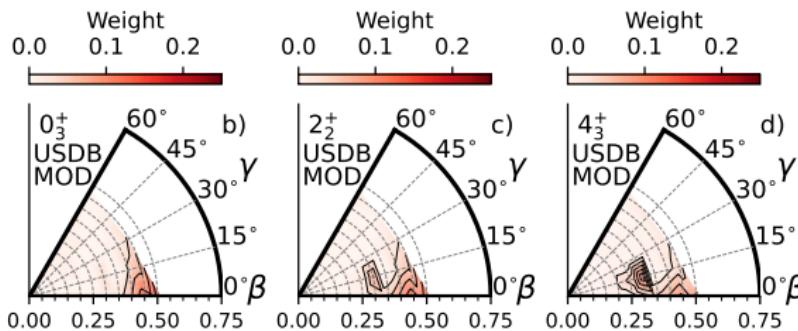
**Energy competition** in quasi-SU(3):  $\mathcal{H} = \mathcal{H}_0 - \kappa\beta^2$

- Gains energy with **deformation** but loses with **excitations**
- Prolate band:** excitations from  $d_{5/2} + s_{1/2}$  to  $d_{3/2}$

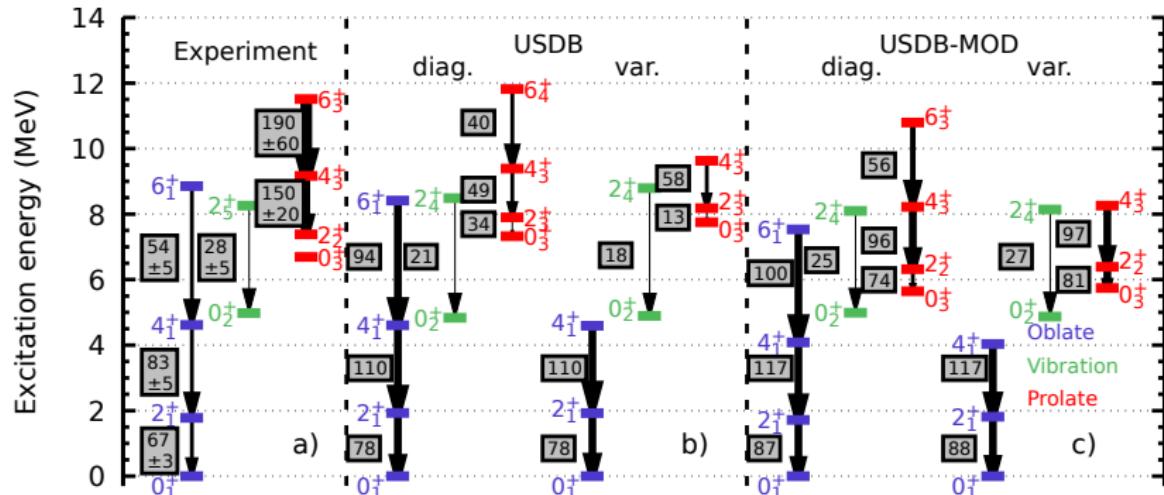


$\beta$	0p-0h	2p-2h	4p-4h
Oblate	-0.37	-0.45	-0.53
Prolate	0.24	0.38	0.53

A. P. Zuker, et al. Phys. Rev. C 92, 024320 (2015)



**USDB-MOD**  
Single particle energy  
 $d_{3/2}$  from:  
**5 MeV → 3.5 MeV**

Spectrum of  $^{28}\text{Si}$  (USDB-MOD)

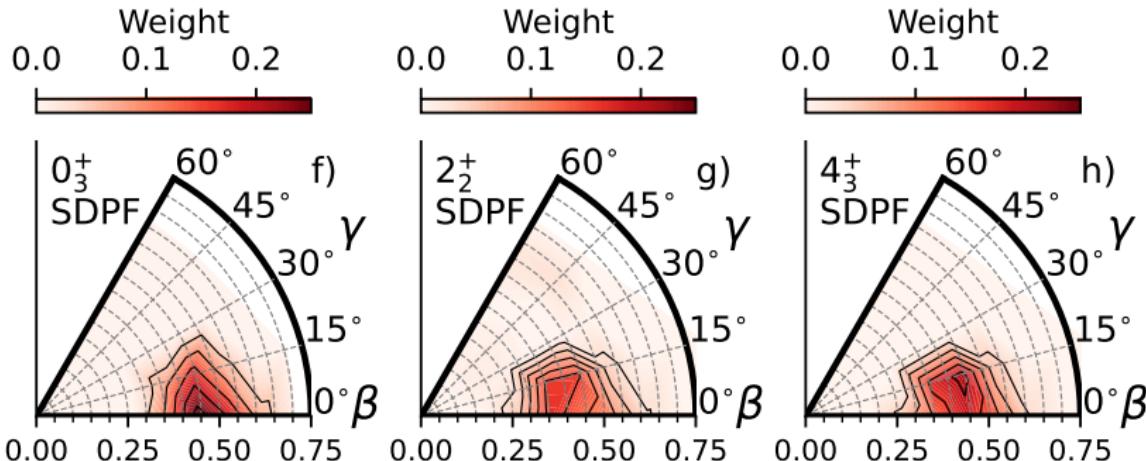
Stronger  $B(E2, 4^+_{\text{pro}} \rightarrow 2^+_{\text{pro}}) = 150 \pm 20 \text{ vs } 96 \text{ e}^2\text{fm}^4$

Oblate and vibrational bands remain unperturbed (0p-0h)

D. Frycz, J. Menéndez, A. Rios, B. Bally, T. R. Rodríguez and A. M. Romero, [arXiv:2404.14506 [nucl-th]]

## *sdpf* valence space

- The SDPF-NR<sup>†</sup> interaction (*sdpf* space) **naturally** reproduces the **prolate rotational band**. <sup>†</sup>S. Nummela Phys. Rev. C 63, 044316 (2001)
- Enhanced collectivity from the *pf* shell
- **0.57 particles** in *pf* shell: 24% of *sdpf* 2p-2h contribution



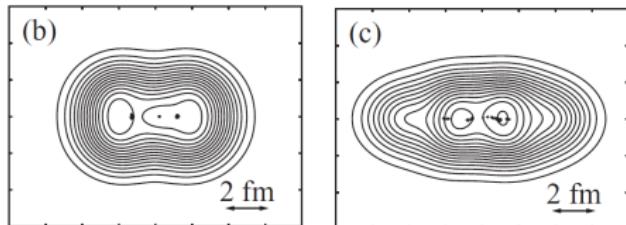
- Enhanced  $B(E2, 4^+_{\text{pro}} \rightarrow 2^+_{\text{pro}}) = 150 \pm 20$  vs  $110 \text{ e}^2 \text{fm}^4$

# Superdeformation

## Superdeformed (SD) band

predicted with:

- Deformation:  $\beta \approx 1$
- 4p-4h into *pf* shell
- $\sim 13$  MeV bandhead

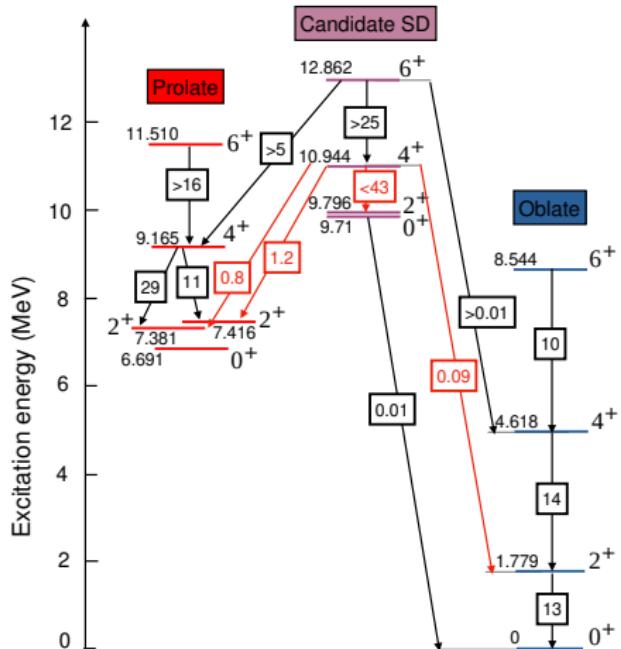


Taniguchi, Y., et al. Physical Review C, 2009. **80**, 044316

## Experimental attempts

- $B(E2, 4^+ \rightarrow 2^+) \leq 217 \text{ efm}^2$
- Not found:  $\beta_{\text{exp}} \leq 0.6$

Morris, L. et al. Phys. Rev. C **104**, 054323 (2021)



Morris, L. et al. Phys. Rev. C **104**, 054323 (2021)

# Fixed $np-nh$ configurations

## Analytical SU(3) models:

- *sd-shell* ( $\beta \leq 0.5$ )
- *sdpf* space ( $\beta \geq 0.5$ )  
SD for  $\geq 4p\text{-}4h$  ( $\beta \approx 0.8$ )

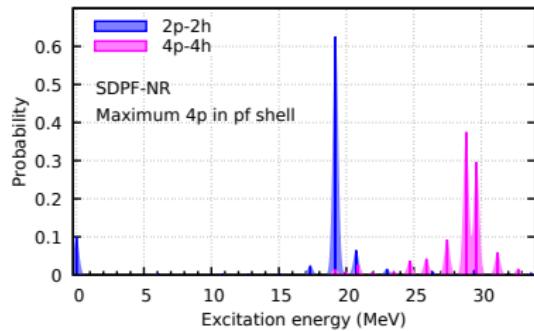
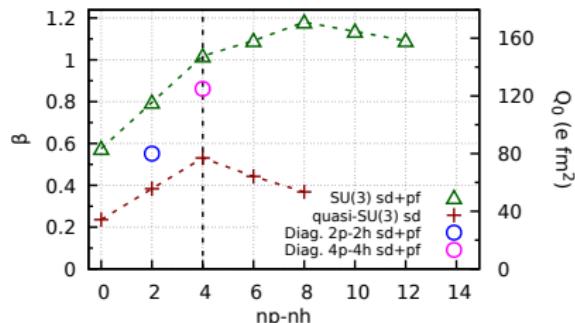
## Lanczos strength function:

Decomposition of a *fixed np-nh configuration* into the *fully mixed states* of the Hamiltonian:

$$|0_{np-nh}^+\rangle = \frac{1}{N} \sum_{\sigma} S(\sigma) |0_{\sigma}^+\rangle$$

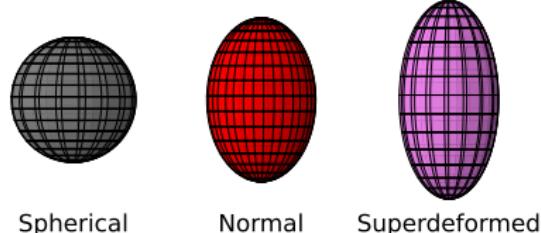
**Truncation:** maximum of 4p-4h into *pf* shell (Dimension:  $9 \cdot 10^9$ )

**Energies:** 2p-2h at 19 MeV and 4p-4h at 30 MeV!!!

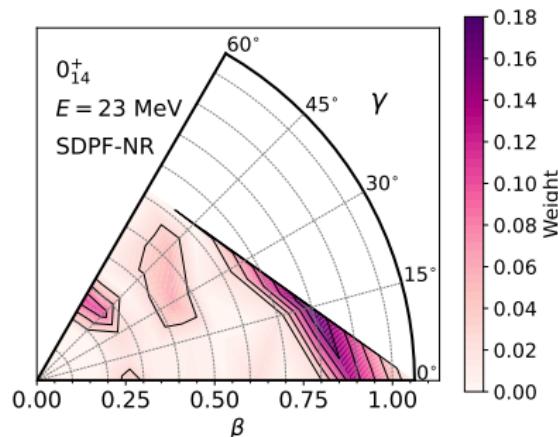


# Full $sdpf$ space

- Full  $sdpf$  space
- Superdeformed state ( $\beta \approx 0.75$ )
- $\sim 3.7$  particles into the  $pf$  shell
- Energy:  $E \approx 23$  MeV
- Not compatible with SD state  $E \leq 20$  MeV



PGCM calculation in  $sdpf$  space with SDPF-NR interaction:



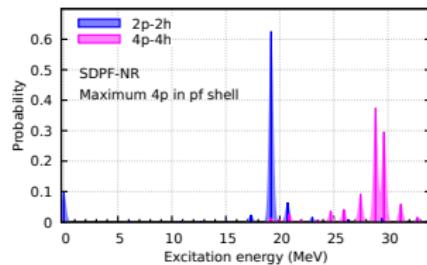
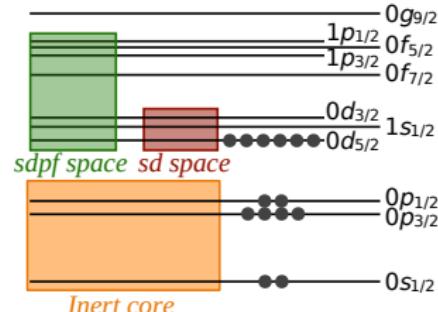
# Conclusions

Shape coexistence of **structures** within the *sd shell* or *sdpf*

- Exact **diagonalization** and **variational** method (PGCM)
- USDB interaction describes oblate band
- USDB-MOD or SDPF-NR is needed for prolate band

Superdeformed structures **disfavoured** at low energies ( $E \leq 20$  MeV)

**Preprint:** D. Frycz, J. Menéndez, A. Rios, B. Bally, T. R. Rodríguez and A. M. Romero, [arXiv:2404.14506 [nucl-th]]



# Outlook

## *sdpf* shell gap:

- $^{28}\text{Si}$  negative parity states

## *Ab initio* interaction:

- Valence space in-medium renormalization group

Stroberg, S. Ragnar, et al. Ann. Rev. Nucl. Part. Sci. **69**, 307 (2019)

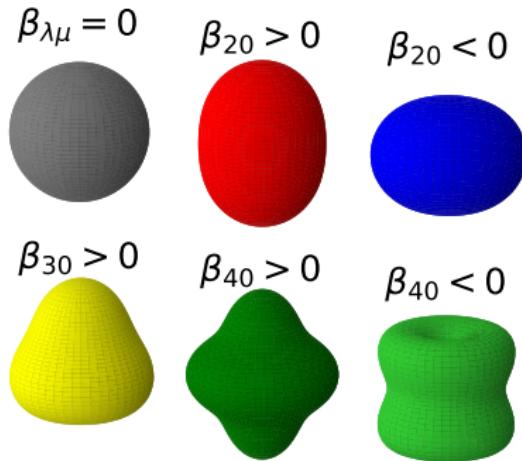
## Shape coexistence and SD:

- $N = Z$ :  $^{32}\text{S}$ ,  $^{24}\text{Mg}$ ...
- Neutron-rich:  $^{30-42}\text{Si}$
- E0 transitions

## Multipole deformations:

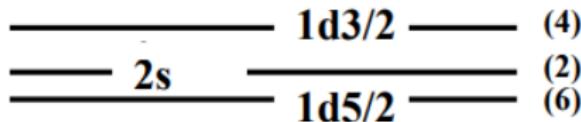
- $\beta_4(^{28}\text{Si}) = 0.03 \pm 0.01$

Y. K. Gupta et al., Phys. Lett. B **845**, 138120 (2023)



# SU(3) model

- **Quadrupole interactions:** realistic Hamiltonians
- Restriction to a major **shell** (Fermi surface)

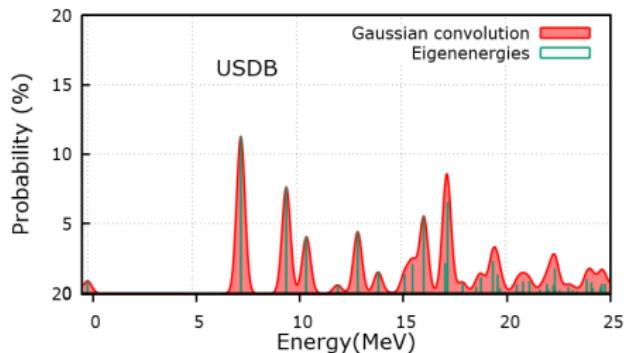


- Energy **competition**:  $\mathcal{H} = \mathcal{H}_0 - \kappa Q_0^2$ 
  - Correlation energy **decreases** as  $Q_0^2$
  - Single particle energy **increases** with promoted particles (from  $d_{5/2}$  to  $s_{1/2}$  or  $d_{3/2}$ )
- Intrinsic quadrupole moment  $Q_0$ :
  - Spherical:  $Q_0 = 0$
  - **Prolate**:  $Q_0 > 0$
  - **Oblate**:  $Q_0 < 0$

Elliott, J. P. Proc R Soc Lon Ser-A, 1958. **245**, 128.

# Modification of the interaction

- The prolate 4p-4h is **lost** in configuration mixing
- $|4p4h\rangle = \sum_i c_i |\Psi\rangle_{i,\text{full sd}} \rightarrow$
- USDB: prolate band only has 10% of  $|4p4h\rangle$



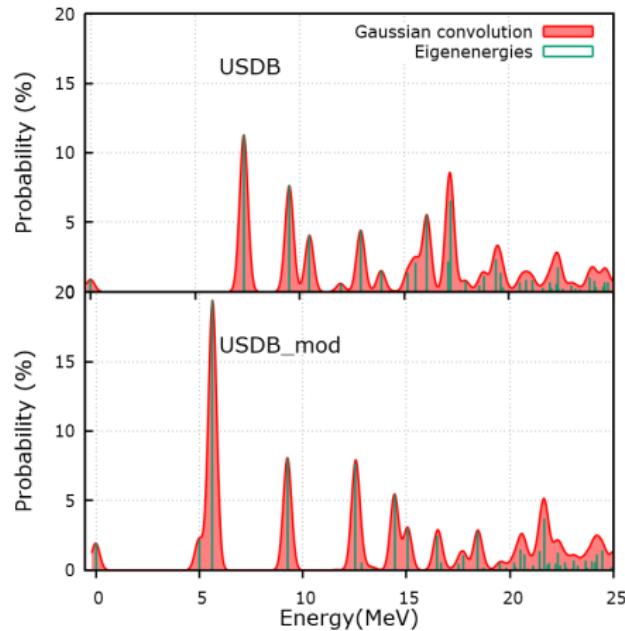
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Too high single-particle energies

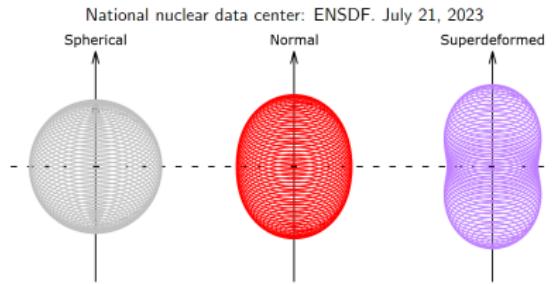
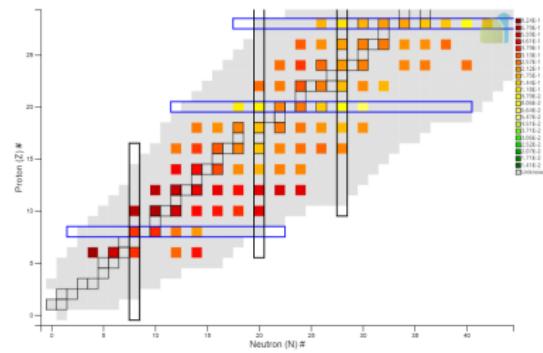
Gap between  $d_{5/2} + s_{1/2}$  and  $d_{3/2}$   
**5 MeV → 3.6 MeV**

- 4p-4h concentrated in  $0_3^+$ : now goes up to 20%



# Objectives

- Why are some nuclei **deformed**?
  - Magic nuclei are spherical
  - Most nuclei are deformed
- What kind of deformation?
  - **Quadrupole** deformations
- Will they be **prolate** or **oblate**?
  - Axial symmetry
- Can different shapes **coexist**?
  - Spherical, **prolate** and **oblate**
- How much deformation?
  - Normal deformation (3:2 ratio)
  - Superdeformation (2:1 ratio)



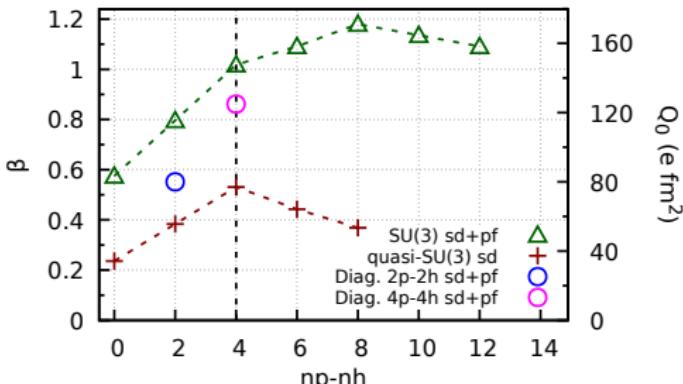
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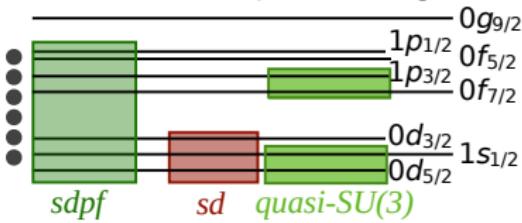
- **sd-shell** deformations:  
 $\beta \leq 0.5$ ;  $Q_0 \leq 80$  efm $^2$   
too low for SD!
- **sdpf** space deformations:  
 $\beta \geq 0.5$ ;  $Q_0 \geq 80$  efm $^2$   
SD for  $\geq 4p-4h$

Numerical calculations:

- Shell model  $np-nh$ :  
Similar to **quasi-SU(3)** sdpf



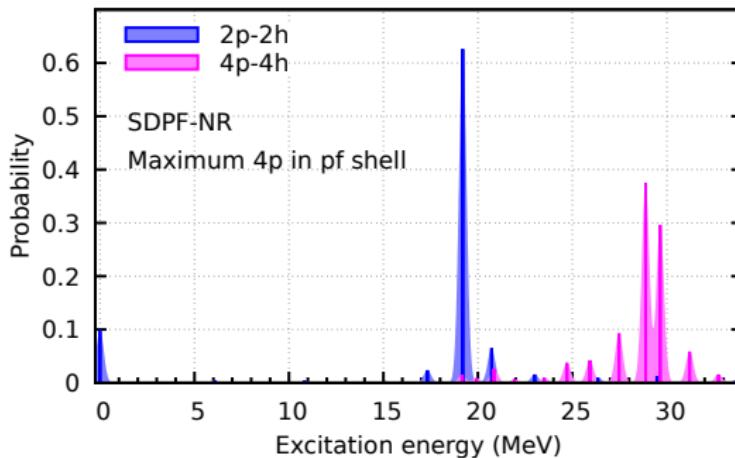
$\beta$  parameters for SU(3) schemes and nuclear shell model  $np-nh$  configurations



# Lanczos strength functions

Decomposition of a fixed  $np-nh$  configuration into the **fully mixed states** of the Hamiltonian:  $|0_{np-nh}^+\rangle_{sdpf} = \frac{1}{N} \sum_{\sigma} S(\sigma) |0_{\sigma}^+\rangle_{sdpf}$

**Truncation:** maximum of 4 particles into  $pf$  shell (**dimensions!**)



- Energies: 2p-2h at 19 MeV and 4p-4h at 30 MeV!!!

# Lanczos algorithm

- Initial state:  $|1\rangle$
- Next step:  $E_{12}|2\rangle = (H - E_{11})|1\rangle$
- Then:  $E_{23}|3\rangle = (H - E_{22})|2\rangle - E_{12}|1\rangle$
- Generalizing:  $E_{NN+1}|N+1\rangle = (H - E_{NN})|N\rangle - E_{N-1N}|N-1\rangle$

Where:  $E_{NN} = \langle N | H | N \rangle$

$$\begin{pmatrix} E_{11} & E_{12} & 0 \\ E_{12} & E_{22} & E_{23} \\ 0 & E_{23} & E_{33} \end{pmatrix},$$

- Finally, diagonalize and check convergence

# Projected generator coordinate method (PGCM)

Variational approach:

- Configuration mixing of Hartree-Fock-Bogoliubov (HFB) states:  
$$|\Psi_{\text{GCM}}\rangle = \sum_q f_q |\phi_{\text{HFB}}(q)\rangle$$
- B. Bally, et al. Eur. Phys. J. A **60**, 62 (2024)
- Similar deformations for all interactions
- $2\nu\beta\beta$  matrix elements are larger for similar deformations

T. R. Rodríguez and G. Martínez-Pinedo

Phys. Rev. C **85**, 044310 (2012)

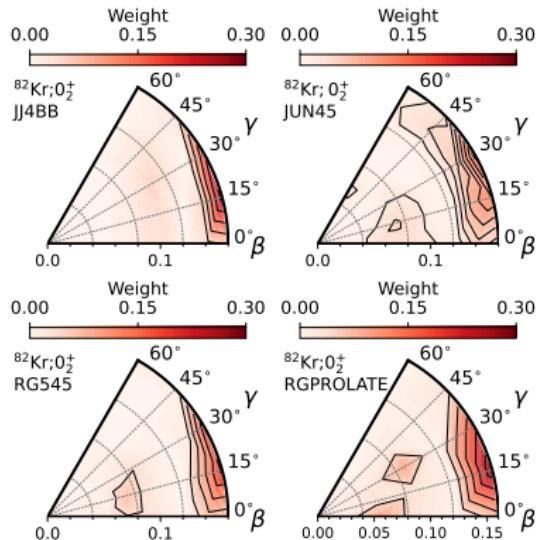


Figure: Contribution of each HFB wavefunction to fully mixed state for  $^{82}\text{Kr}$  ( $0_2^+$ ) with all interactions.