



QNP2024 – The 10° International Conference on Quarks and Nuclear Physics,  
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## N\* studies using KY Electroproduction at CLAS12

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# Outline

**Physics Motivation:** Study of the nucleon excitation spectrum to understand the dynamical properties of QCD in the non-perturbative regime.

**What is the role of glue?**

- Search for new Baryon States → Hybrid States

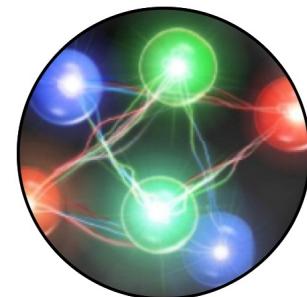
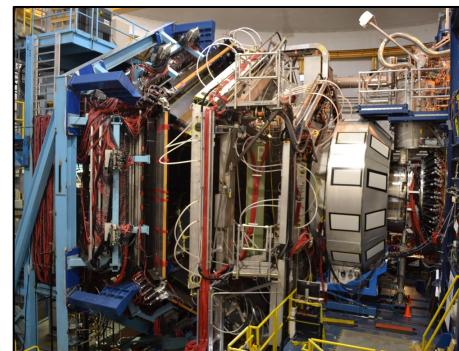
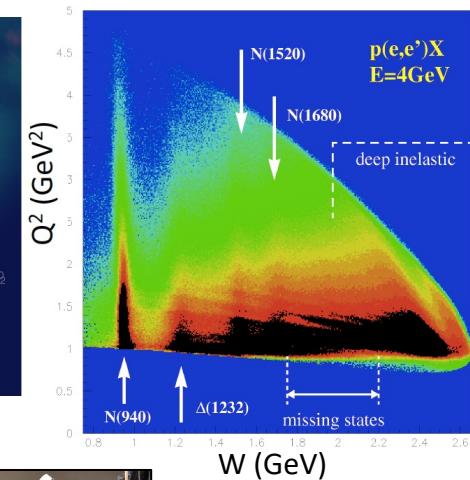
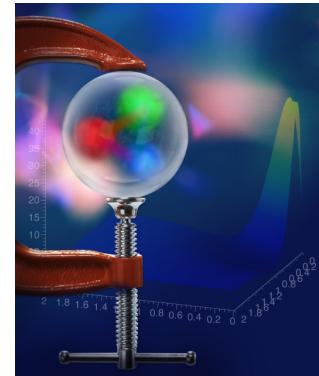
**How does the role of the active degrees of freedom in the nucleon spectrum evolve with distance scale?**

- Probe underlying degrees of freedom and their emergence from QCD via studies of the  $Q^2$  evolution of electroproduction amplitudes

**CLAS12 and Forward Tagger (FT) @ JLab:** Experimental Setup description.

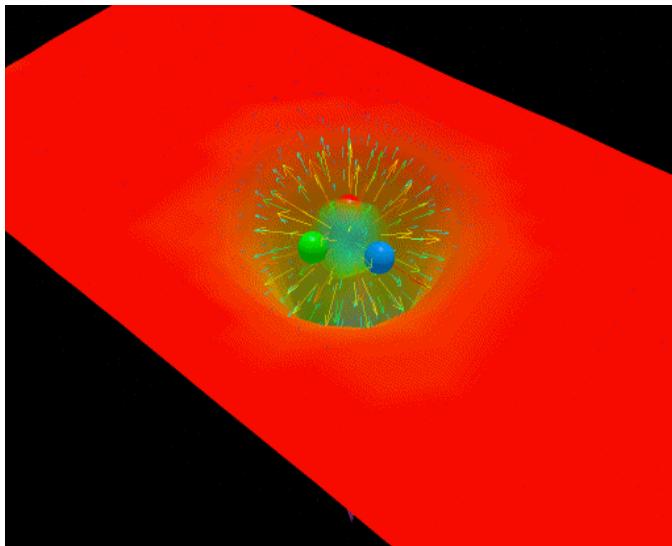
**On-going Data Analysis:**

- **Results from Physics Runs:**  $e p \rightarrow e' K Y$  channel studied exploiting data from Fall 2018 Physics Runs in Hall B at Jefferson Lab
- **Beam-Recoil Hyperon Transferred Polarization Analysis**



# Critical QCD Questions Addressed

- The light N\* spectrum: what is the role of glue?



Derek B. Leinweber – University of Adelaide

*“Nucleons are the stuff of which our world is made.*

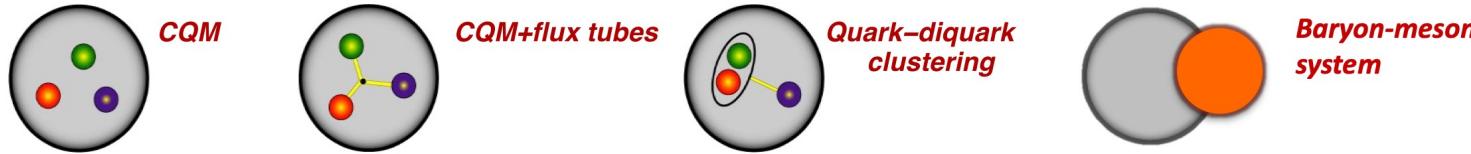
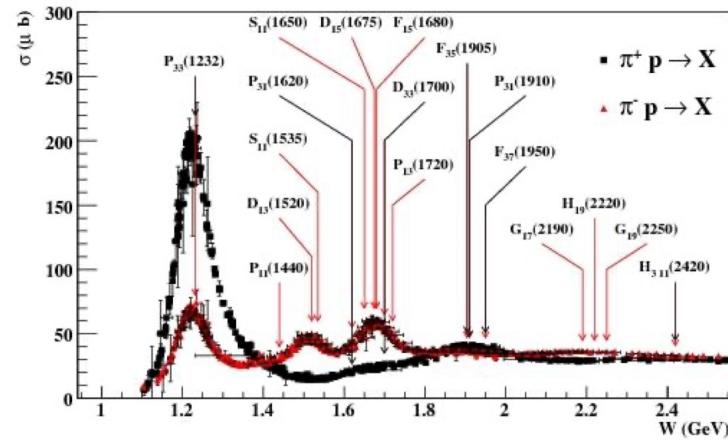
*As such they must be **at the center of any discussion of why the world we actually experience has the character it does.**”*

Nathan Isgur, NStar2000, Newport News, Virginia

→ **Search for new baryon states**

# Why N\*? From the N\* Spectrum to QCD

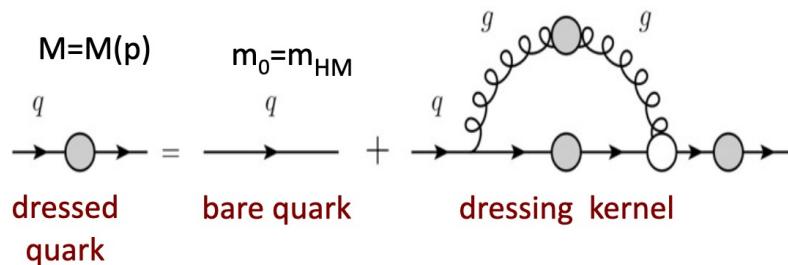
- Understanding the proton's ground state requires understanding its excitation spectrum.
- The N\* spectrum reflects the **effective degrees of freedom** and the forces.



→ From the Constituent Quark model to QCD.

# Mass Acquisition

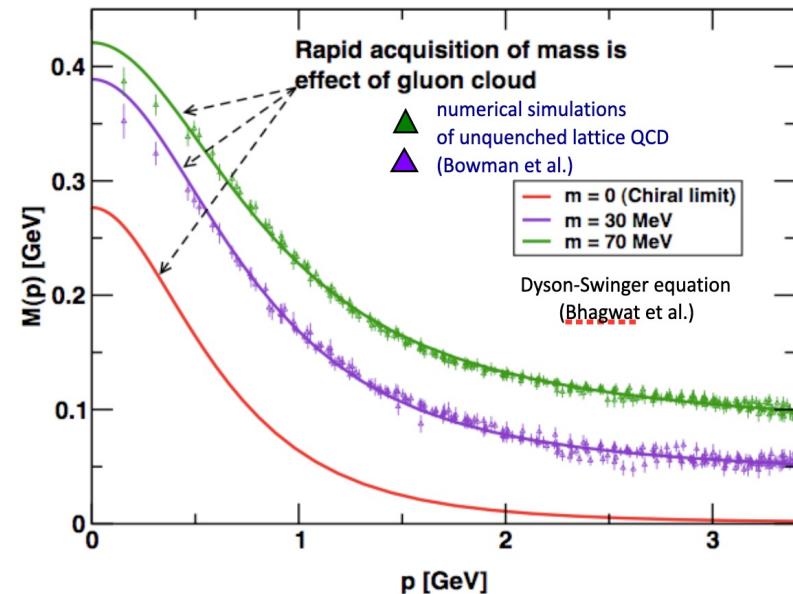
Effective quark mass depends on its momentum



## mass composition

<2% Higgs mechanism

>98% non-perturbative strong interaction



We need more information about the working of QCD in the non-perturbative regime

# Exotic Hadrons

Standard Hadrons come in two varieties: Baryons & Mesons

## Exotic Hadrons



Meson and baryon states whose properties cannot be described in terms of  $q$  anti- $q$  or  $qqq$  degrees of freedom only

### Hybrid mesons/baryons:

$qqq$  or  $q\bar{q}$  valence quarks plus a valence gluon

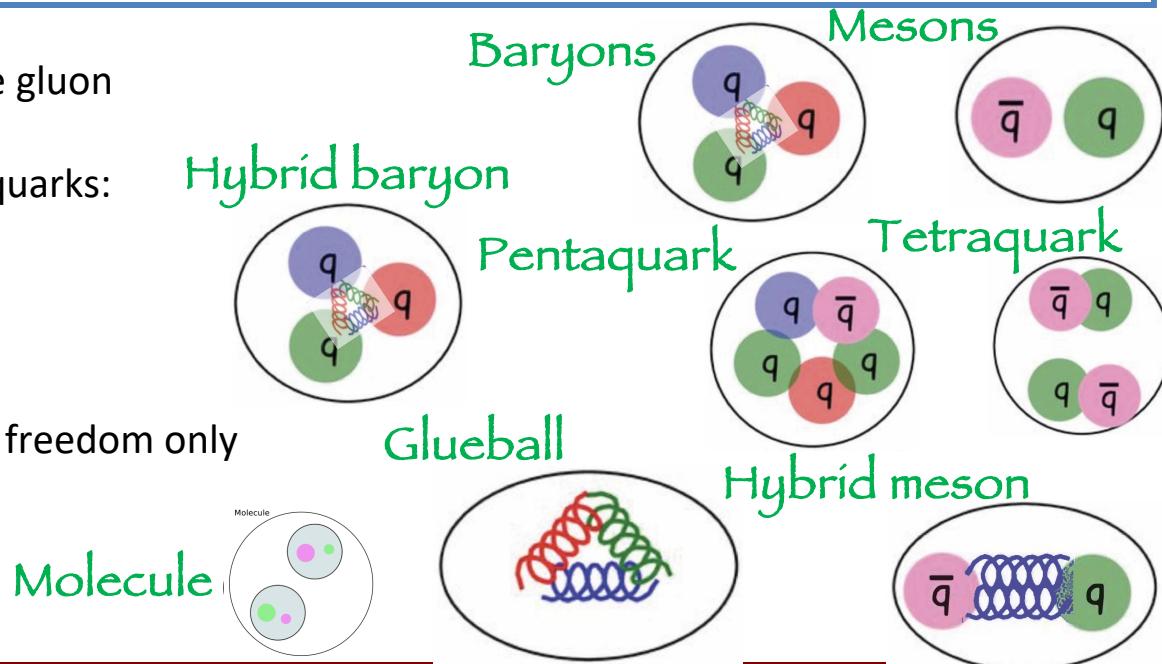
### Multiquark states:

- Baryons with more than 3 valence quarks: **pentaquarks or di-baryons**
- Mesons with more than a quark-antiquark pair: **tetraquarks**

### Glueballs:

Particles made up of gluonic degrees of freedom only

### Molecules...



# Photo- and Electro- production of mesons on nucleon targets

Meson photo- and electro-  
production reactions

for

Light quark baryon  
spectroscopy

Two elements provided a crucial boost in the field:

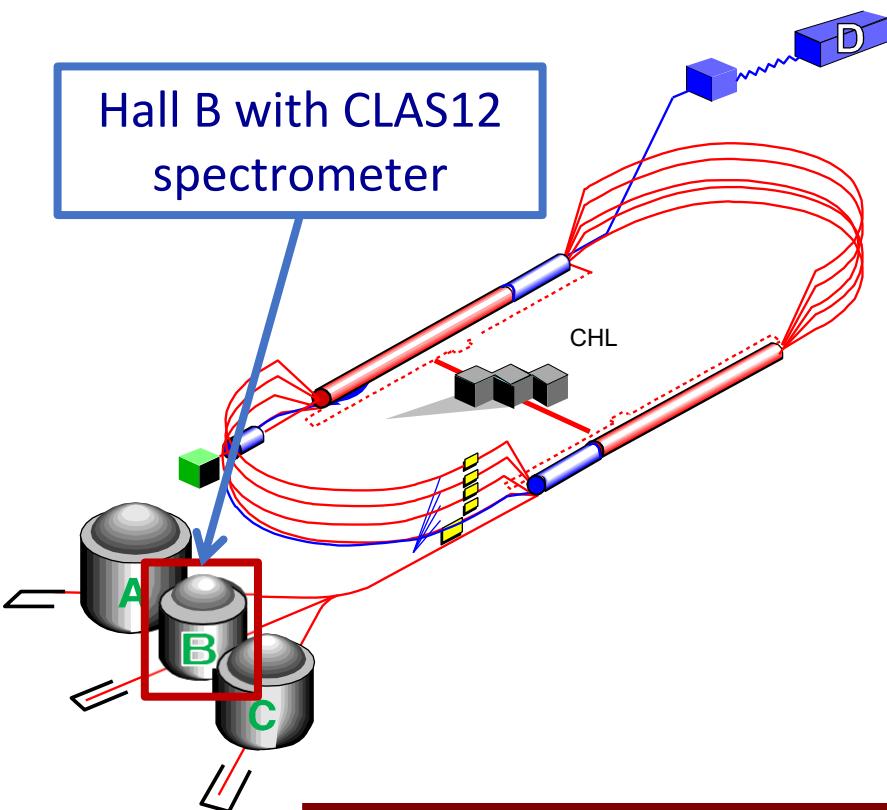
- advent of large solid angle detectors
- polarized beam and targets



single and double  
polarization observables

Powerful tool to study the internal structure of the  
nucleon

# CLAS N\* Experimental Program



**The N\* program is one of the Hall B fundamental**

- CLAS & CLAS12 – optimized to study exclusive reaction channels over a broad kinematic range:

$\pi N, \omega N, \varphi N, \eta N, \eta' N, \pi\pi N, KY, K^*Y, KY^*$



# CLAS12

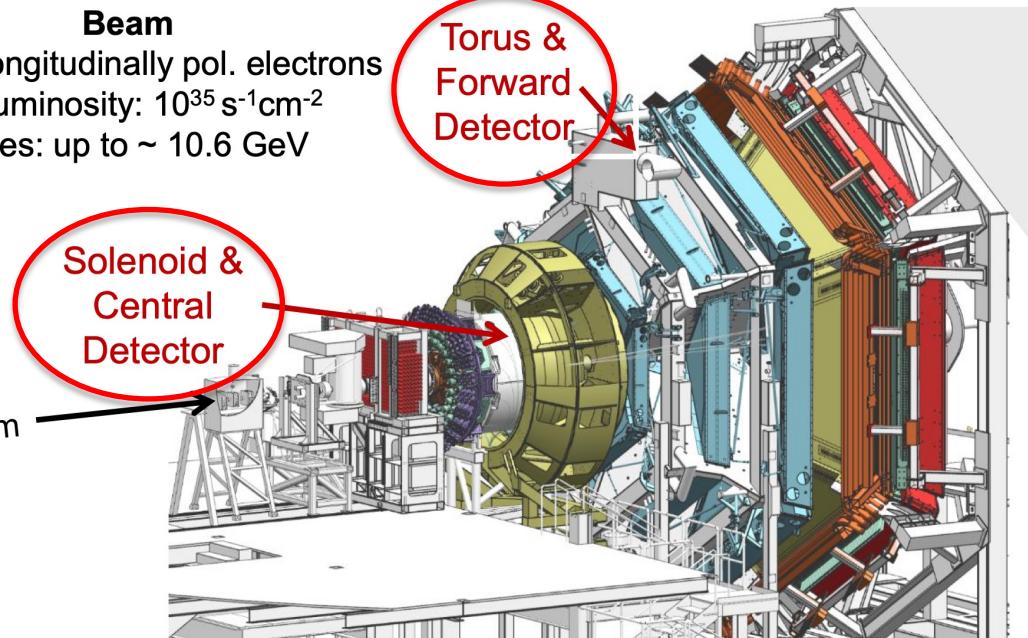
## Beam

- 85% longitudinally pol. electrons
- Max. luminosity:  $10^{35} \text{ s}^{-1}\text{cm}^{-2}$
- Energies: up to  $\sim 10.6 \text{ GeV}$

Torus &  
Forward  
Detector

Solenoid &  
Central  
Detector

beam

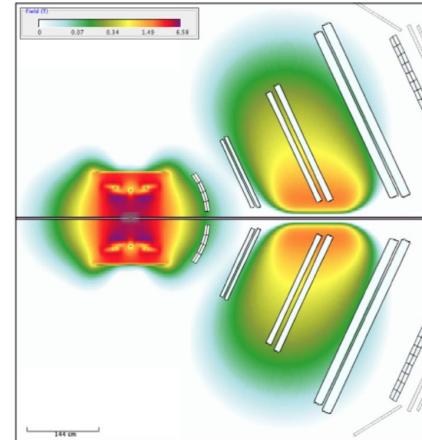


[V.D. Burkert et al., Nucl. Inst. and Meth. A 959, 163419 (2020)]

## Targets (org. by Run Groups)

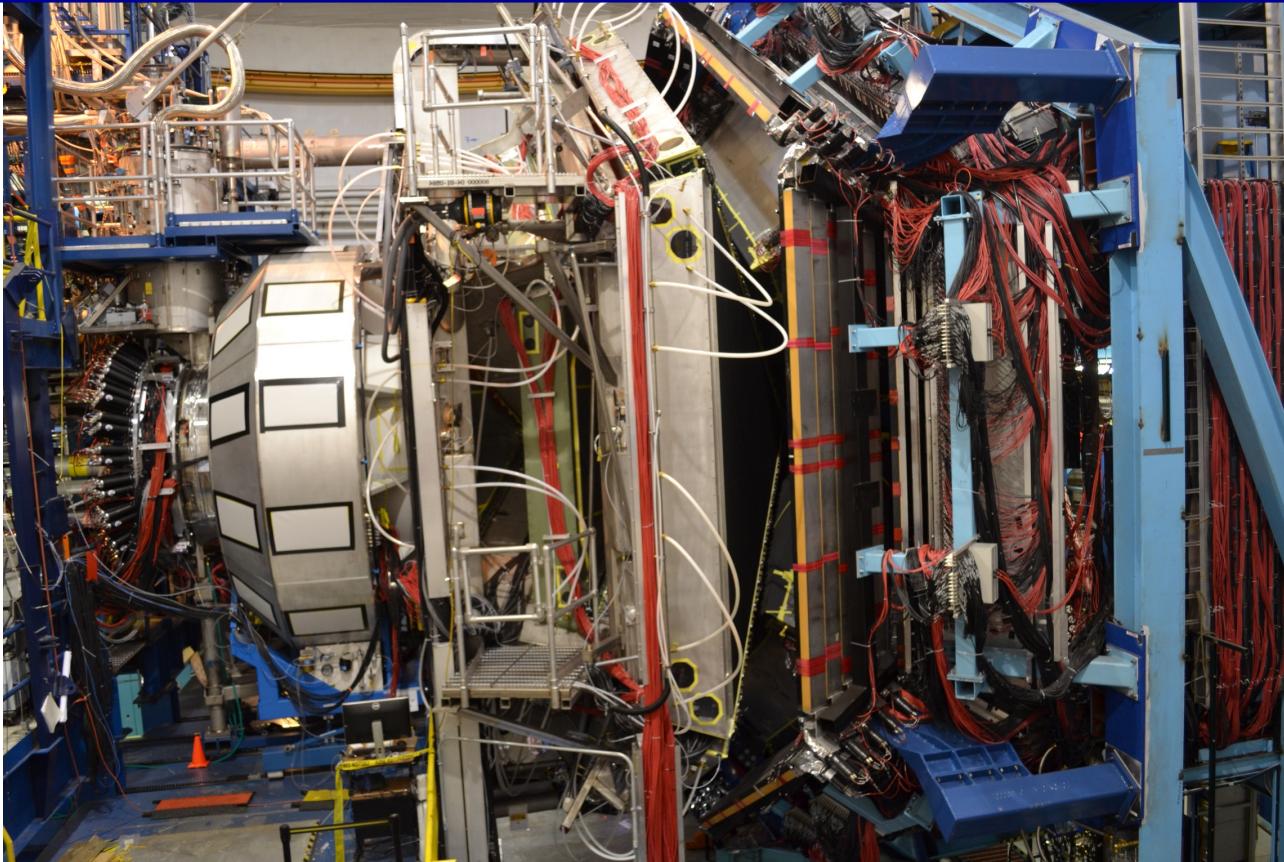
- Proton (RG-A/K)
- Deuteron (RG-B)
- Nuclei (RG-M/D/E)
- Long. pol.  $\text{NH}_3/\text{ND}_3$  (RG-C)

## Magnetic Field

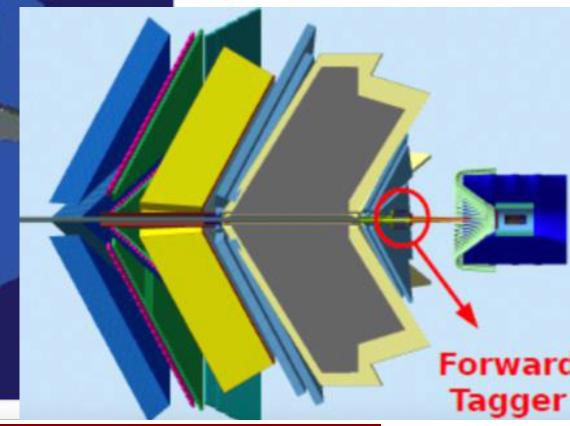
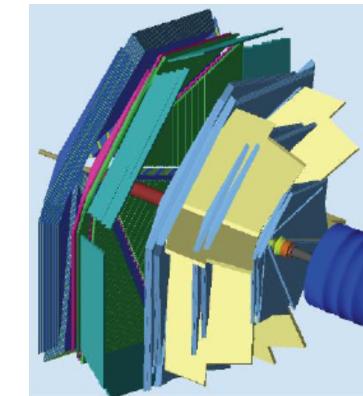
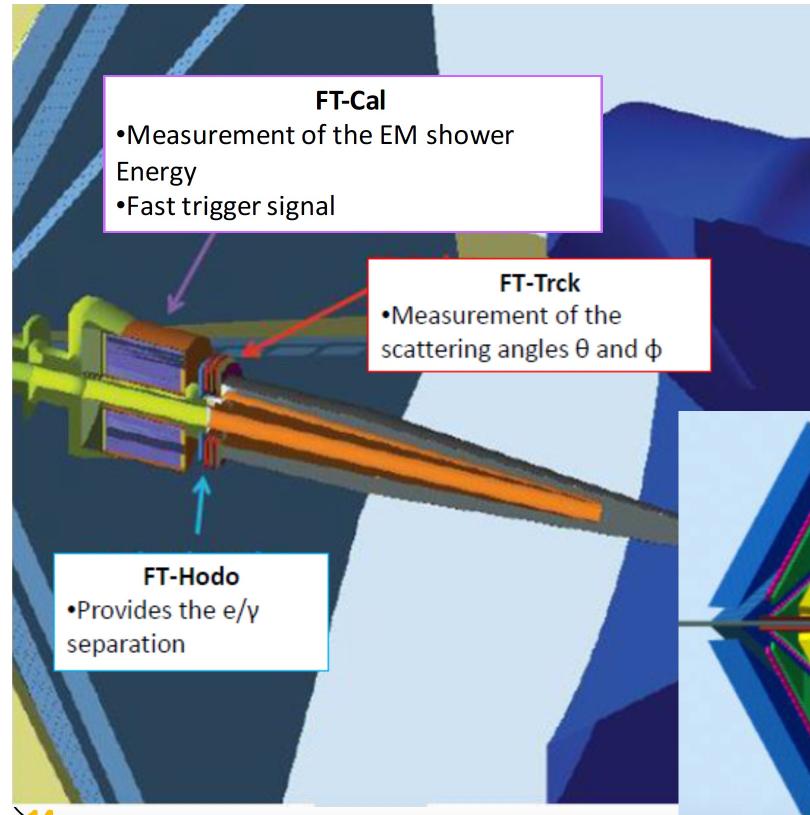
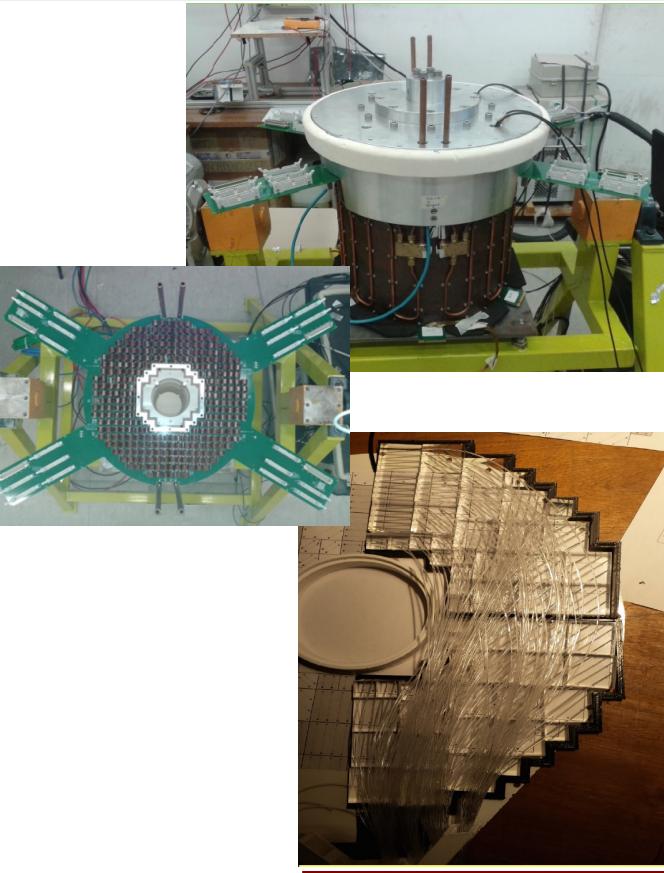


Ideal instrument to study exclusive meson electroproduction  
in the nucleon resonance region

# CLAS12 Spectrometer



# Experimental Setup: Forward Tagger



# RGK @ CLAS12

## Run Group Proposal (RG K) “Color Confinement and Strong QCD”:

Search for Hybrid Baryons (qqqq)	DVCS
KY Electroproduction for the N* study	SIDIS

RUN CONDITIONS	
Torus Current	100% (3375 A) - negative out-bending
Solenoid	-100 %
FT	<b>ON @ 7.5 GeV -&gt; OFF @ 6.5 GeV and 8.5 GeV</b>
Beam/Target	Polarized electrons, un-polarized LH <sub>2</sub> target
Luminosity	<ul style="list-style-type: none"><li>• <math>\sim 5 \cdot 10^{34} \text{ cm}^{-2}\text{s}^{-1}</math> @ 7.5 GeV   <math>\sim 0.87 \cdot 10^{34} \text{ cm}^{-2}\text{s}^{-1}</math> @ 6.5 GeV</li><li><math>0.87 \cdot 10^{35} \text{ cm}^{-2}\text{s}^{-1}</math> @ 6.4 GeV   <math>10^{35} \text{ cm}^{-2}\text{s}^{-1}</math> @ 8.5 GeV   <b>FULL LUMINOSITY</b></li></ul>

Fall 2018: EVENTS **15.6 G**

Spring 2024: EVENTS **60 G (Statistics increased by a factor 4)**

**50% of the total**

# Hybrid Hadrons

Hybrid hadrons with dominant gluonic contributions are predicted to exist by QCD.

**Experimentally:**

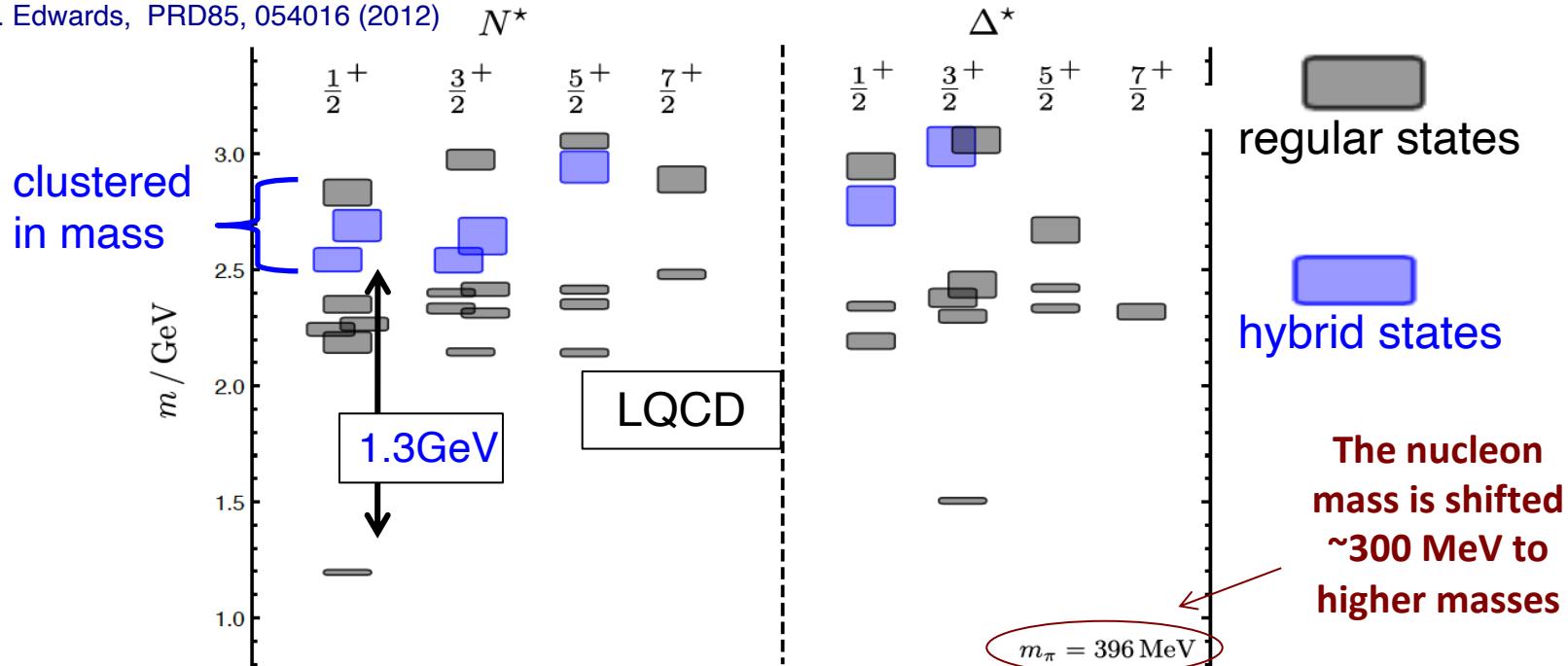
- **Hybrid mesons**  $|q\bar{q}g\rangle$  states may have exotic quantum numbers  $J^{PC}$  not available to pure  $|q\bar{q}\rangle$  states  
GlueX, MesonEx, COMPASS, PANDA ....
- **Hybrid baryons**  $|qqqg\rangle$  have the same quantum numbers  $J^P$  as  $|qqq\rangle$  electroproduction with CLAS12 (Hall B).

**Theoretical predictions:**

- ❖ MIT bag model - T. Barnes and F. Close, Phys. Lett. 123B, 89 (1983).
- ❖ QCD Sum Rule - L. Kisslinger and Z. Li, Phys. Rev. D 51, R5986 (1995).
- ❖ Flux Tube model - S. Capstick and P. R. Page, Phys. Rev. C 66, 065204 (2002).
- ❖ LQCD - J.J. Dudek and R.G. Edwards, PRD85, 054016 (2012).

# Hybrid Baryons in LQCD

J.J. Dudek and R.G. Edwards, PRD85, 054016 (2012)



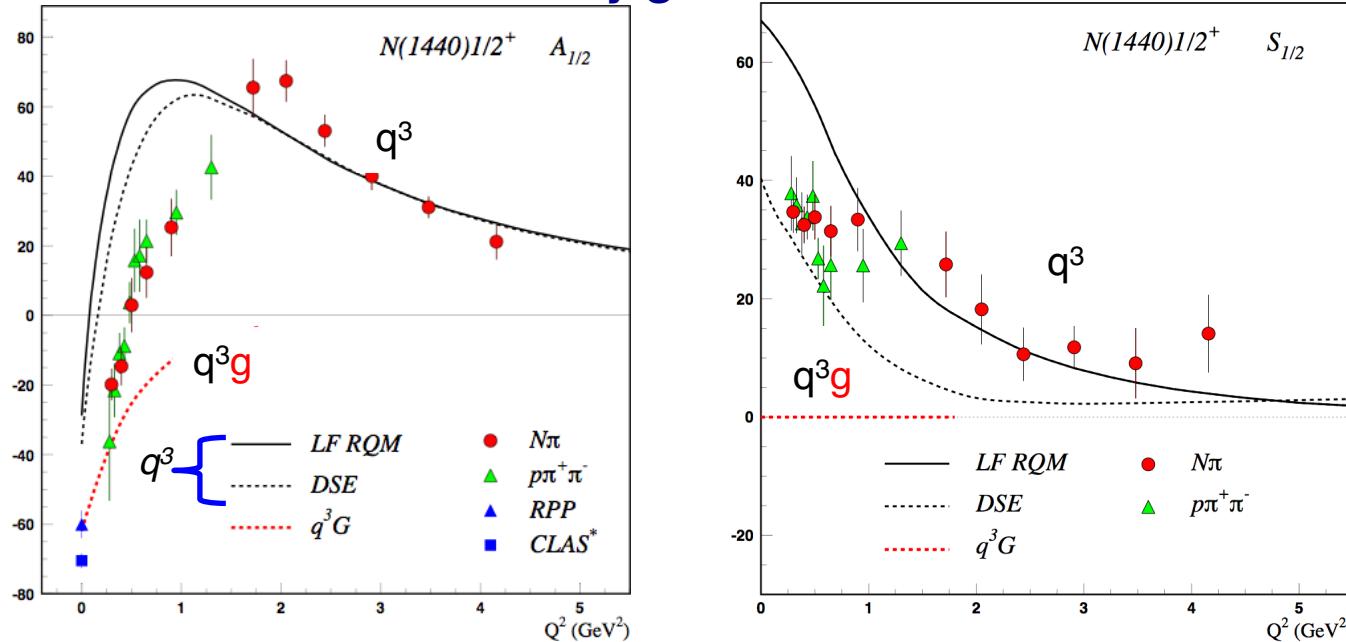
Hybrid states have same  $J^P$  values as  $qqq$  baryons. How to identify them?

- Overpopulation of  $N \frac{1}{2}^+$  and  $N \frac{3}{2}^+$  states compared to QM projections.
- $A_{1/2}$  ( $A_{3/2}$ ) and  $S_{1/2}$  show different  $Q^2$  evolution.

# Separating $q^3g$ from $q^3$ states?

CLAS results on electrocouplings clarified nature of the Roper.

Will CLAS12 data be able to identify gluonic contributions ?



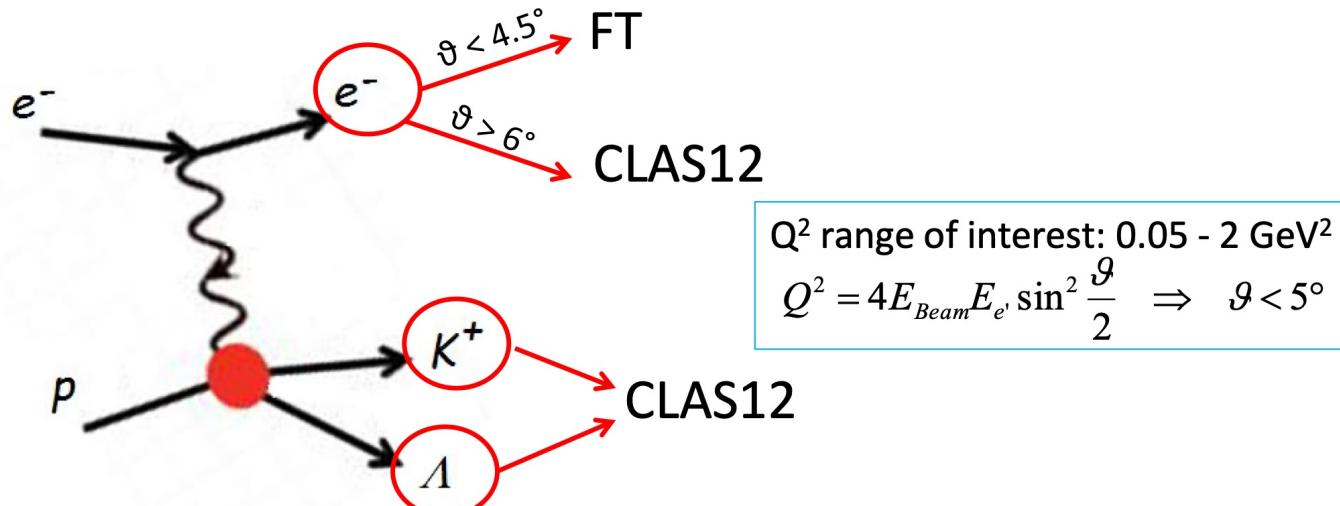
For hybrid “Roper”,  $A_{1/2}(Q^2)$  drops off faster with  $Q^2$  and  $S_{1/2}(Q^2) \sim 0$ .

# KY channel, low $Q^2$ region

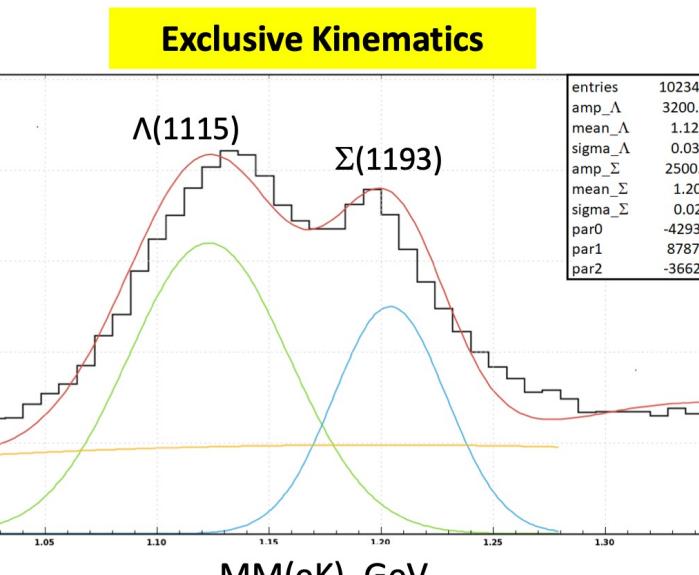
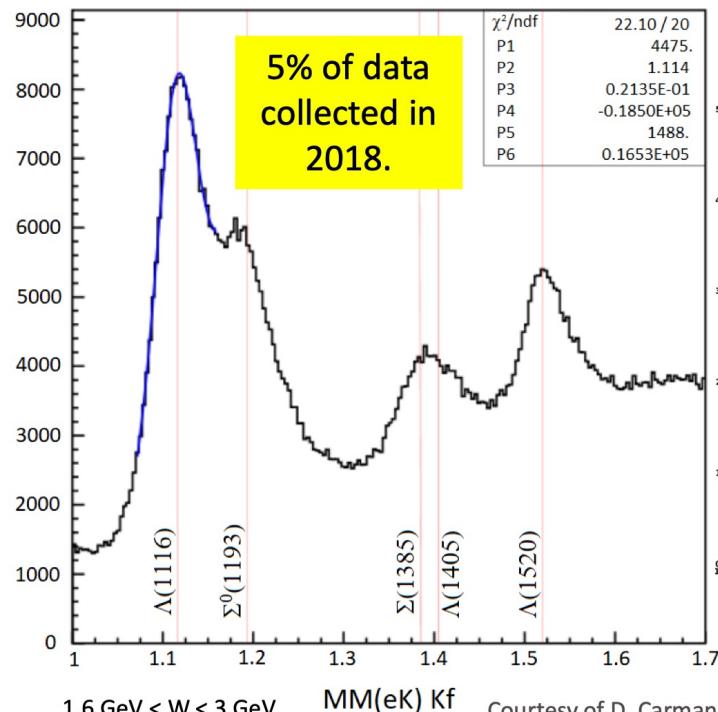
Data from KY are critical to provide the extraction of the electrocoupling amplitudes:



FT allows to probe the **crucial  $Q^2$  range** where hybrid baryons may be identified due to their fast dropping  $A_{1/2}(Q^2)$  amplitude and the suppression of the scalar  $S_{1/2}(Q^2)$  amplitude.



# Preliminary Results: electron in the FD(CLAS)/FT



Preliminary results obtained with data collected in 2018

$$p(e, e' K^+) X$$

$$E_{beam} = 7.546 \text{ GeV}$$



# Beam-Recoil Transferred Polarization in K<sup>+</sup>Y Electroproduction in the Nucleon Resonance Region with CLAS12

Theoretical expectation:

$\mathcal{P}'_x$	$\frac{1}{2} \sqrt{1-\epsilon} K_I (R_{TL'}^{x'0} \cos \theta_K^* - R_{TL'}^{y'0} + R_{TL'}^{z'0} \sin \theta_K^*)$
$\mathcal{P}'_y$	0
$\mathcal{P}'_z$	$\sqrt{1-\epsilon^2} K_I (-R_{TT'}^{x'0} \sin \theta_K^* + R_{TT'}^{z'0} \cos \theta_K^*)$

$\mathcal{P}'_{x'}$	$K_I \sqrt{1-\epsilon^2} R_{TT'}^{x'0}$
$\mathcal{P}'_{y'}$	0
$\mathcal{P}'_{z'}$	$K_I \sqrt{1-\epsilon^2} R_{TT'}^{z'0}$

How to extract the polarization from data (approach 1):

$$\frac{dN}{d \cos \theta_P^{RF}} = N_0 (1 + \nu_Y \alpha P_Y \cos \theta_p^{RF})$$

Where  $\alpha_\Lambda = 0.732$ ,  $P=0.8567$  and  $\vartheta_p^{RF}$  is the angle between the spin quantization axis and the  $\Lambda$  decay proton in the yperon rest frame

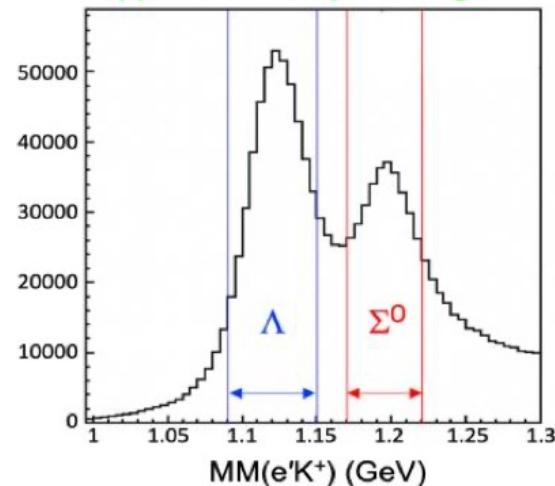
$$A_{meas} = \frac{(N_\Lambda^+ + N_\Sigma^+ + N_B^+) - (N_\Lambda^- + N_\Sigma^- + N_B^-)}{N_\Lambda + N_\Sigma + N_B} = \alpha P_b [P'_{meas}] \cos \theta_P^{RF}$$

$$P'_\Lambda = P'_{meas} (1 + F_\Sigma + F_B) - \nu_\Sigma P'_\Sigma F_\Sigma$$

$$F_\Sigma = \frac{N_\Sigma}{N_\Lambda}, \quad F_B = \frac{N_B}{N_\Lambda}$$

Binning is performed over the three kinematic variables  $Q^2$ ,  $W$  and  $\cos \vartheta_K^*$

Hyperon Analysis Regions

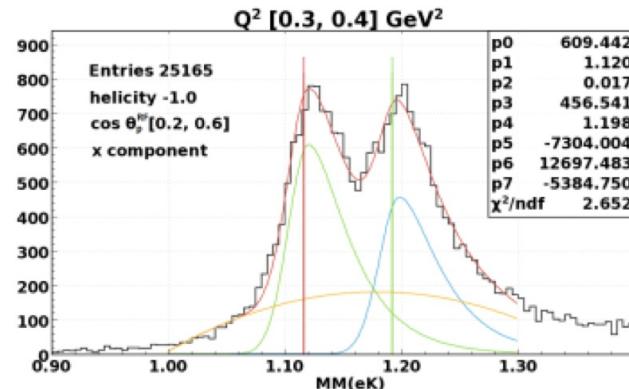
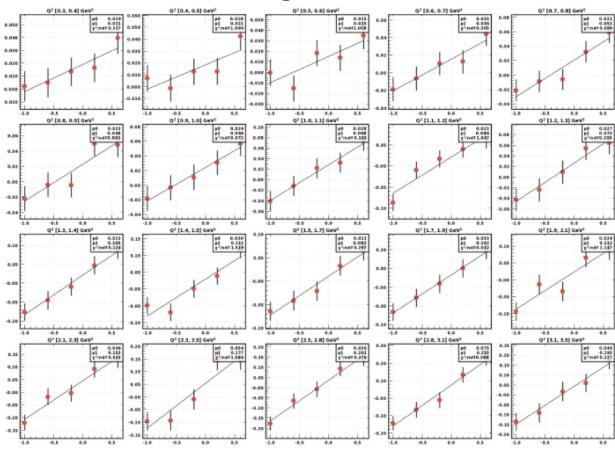


# Beam-Recoil Transferred Polarization in K<sup>+</sup>Y Electroproduction in the Nucleon Resonance Region with CLAS12

The **independent analysis** consists of the direct exploitation of equation

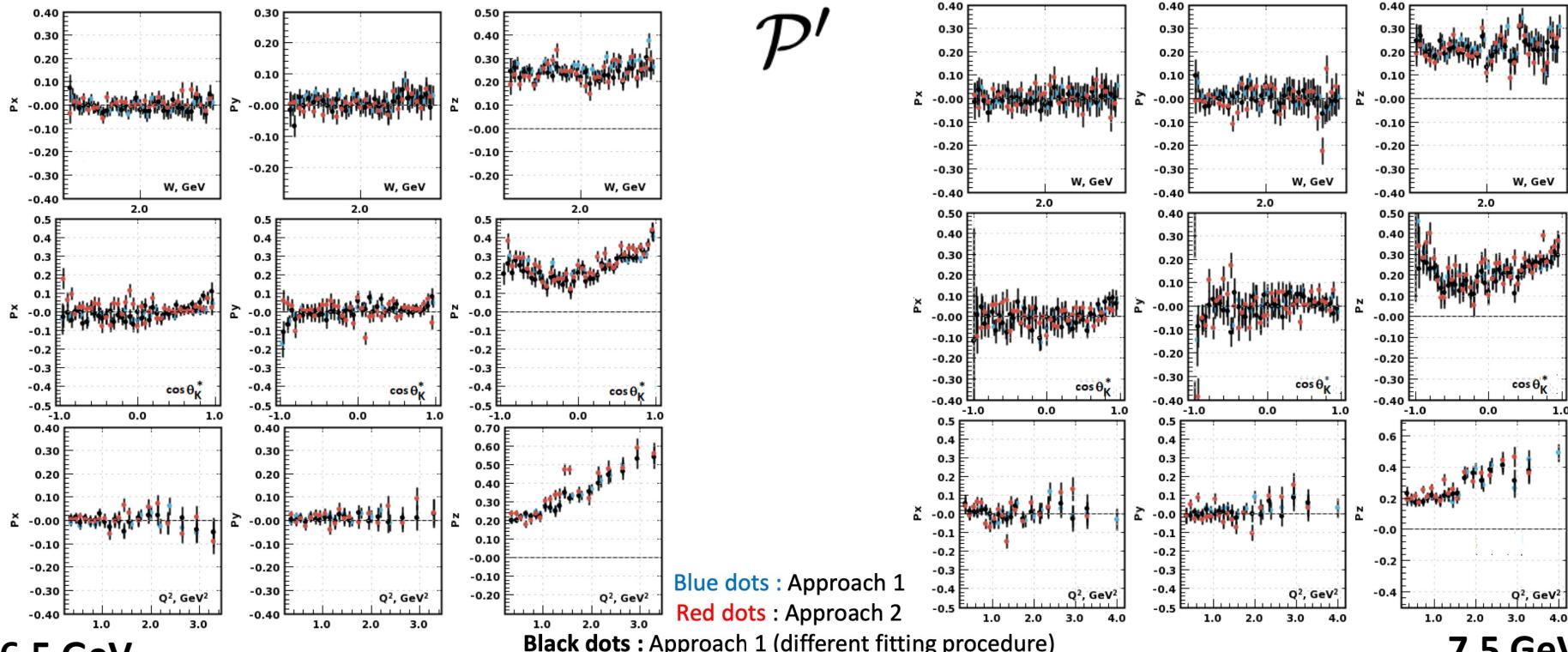
$$A = \frac{N^+ - N^-}{N^+ + N^-} = \nu_Y \alpha_\Lambda P_b \mathcal{P}'_Y \cos \theta_p^{RF}$$

The events in each kinematic bin of **Q<sup>2</sup>, W and cos θ<sub>K</sub><sup>\*</sup>** were divided into 5 cos θ<sub>p</sub><sup>RF</sup> bins for each beam helicity...



... and the number of  $\Lambda$  events was extracted using a fit of the  $MM(eK^+)$  spectrum

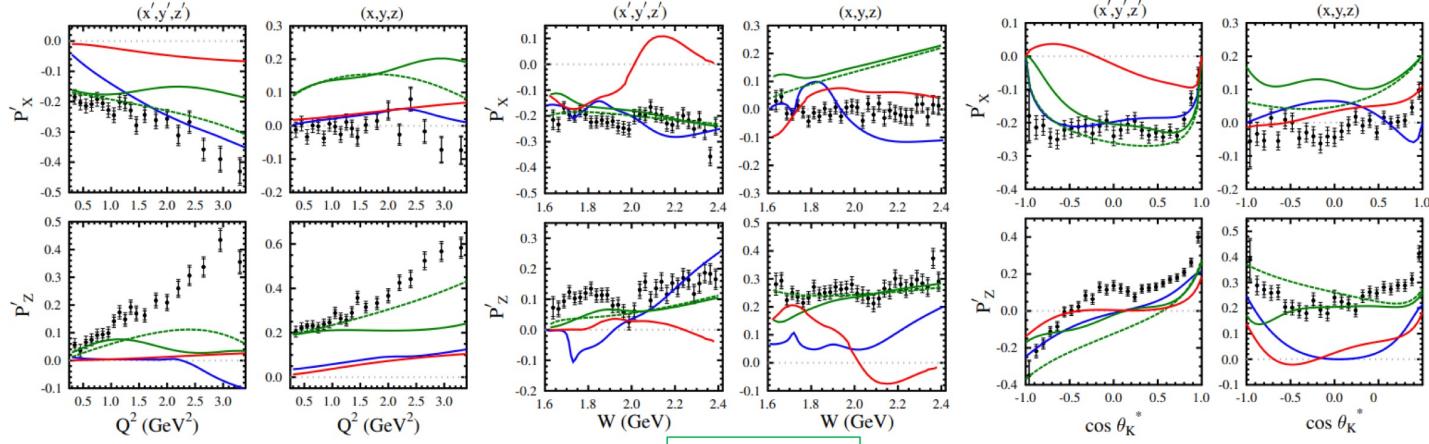
# Beam-Recoil Transferred Polarization in $K^+Y$ Electroproduction in the Nucleon Resonance Region with CLAS12



6.5 GeV

7.5 GeV

# Beam-Recoil $\Lambda$ Transferred Polarization

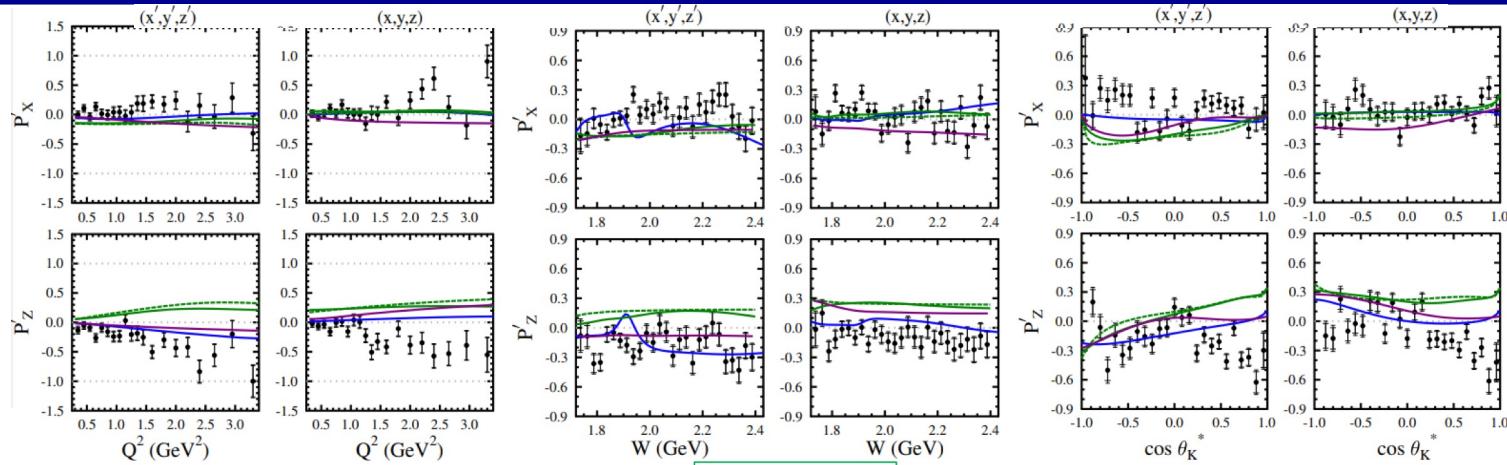


Model	Year	Type	Fit Data	$N^*$ States
Kaon-MAID	2000	Isobar	None	$1/2, 3/2$
RPR	2011	Isobar+Regge	CLAS $\gamma p$	$1/2, 3/2, 5/2$
BS3	2018	Isobar	CLAS $\gamma p$ & $e p$	$1/2, 3/2, 5/2$

D.S. Carman *et al.* (CLAS Collaboration), "Beam-Recoil Transferred Polarization in  $K^+ Y$  Electroproduction in the Nucleon Resonance Region with CLAS12", Phys. Rev. C 105, 065201 (2022)

$\Lambda$  polarization results extend available data from previous experiments (e.g. CLAS e1-6 @ 5.754 GeV)

# Beam-Recoil $\Sigma^0$ Transferred Polarization

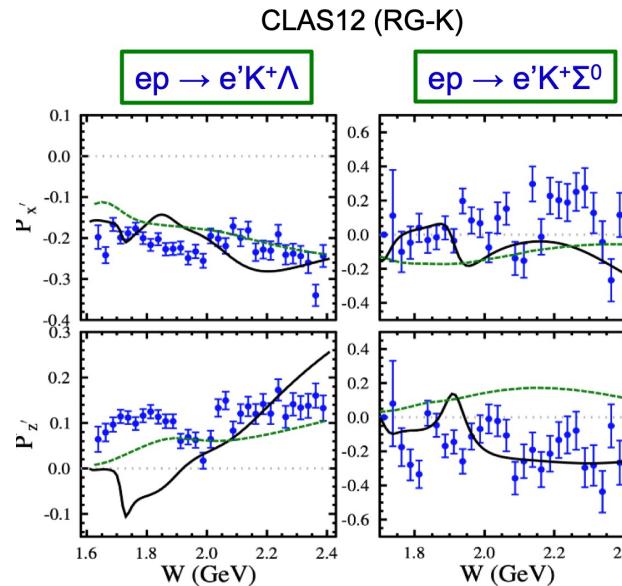


Model	Year	Type	Fit Data	$N^*$ States
SL	1996	Isobar	none	$1/2, 3/2$
Kaon-MAID	2000	Isobar	none	$1/2, 3/2$
RPR	2007	Isobar+Regge	CLAS $\gamma p$	$1/2, 3/2, 5/2$

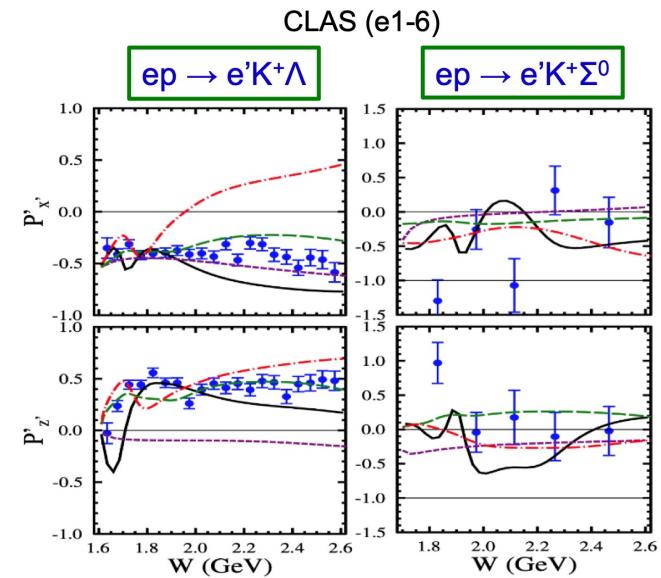
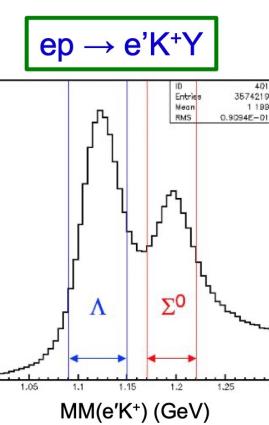
D.S. Carman *et al.* (CLAS Collaboration), "Beam-Recoil Transferred Polarization in  $K^*\pi$  Electroproduction in the Nucleon Resonance Region with CLAS12", Phys. Rev. C 105, 065201 (2022)

$\Sigma^0$  are the first statistically meaningful datasets that can be compared with model predictions.

# K<sup>+</sup>Y Transferred Polarization CLAS12 vs. CLAS



[D.S. Carman et al., Phys. Rev. C 105, 065201 (2022)]



[D.S. Carman et al., Phys. Rev. C 79, 065205 (2009)]

KAON-MAID  
RPR

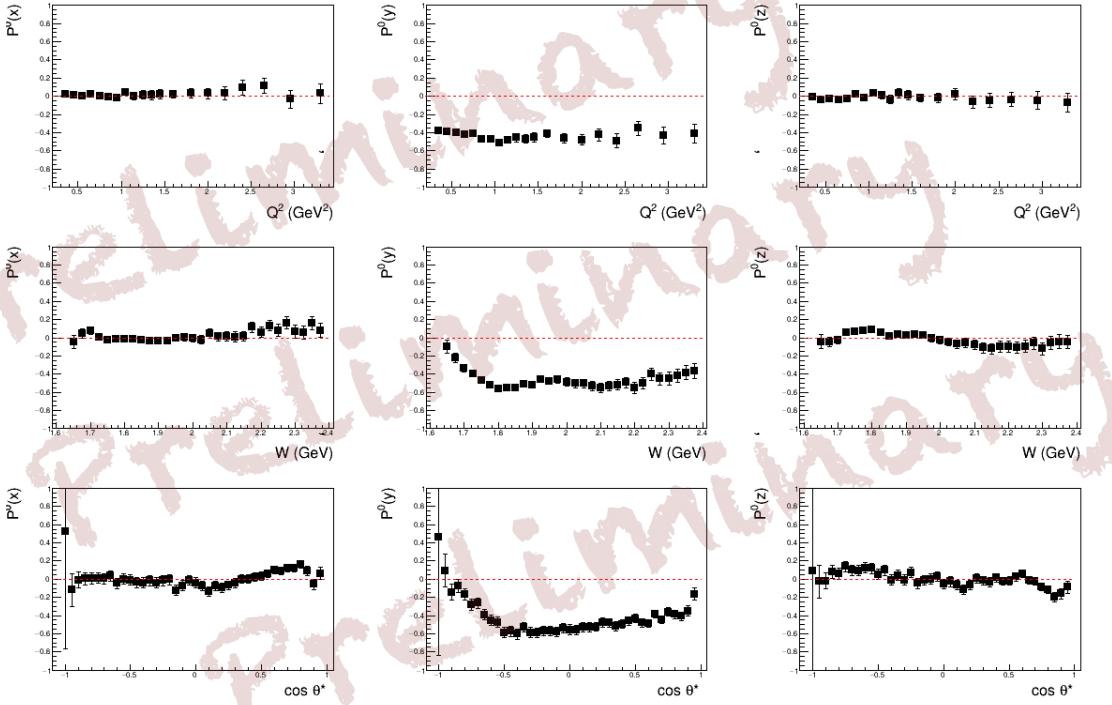
World data set will get extended  
by orders of magnitude

Mart/Bennhold  
RPR-1

RPR-2  
Regge

# K<sup>+</sup>Y Induced Polarization CLAS12

$$\frac{N^+ - N^-}{N^+ + N^-} = \frac{\nu_Y \alpha P_Y}{2}, \nu_Y = 1 \text{ or } \nu_Y = -0.256, \alpha = 0.732$$



x and z components still not  
fully compatible with 0  
*as expected from theory*

$(x,y,z)$	$\Phi$ -integrated	$(x,y,z)$	
$P_{x'}^0$	0	$P_x^0$	
$P_{y'}^0$	$K_I(R_T^{y'0} + eR_L^{y'0})$	$P_y^0$	$\frac{1}{2}\sqrt{\epsilon(1+\epsilon)}K_I(R_{LT}^{x'0}\cos\theta_K^{cm.} + R_{LT}^{y'0} + R_{LT}^{z'0}\sin\theta_K^{cm.})$
$P_{z'}^0$	0	$P_z^0$	0

The analysis will be improved  
once the **Spring 2024** data will  
be available for analysis

# $\Lambda(1520)$

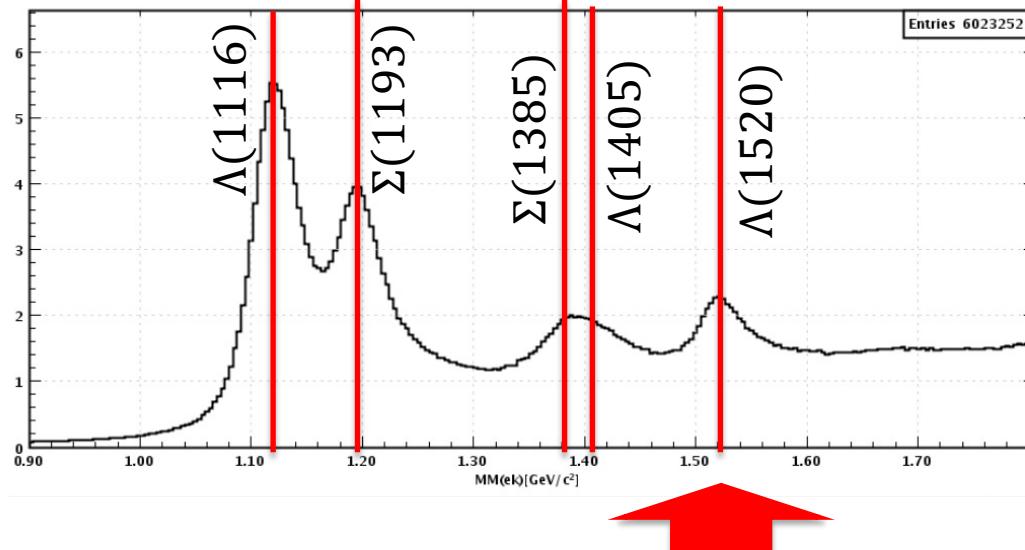
kFWD pFWD

Other channels could be exploited as final states for possible new resonances..

$$ep \rightarrow eK^+\Lambda(1520) \rightarrow eK^+ K^- p$$

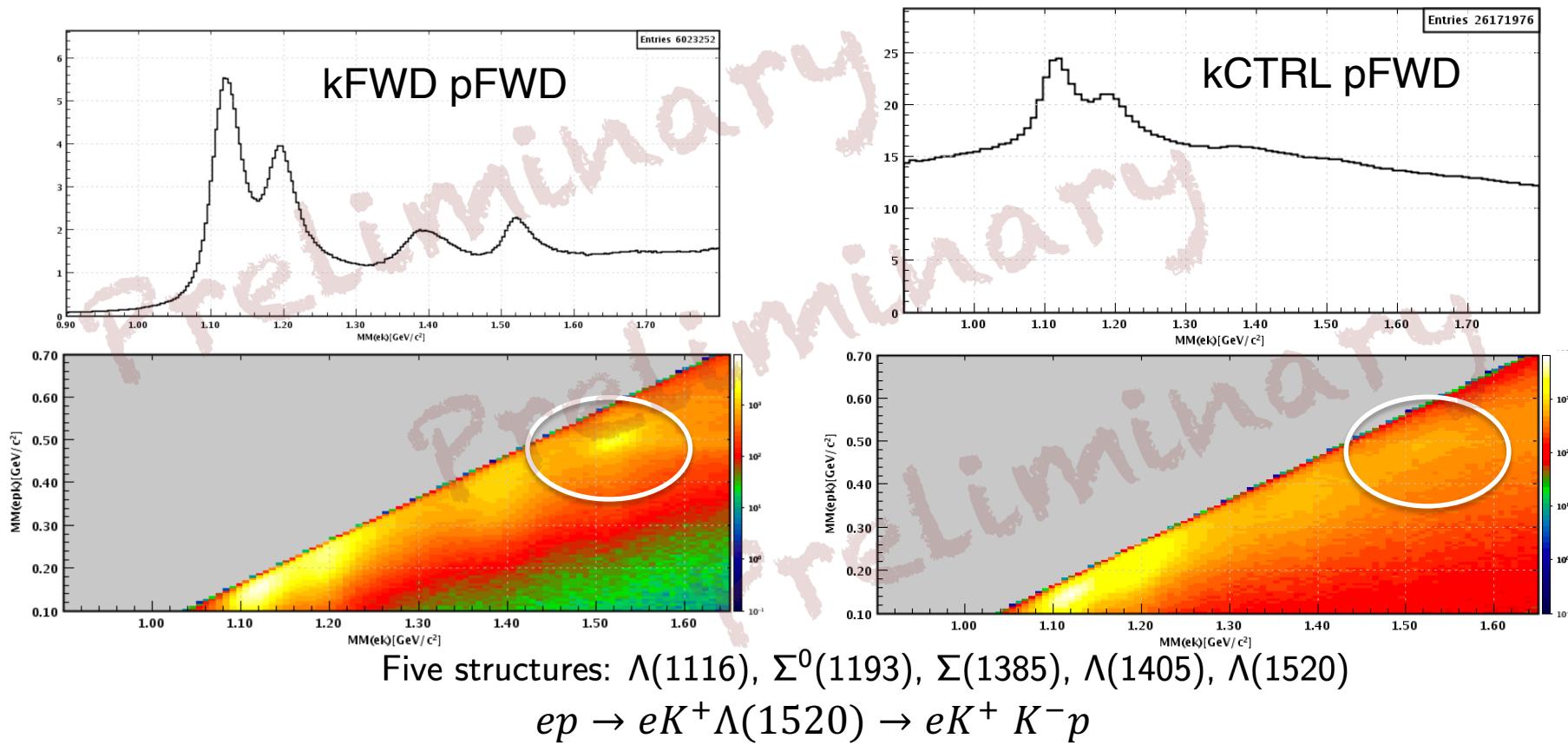
The existence of several nonstrange  $N^*$  resonances with significant ( $\sim 5\%$ ) branching ratios into the decay channel  $K^+\Lambda(1520)$  has been predicted

- S. Barrow et al., CLAS Coll., Phys.Rev.C64:044601,2001
- Simon Chapstick and W. Roberts, Phys. Rev. D 58 074011



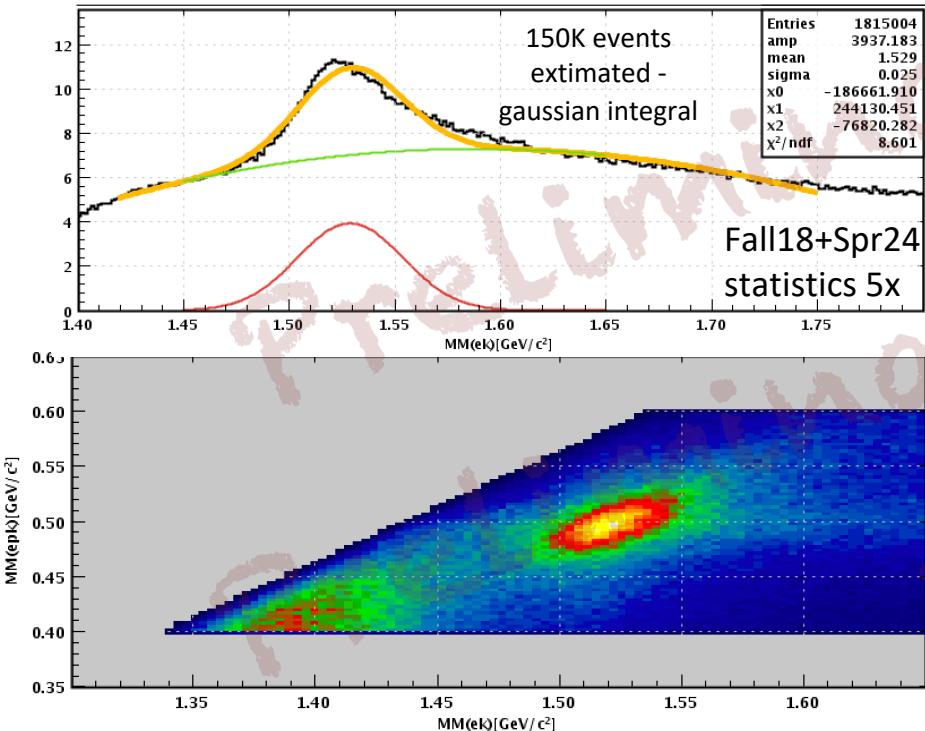
$\Lambda(1520)$  arises as a separate structure

# $\Lambda(1520)$



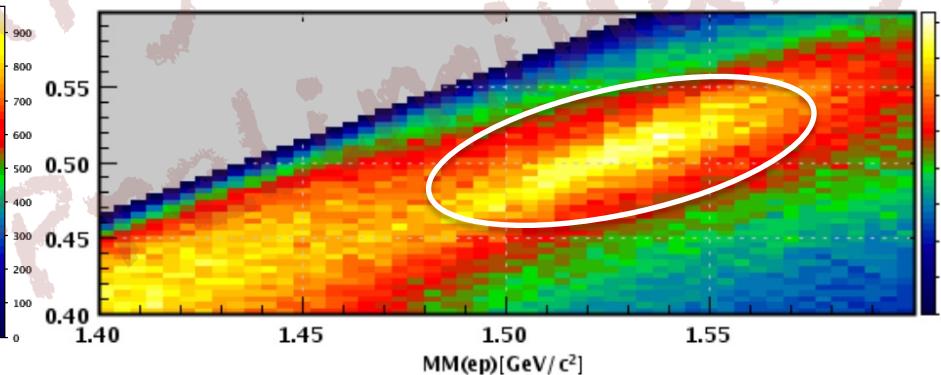
# $\Lambda(1520)$

x10<sup>3</sup>      6.5 GeV full dataset



It is possible to isolate  
 $\Lambda(1520)$  also in events with  
an electron detected in the FT

7.5 GeV dataset



# Summary and Outlook

## Summarizing:

- The study of N\* states is one of the **crucial topics** of the CLAS and CLAS12 physics programs:
  - CLAS has produced a huge amount of data up to  $Q^2 < 5 \text{ GeV}^2$
  - CLAS12 was designed to extend these studies for  $0.05 < Q^2 < 12 \text{ GeV}^2$
- The first results of the CLAS12 N\* program have been obtained with the analysis of KY polarization transfer data from the RGK Fall 2018 Run
  - The RGK dataset is 5x larger than the available KY world data in the resonance region
  - Only 10% of expected statistics has been analyzed.**
- On going analyses:
  - First paper on KY electroproduction has been published on PRC
  - Other analyses based on the existing RG-K data are in progress
  - More data have been collected in Spring 2024

## And in the future...

- Future work with these data is expected to face up he most challenging problems of the Standard Model on the nature of hadron mass, confinement, and the emergence of N\* states from quarks and gluons

**Stay tuned for further updates...**

# Summary and Outlook

Summarizing:

- The study of  $N^*$  states is one of the **crucial topics** of the CLAS and CLAS12 programs:
  - CLAS has produced a huge amount of data up to  $Q^2 \approx 5$  GeV $^2$
  - CLAS12 was designed to extend these studies for  $0.05 \leq Q^2 \leq 12$  GeV $^2$
- The first results of the CLAS12  $N^*$  program have been presented. The analysis of the transfer data from the RGK Fall 2018 Run
  - The RGK dataset is 5x larger than the CLAS dataset
  - Only 10% of expected statistics**
- On going analyses:
  - First paper on  $K^*_L$  published**
  - Other analyses ongoing
  - More data will be analyzed

And in the future

- Future work will focus on the remaining challenges:
  - Addressing the most challenging problems of the Standard Model
  - Improving the precision of the measurements
  - Explaining the emergence of  $N^*$  states from quarks and gluons

**Stay tuned for further updates...**