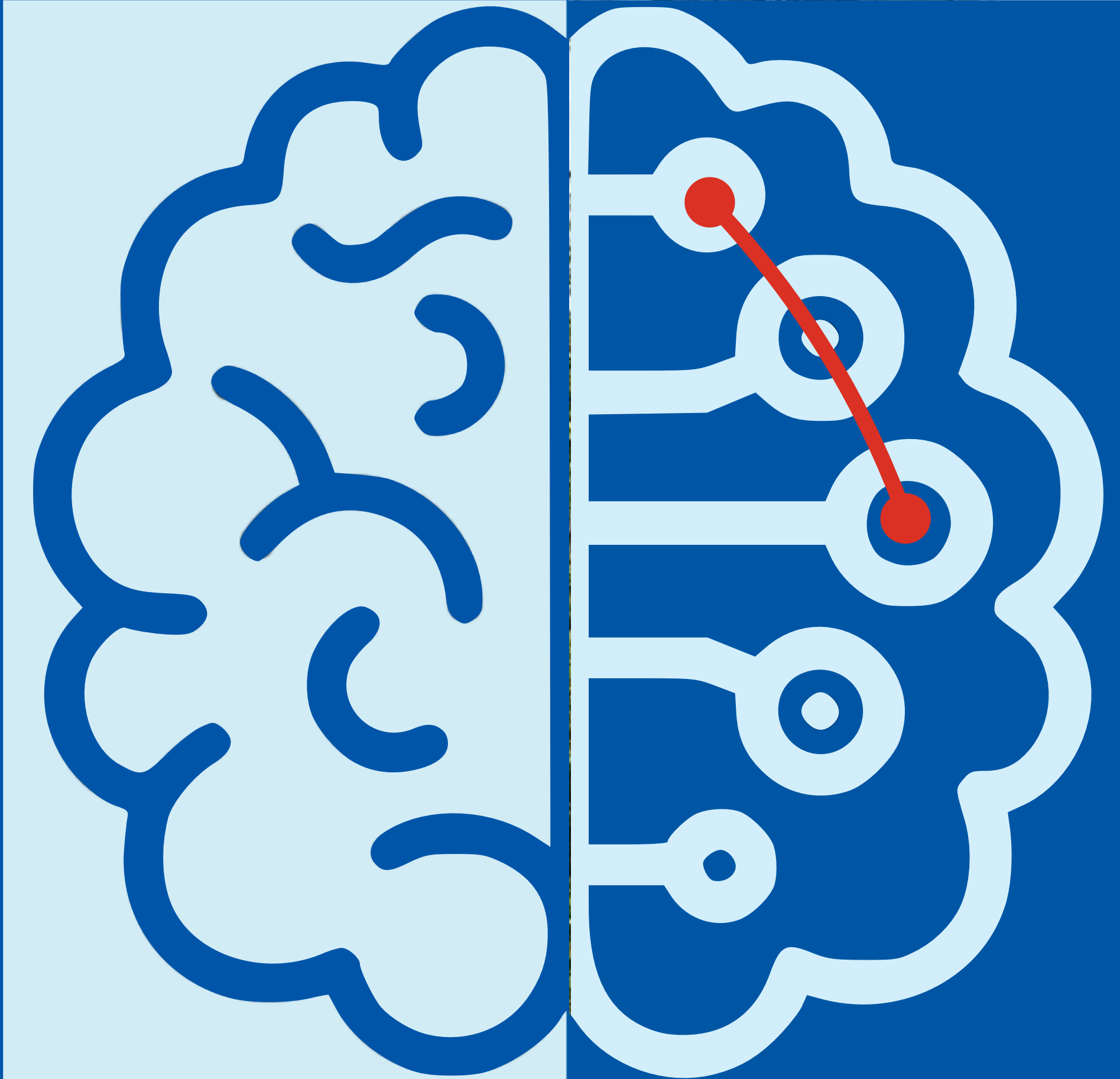


Machine learning at the LHCb experiment

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ML Workshop at ICCUB,
24.10.2023



UNIVERSITAT DE BARCELONA

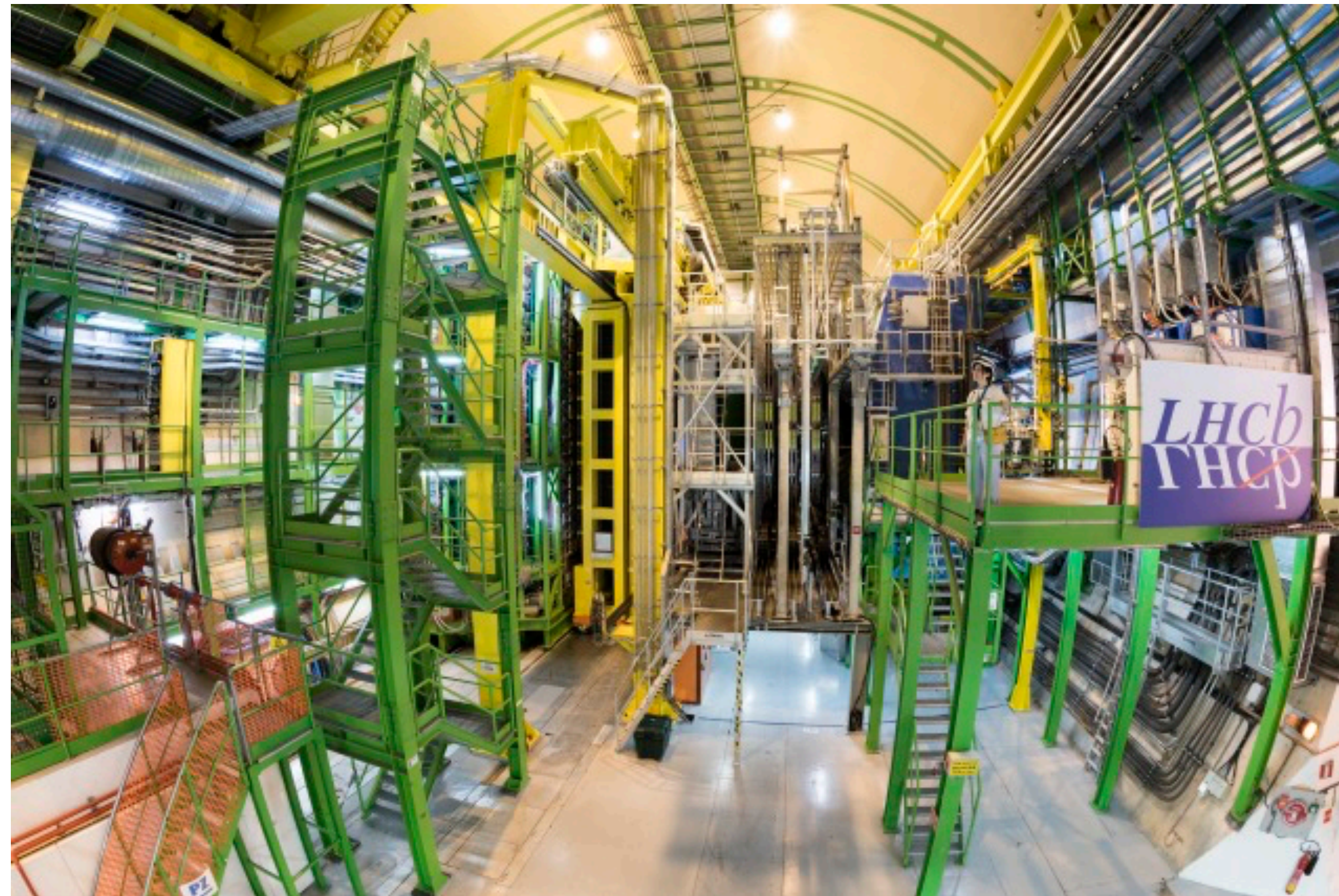


- High-energy physics experiment at the LHC at CERN
- Precision measurements of b and c hadron decays

CP violation /
CKM angles

Rare decays

Hadron
spectroscopy



- High-energy physics experiment at the LHC at CERN
- Precision measurements of b and c hadron decays

Large Hadron Collider beauty experiment (LHCb)

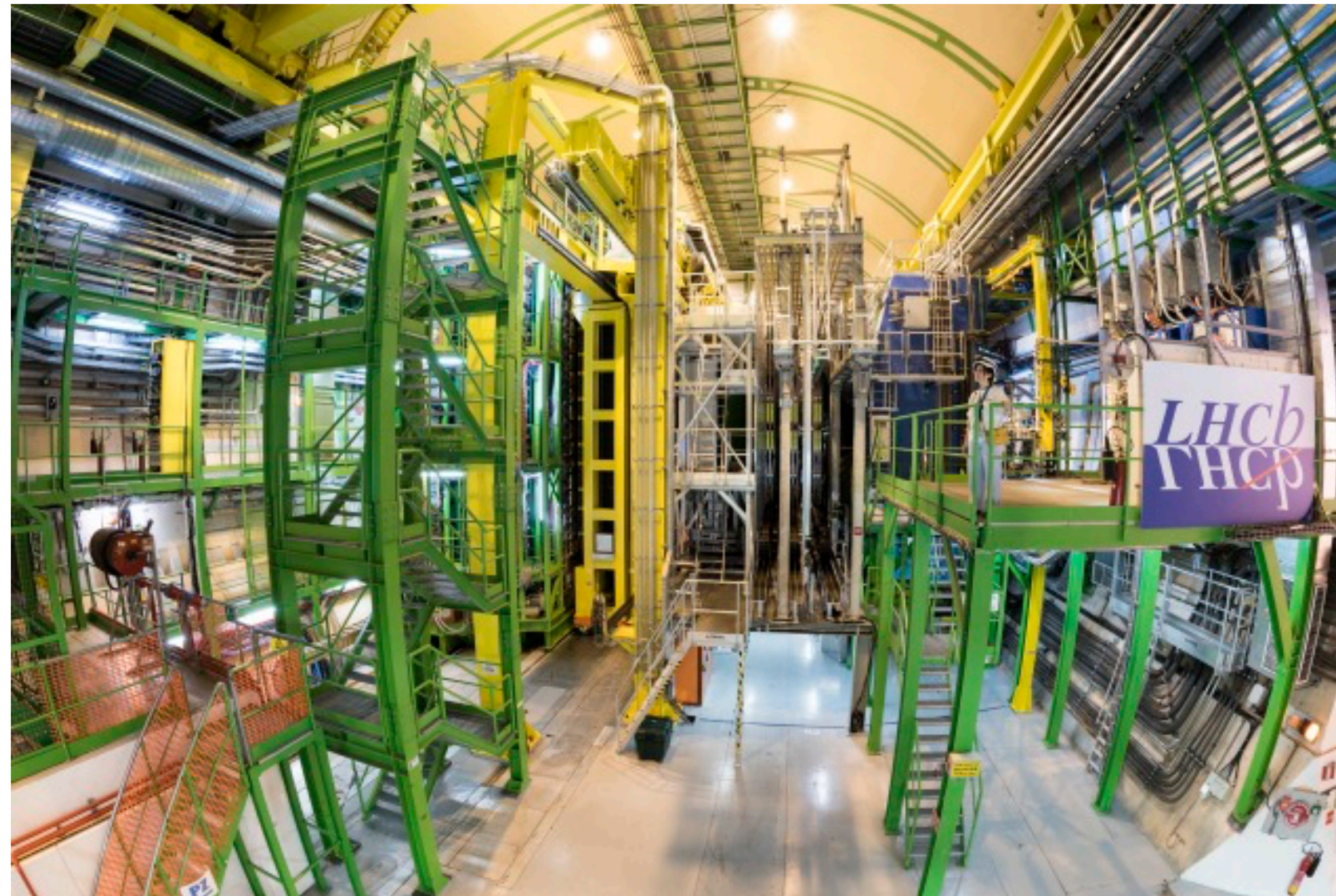


CP violation /
CKM angles

Rare decays

Hadron
spectroscopy

Exotica
searches



Electroweak

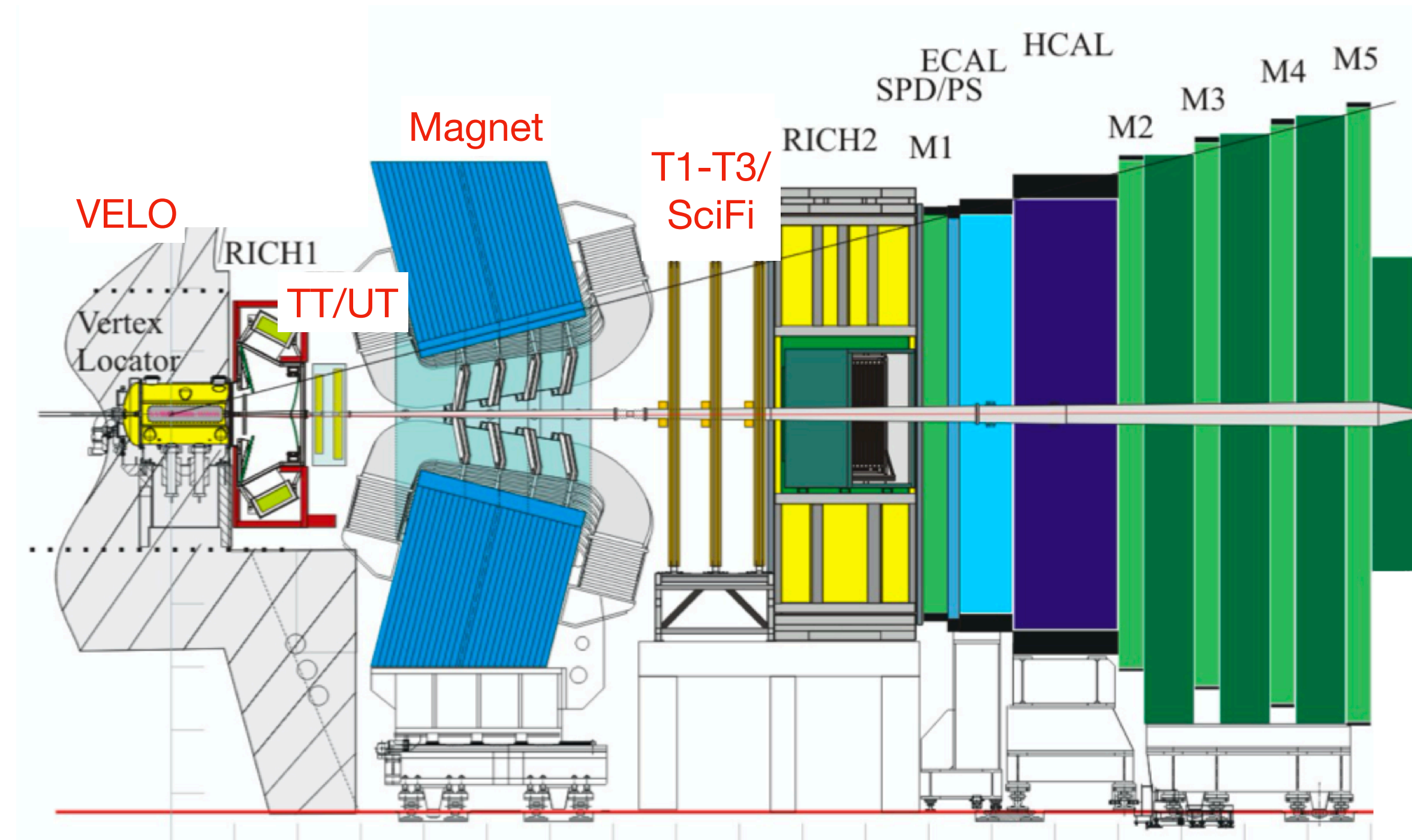
Heavy ions/
fixed target

Semileptonic
decays

Kaon physics

...

- High-energy physics experiment at the LHC at CERN
- Precision measurements of b and c hadron decays



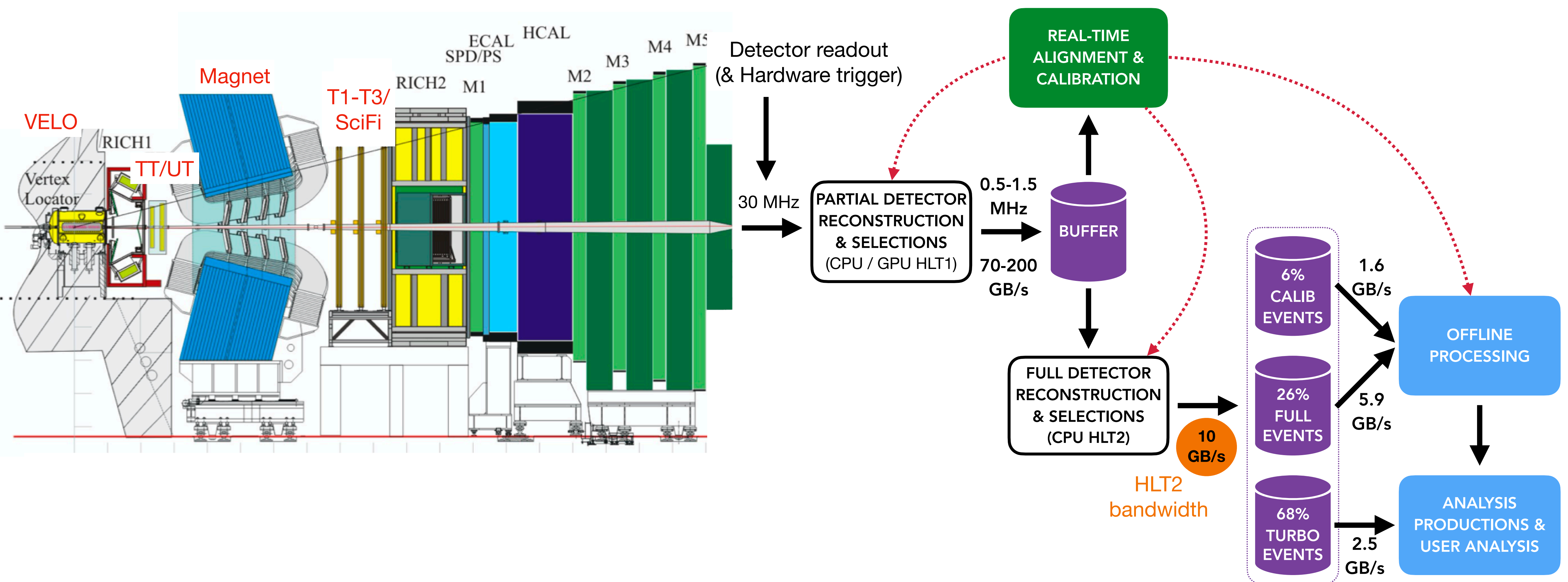
Two reconstruction tasks:

Track reconstruction

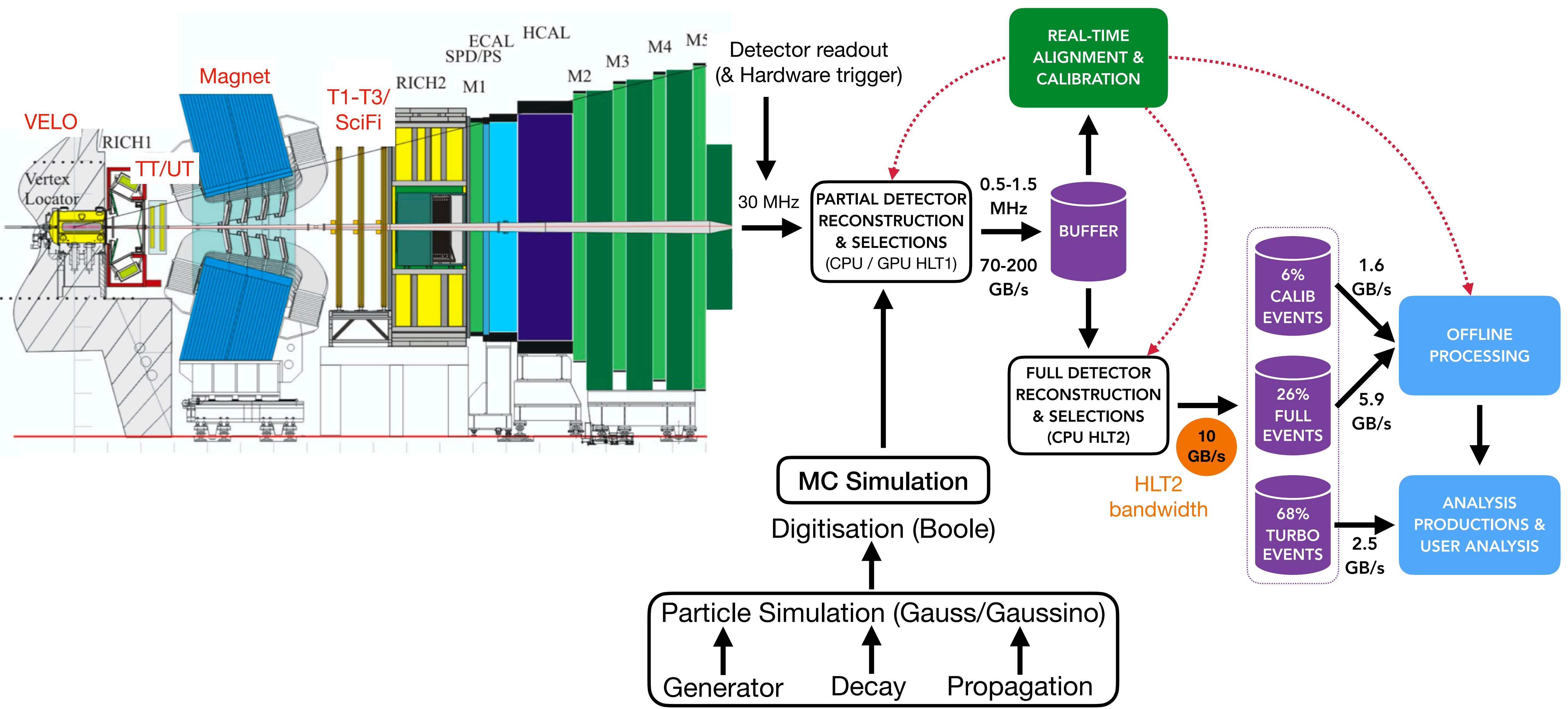
&

Particle Identification

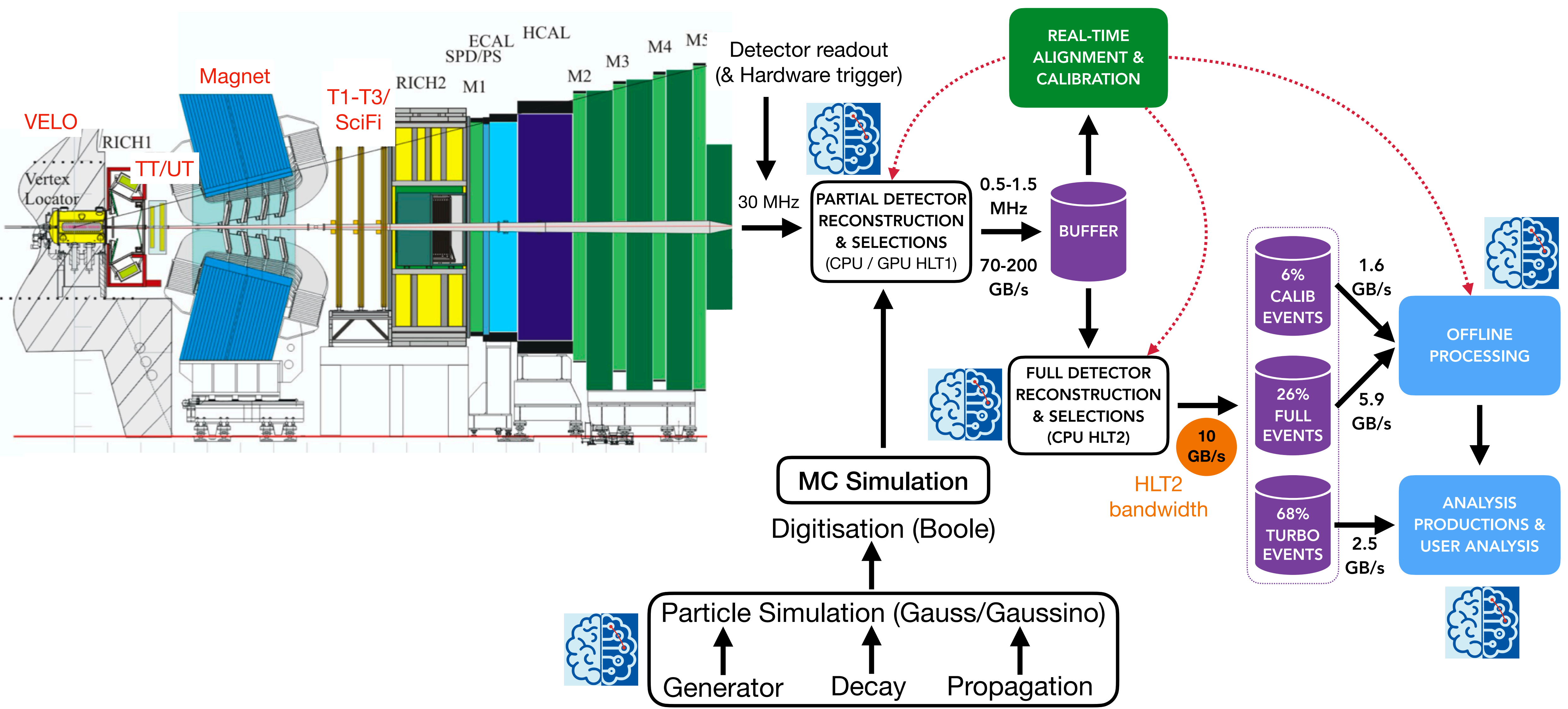
LHCb - data processing chain



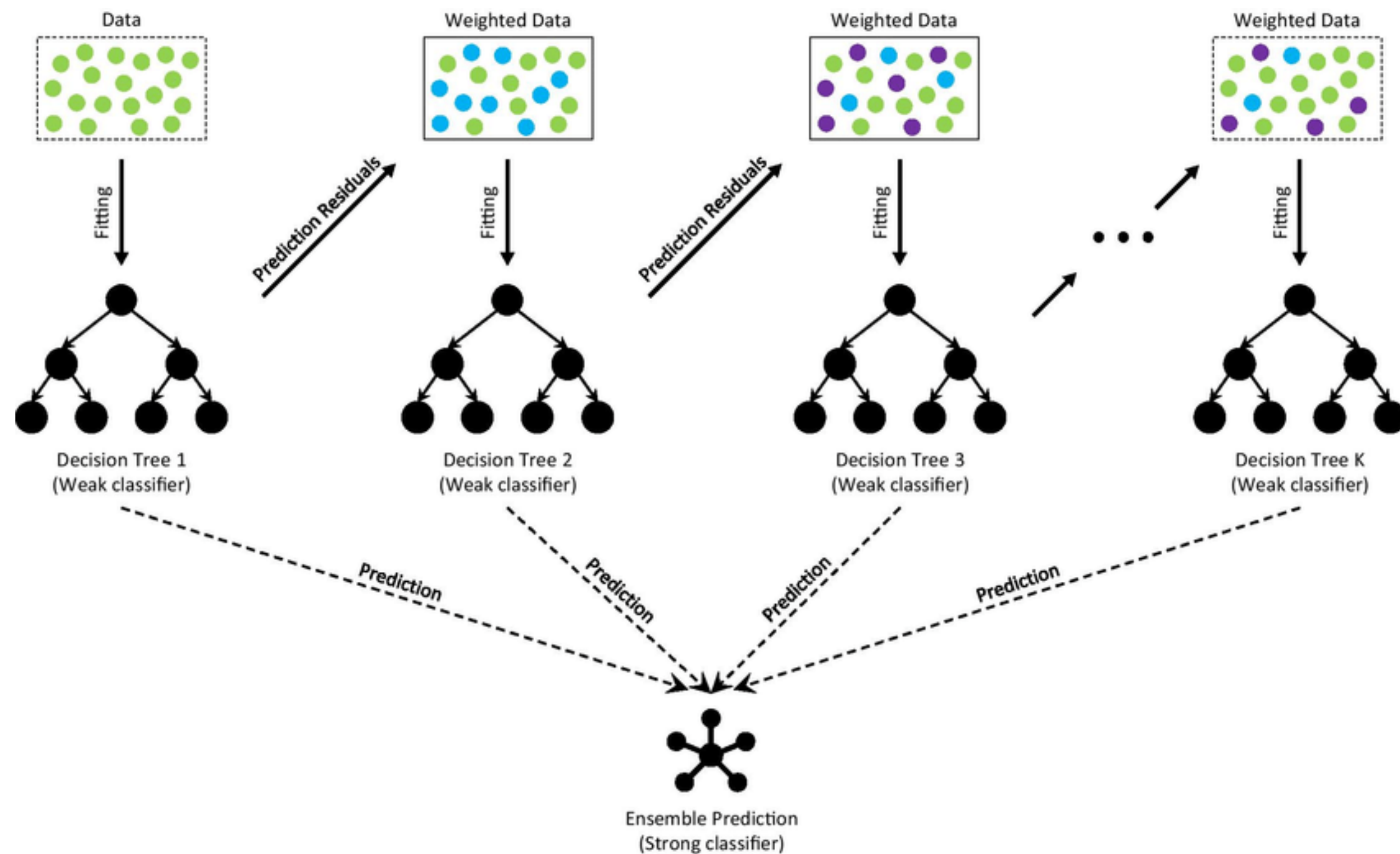
LHCb - data processing chain



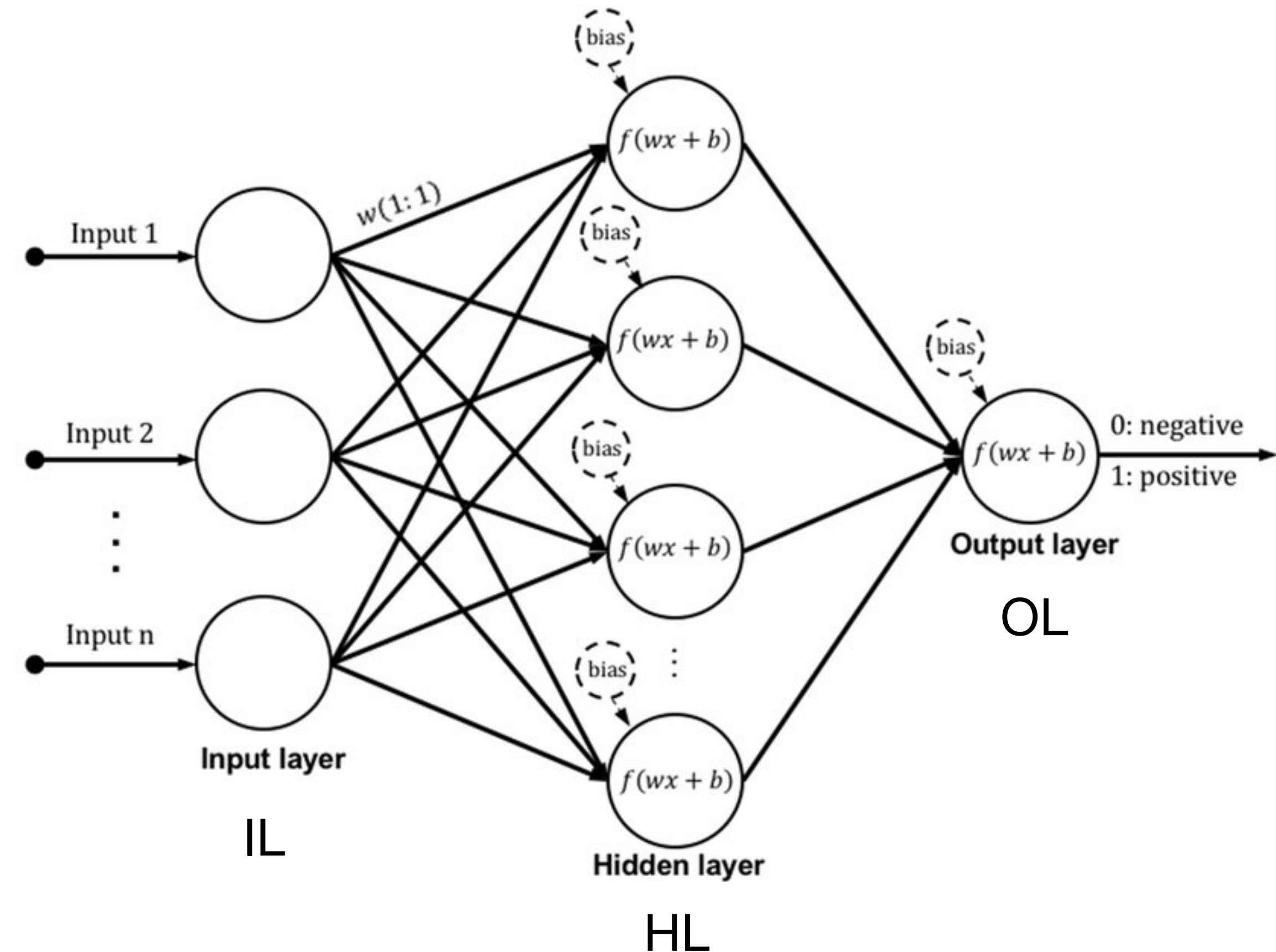
LHCb - data processing chain



Gradient Boosted Decision Trees (BDT)



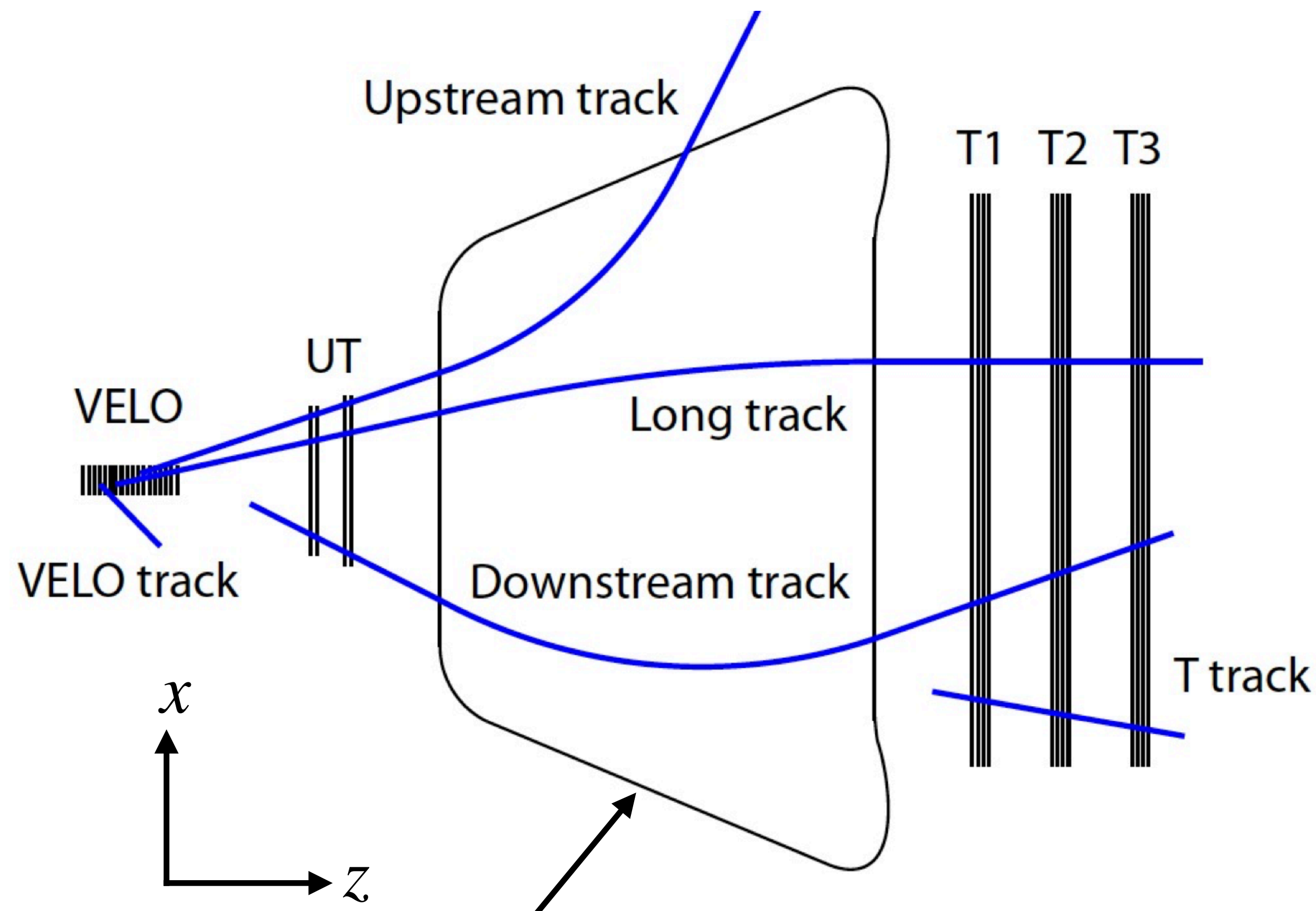
Neural Networks: Multi Layer Perceptron (MLP)



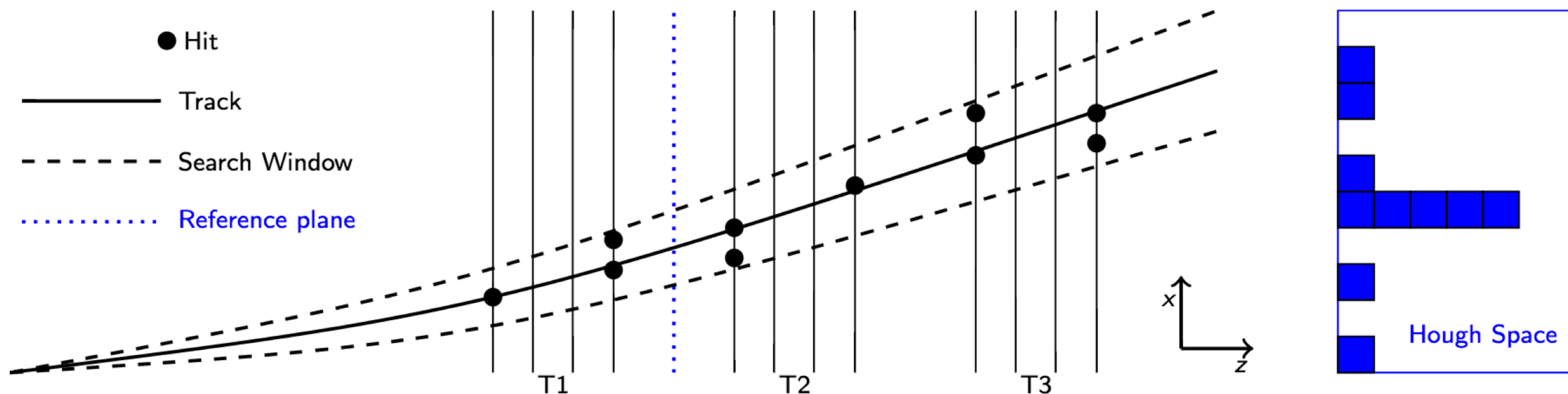
Usually we use supervised learning techniques from these software libraries: [xgboost](#), [scikit-learn](#), [scikit-hep](#), [TMVA](#)

Event reconstruction - Tracking at LHCb

- Different track types need different tracking algorithms:
 - Forward tracking
 - Seeding & Matching
- Tracking algorithms consist of three steps:
 - Pattern recognition
 - Track fit $\rightarrow \chi^2_{\text{trk}}$
 - Removal of bad track candidates
- Performance indicators:
 - Tracking efficiency
 - Fake rate



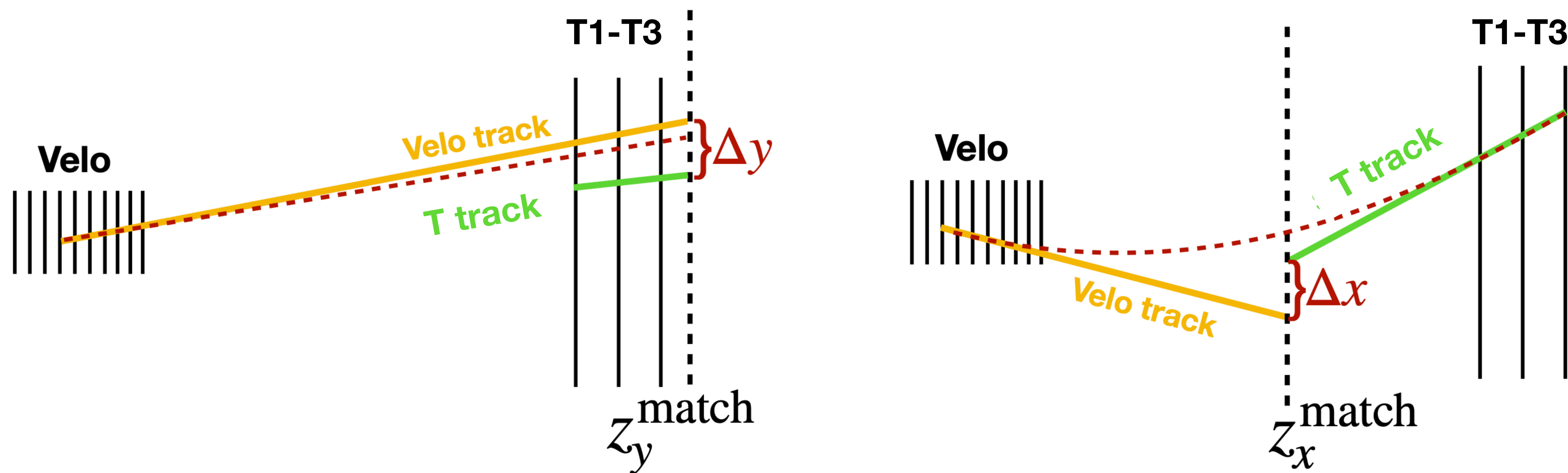
Dipole magnet with $\vec{B} \approx B_y \vec{e}_y \rightarrow$ tracks are bent in xz -plane



- Reconstructed VELO tracks **forwarded** to the T stations looking for hits in search windows
- Clustering hits in **reference plane**, fitting & removing outliers, recovery loop
- Two MLPs:
 - Rejection of clusters with only 4 hits in recovery loop (2 HL, 9 IL nodes, 16,10 HL nodes)
 - Final track candidate selection before track fit is performed (3 HL, 16 IL nodes, 17,9,5 HL nodes)

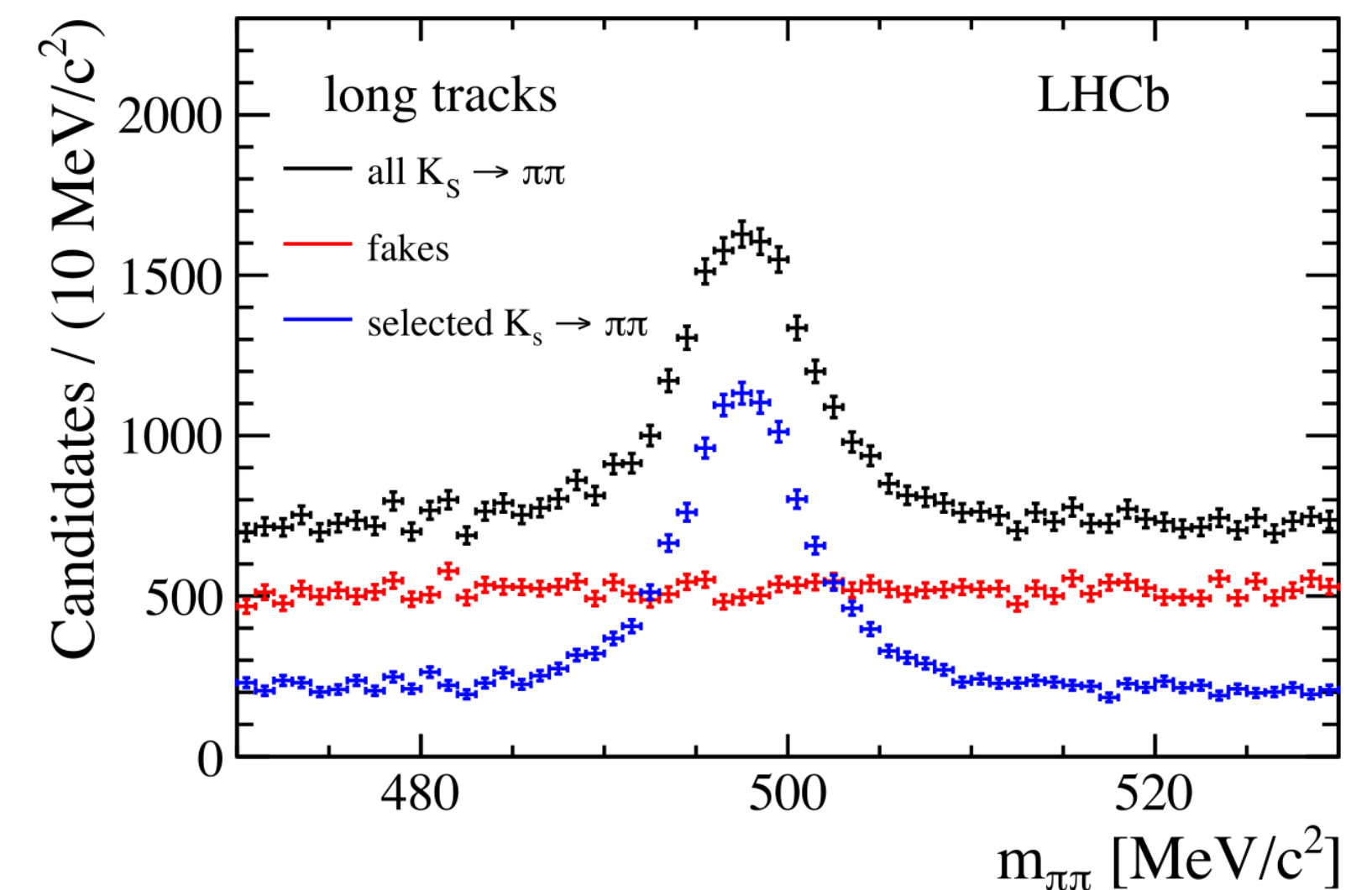
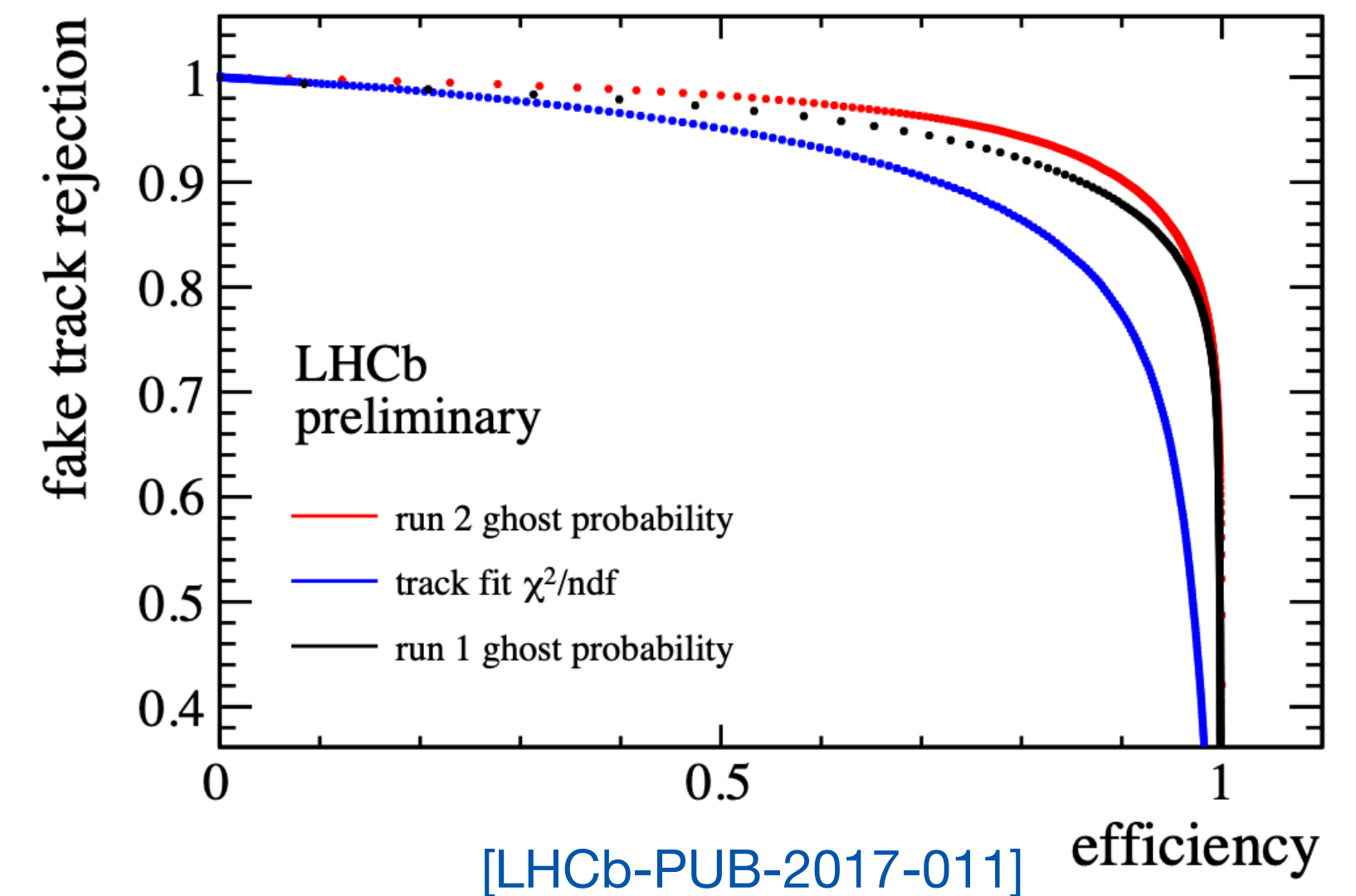
Track seeding & matching

- VELO and T track segments from standalone tracking algorithms
- MLP with two hidden layers trained to match the right pairs
- Training variables: distances, track slopes, slope differences
- >80% rejection of fake pairs, retaining >97% of signal tracks

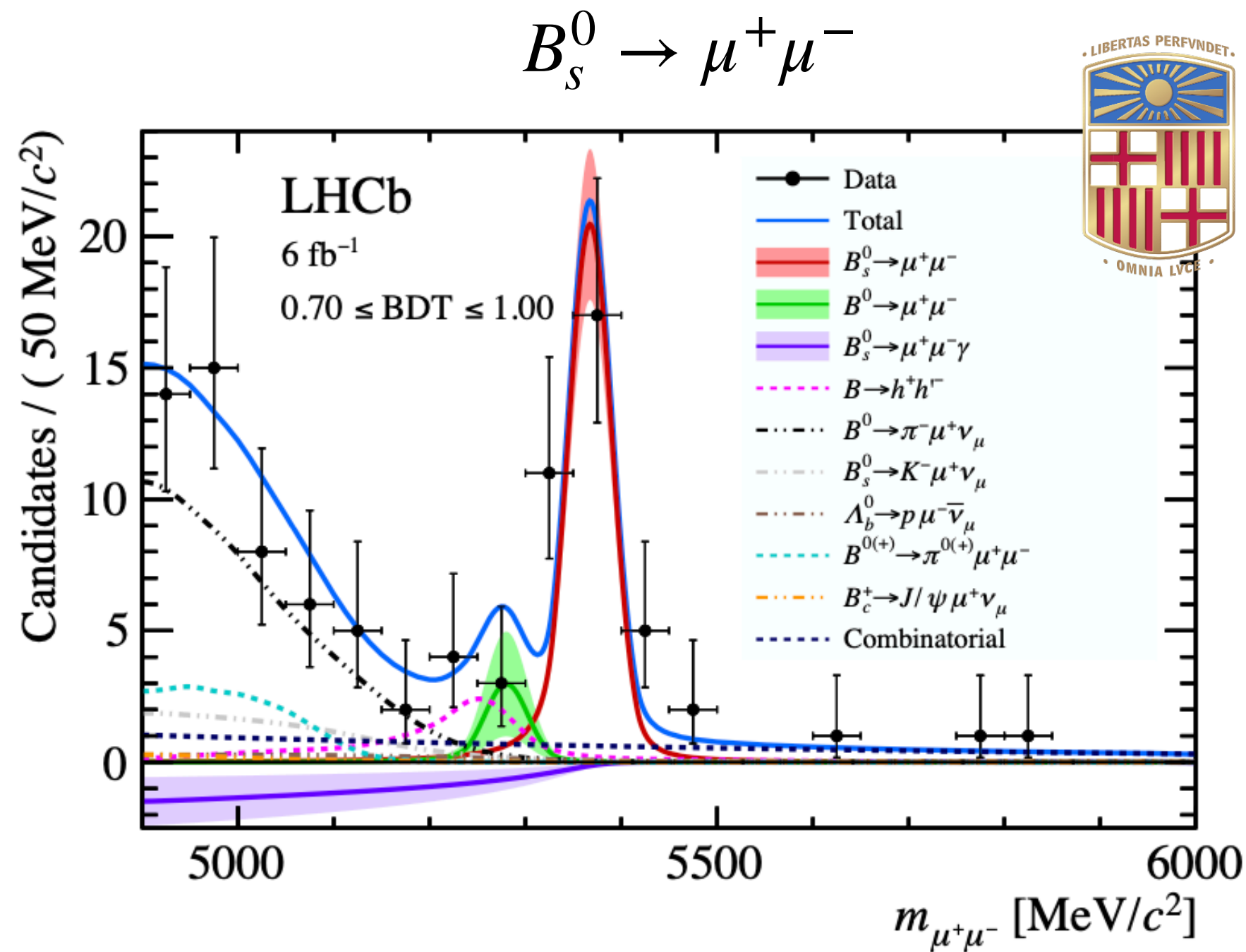


Fake track rejection → Ghost probability

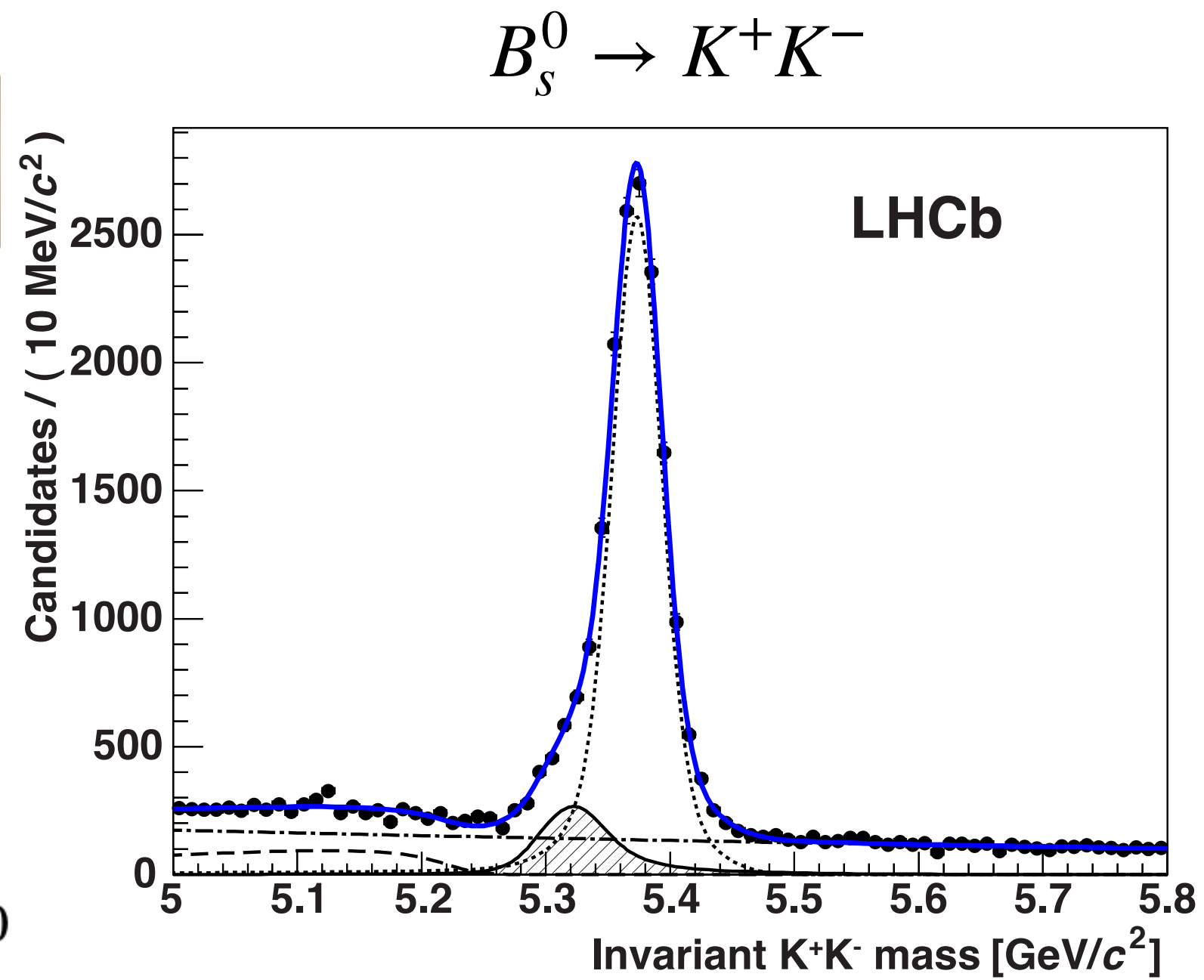
- MLP, the *Ghost probability*, introduced in Run 1 in the offline reconstruction
- Adapted in Run 2 for the online reconstruction in HLT2
 - Fake reduction saves bandwidth
- One hidden layer with 26 nodes
- 21 training variables: *track chi2*, *#Hits on track*, p_T , η , detector occupancy, number of tracks competing for shared hits



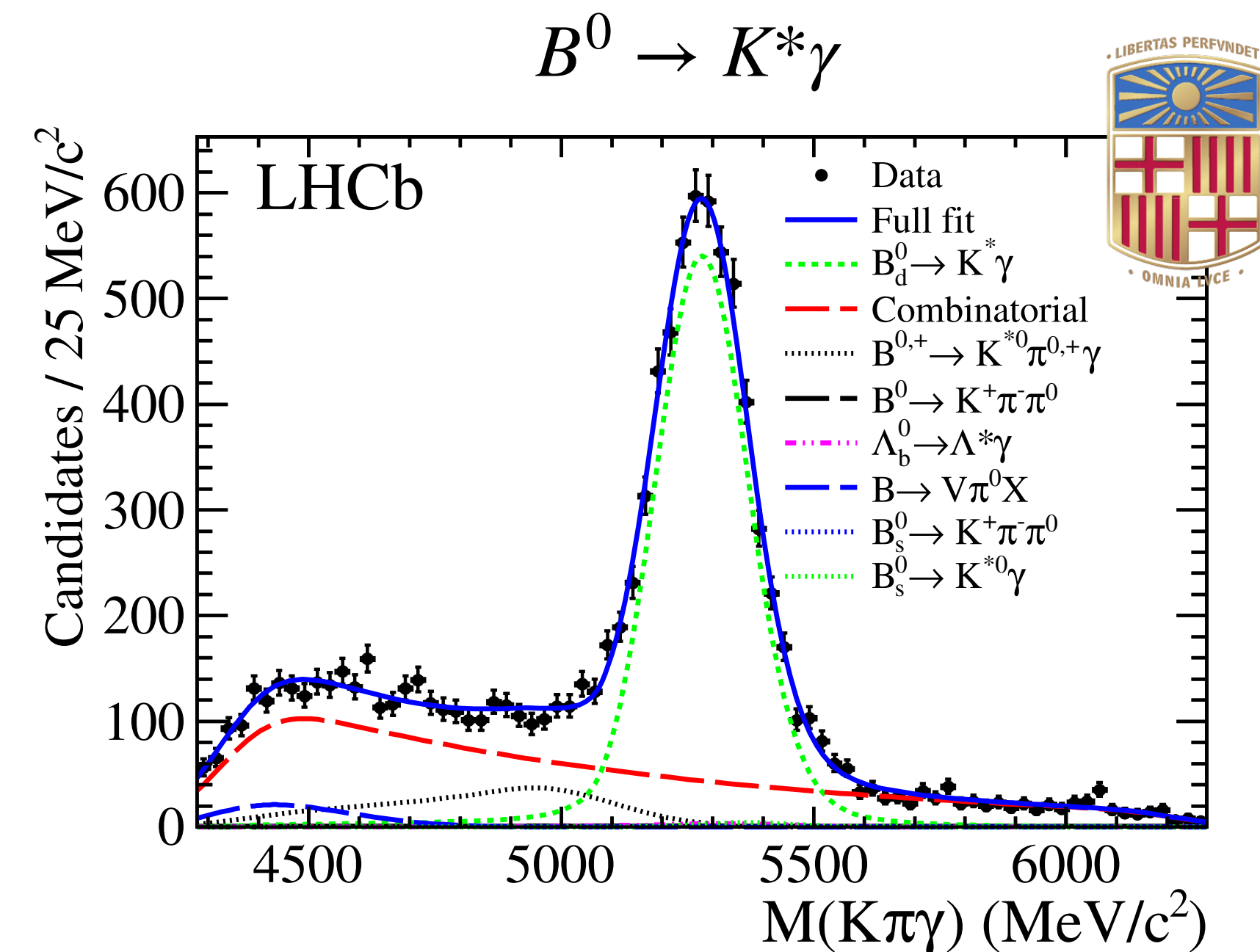
Particle Identification (PID)



[Phys. Rev. D105 (2022) 012010]



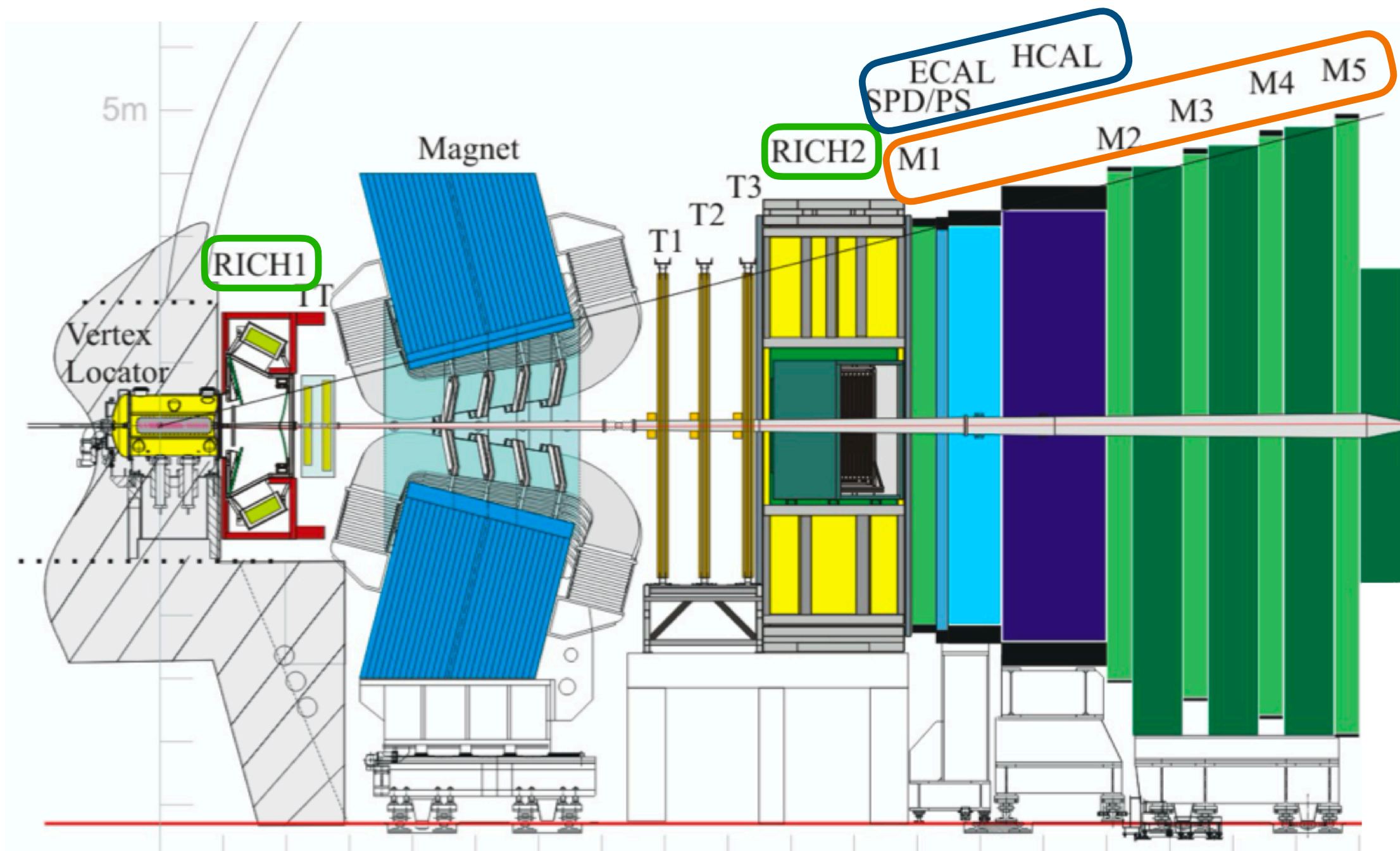
[JHEP 10 (2013) 183]



[Nucl. Phys. B867 (2013) 1]

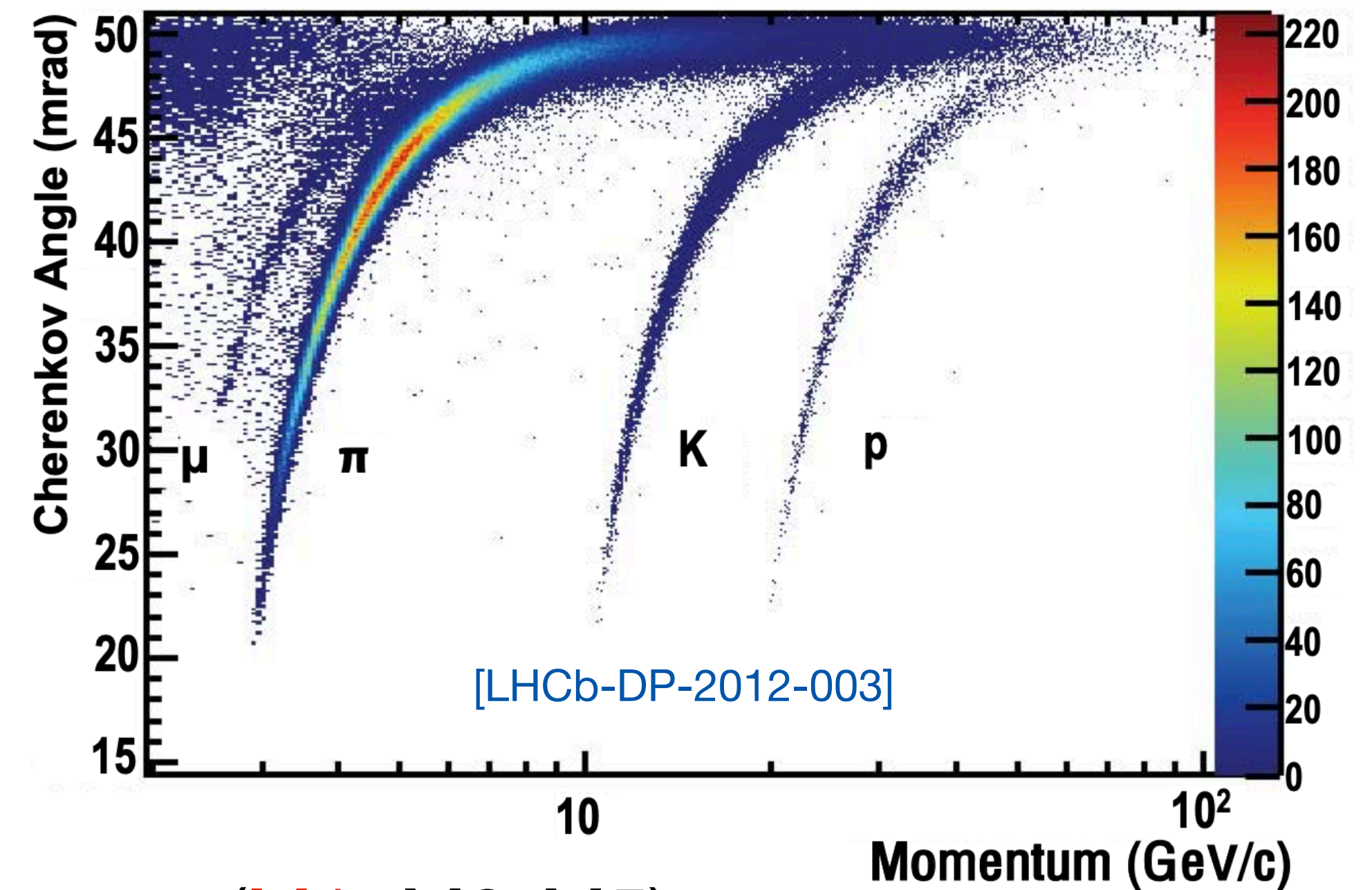
Excellent PID performance essential for the majority of LHCb analyses!

- Background suppression for rare decay measurements such as $B_s^0 \rightarrow \mu^+ \mu^-$
- Classification between hadronic final states with same topology
- Bandwidth-friendly event selections in the software trigger



Ring Imaging Cherenkov Detectors (RICH 1 & 2):

- PID for charged hadrons (K , π , p) over large p -range



Calorimeter system (SPD/PS, ECAL, HCAL):

- e^\pm vs. γ vs. h^\pm discrimination, γ vs. π^0
- Measurement of energy and positions
- E_T used in hardware trigger

Muon system (M1, M2-M5):

- Muon PID for trigger and offline selections
- p_T used in hardware trigger

*removed for LHCb Upgrade I

- Two main sets of variables for combined charged PID:

- Combined Δ log-likelihood:

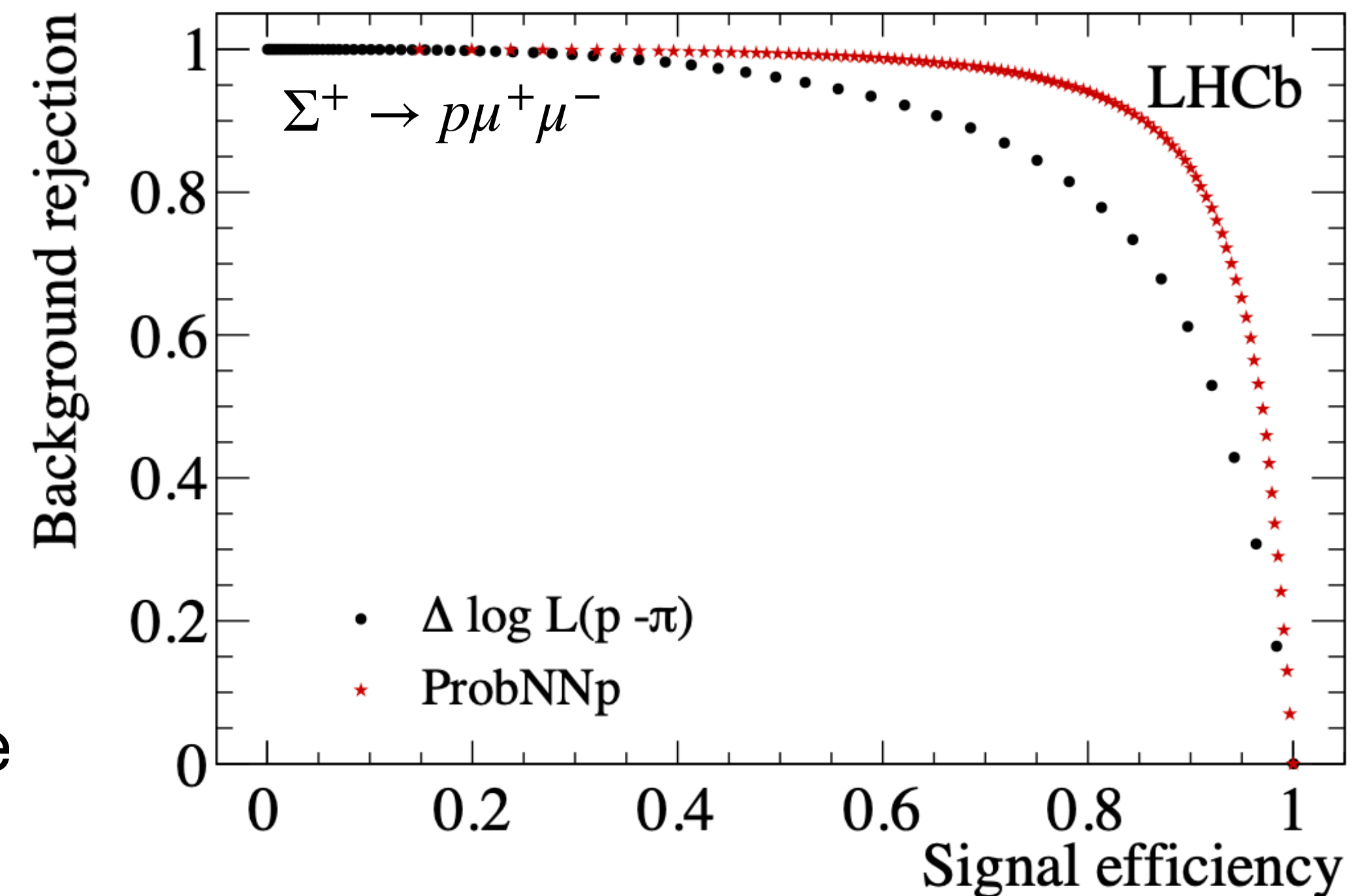
- $\mathcal{L}_{X\pi} = \mathcal{L}_{X\pi}^{\text{RICH}} \cdot \mathcal{L}_{X\pi}^{\text{CALO}} \cdot \mathcal{L}_{X\pi}^{\text{MUON}}$

- $\mathcal{L}_{X\pi}^{\text{RICH}} = \ln(\mathcal{L}_X^{\text{RICH}}) - \ln(\mathcal{L}_\pi^{\text{RICH}})$

- $X = \{\pi, K, p, e, \mu\}$

- Neural Network approach (ProbNNX):

- Separate three-layer MLP for each particle
- Different tunings for general purpose and dedicated analyses are provided
- Training variables from tracking + PID detectors
- Training data: simulated c and b hadron decays

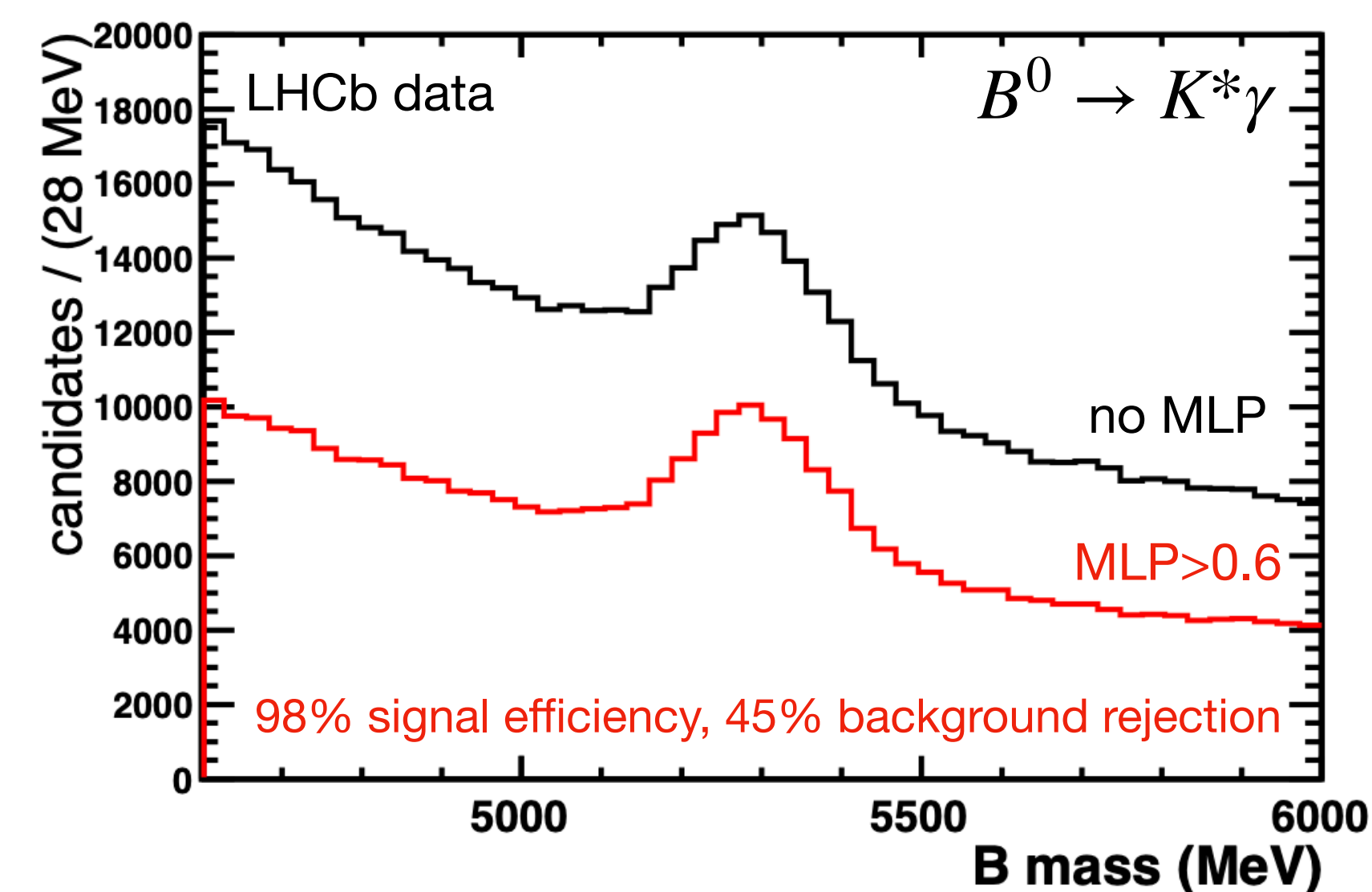
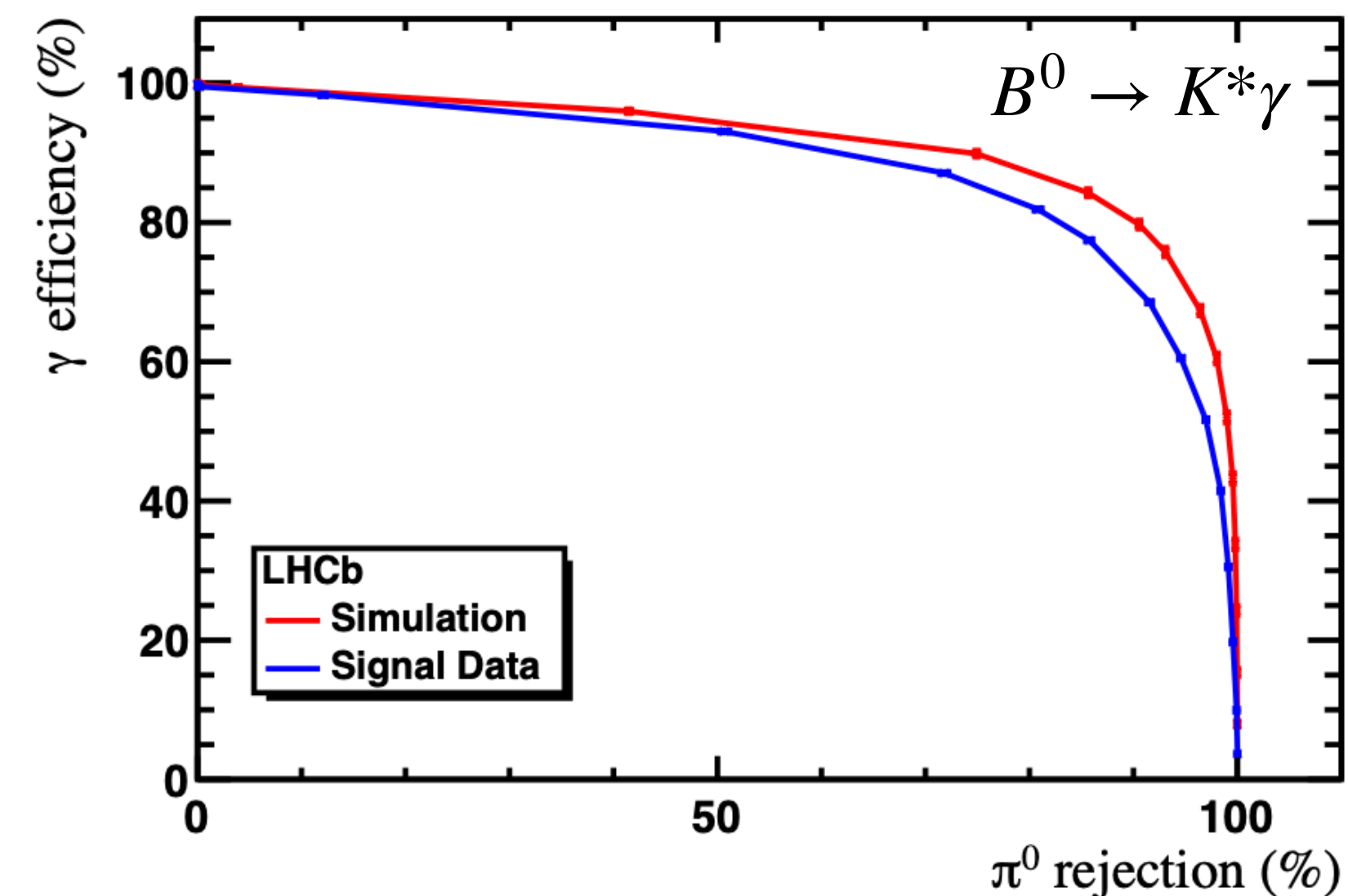


ML for neutral PID - γ/π^0 separation

[LHCb-PUB-2015-016]
[CERN-LHCb-DP-2020-001]

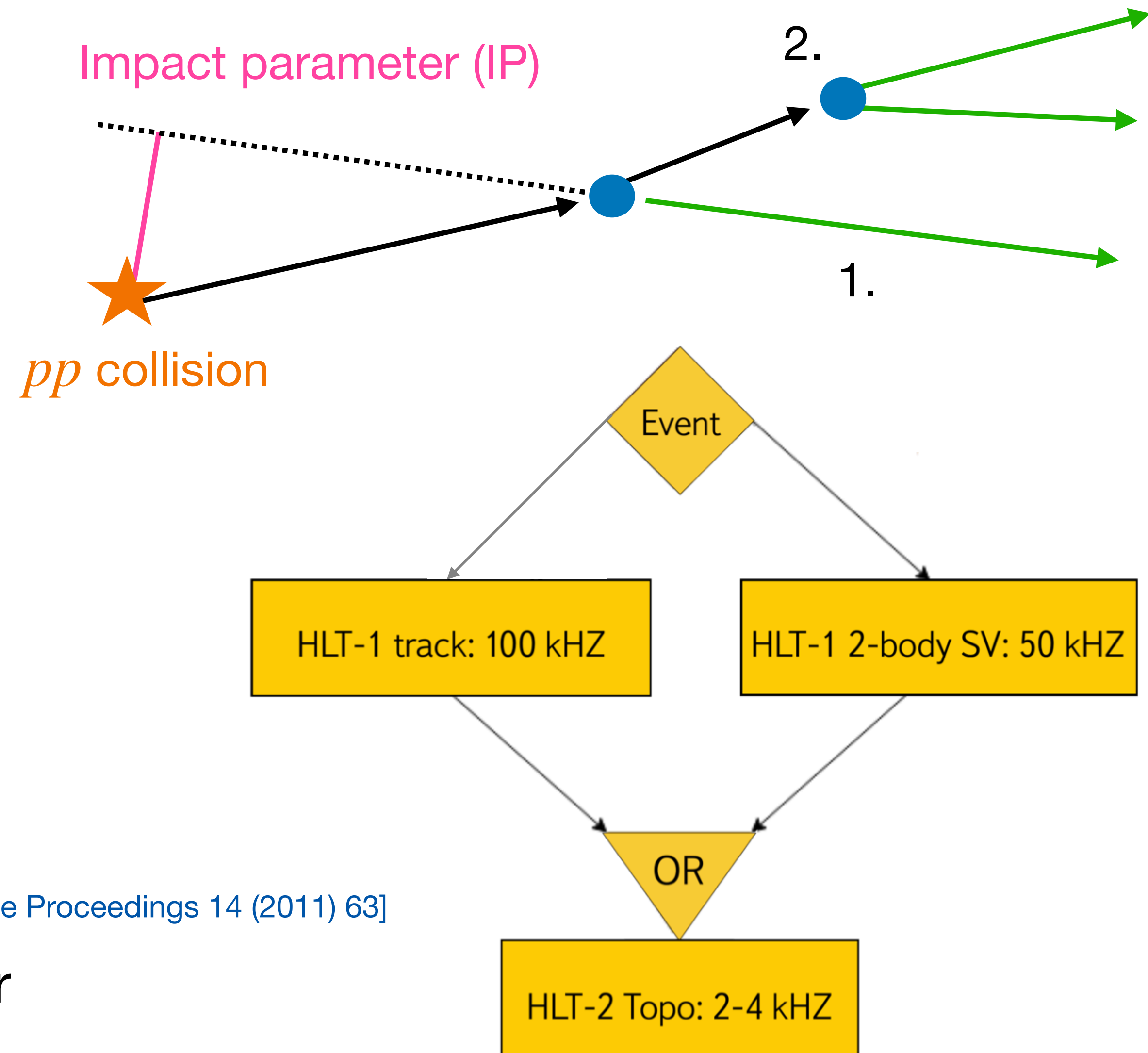


- Unconverted γ vs. merged $\pi^0 \rightarrow \gamma\gamma$
- Very important for radiative decays and hadronic decays including a π^0 in the final state
- Training variables based on calorimeter information
 - Shower shapes, energy deposits, hit multiplicities
- Separate four-layer MLPs for the three ECAL granularity regions
- Simulated $B^0 \rightarrow K^{*0}(\rightarrow K^+\pi^-)\gamma$ as signal, $B^0 \rightarrow K^+\pi^-\pi^0$ as background proxies



Topological triggers in HLT1 and HLT2

- **Inclusive triggers** based on topology of partially reconstructed b -hadron decays
- HLT1 inclusive trigger selections:
 - 1. Single displaced high- p_T track
 - 2. Displaced vertex with high p_T
- HLT2 inclusive trigger selections:
 - multi-track displaced vertices with high p_T
 - Takes 40% of the total HLT2 bandwidth
- ML techniques:
 - Run 1: Bonsai Boosted Decision Trees
 - Run 2: MatrixNet by Yandex [JMLR: Workshop and Conference Proceedings 14 (2011) 63]
- Data selected by the top trigger has been used for **several hundred LHCb publications**



- Run 3: **Monotonic Lipschitz Neural Networks**

- Robustness against detector effects

→ constraining the gradient of the response function f by a **Lipschitz constant** λ

$$|f(x) - f(x')| \leq \lambda |x - x'|$$

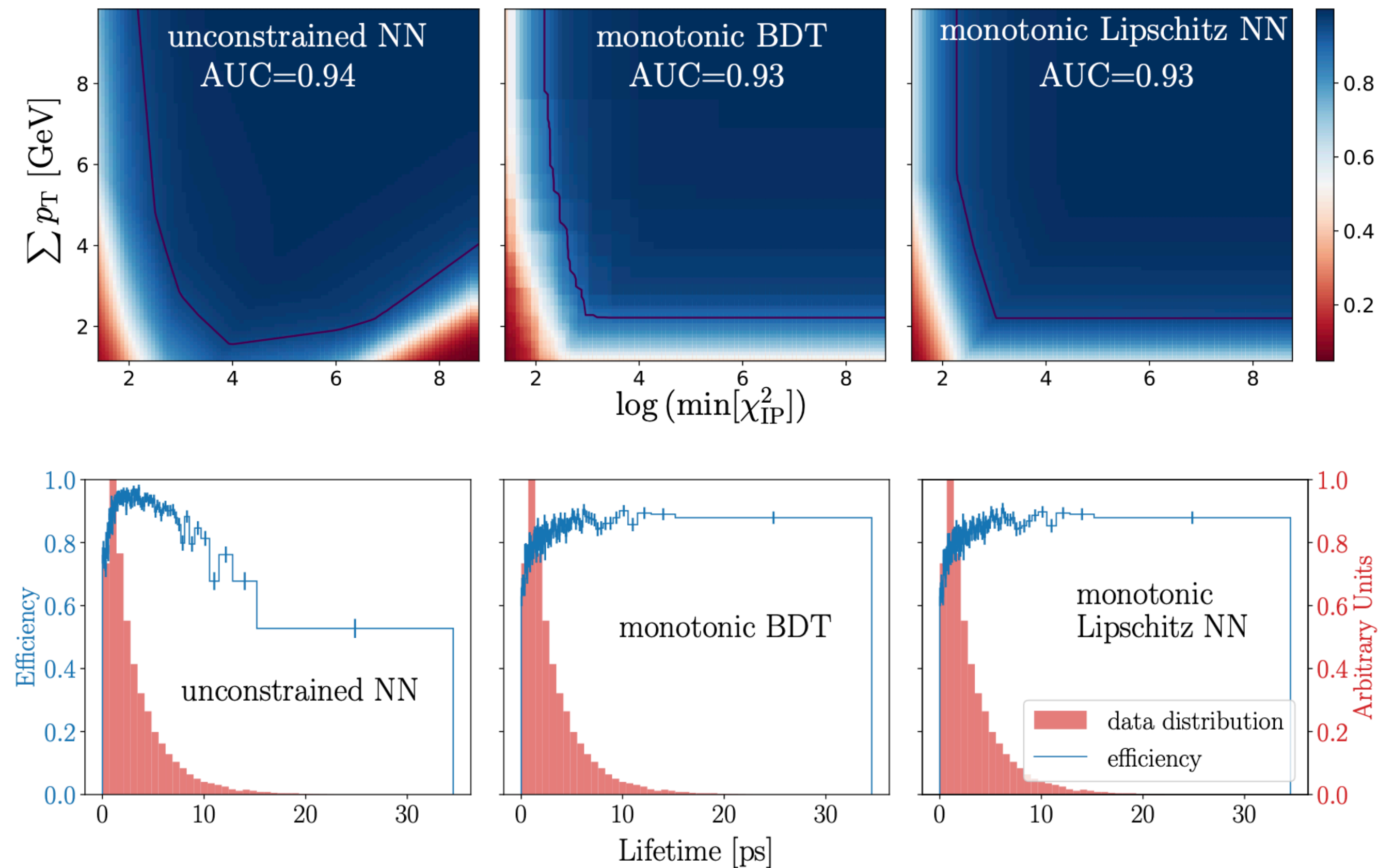
- **Monotonicity** of efficiency in variables of interest ($i \in I$)

→ Adding linear term to response function for each variable of interest

$$g(x) = f(x) + \lambda \sum_{i \in I} x_i$$

$$\frac{\partial g}{\partial x_i} = \frac{\partial f}{\partial x_i} + \lambda \geq 0$$

Simplified model of the topological trigger with two inputs



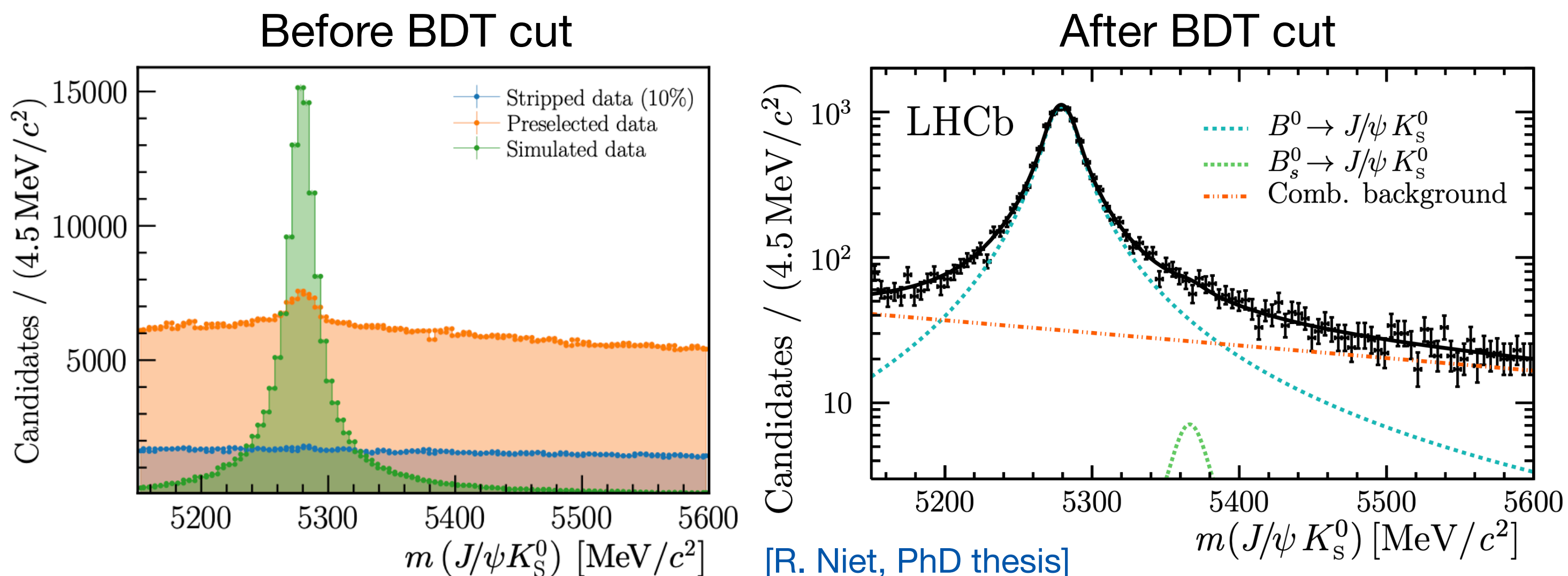
Offline data analysis

Two main ML applications relevant for the majority of LHCb data analyses among many others

Background rejection

Reweighting of simulation

- Essential for significant mass peaks / reduce systematic uncertainties
- Training data:
 - Data from mass sidebands as background
 - simulated signal events as signal
- Most commonly used model: BDTs, e.g. from [xgboost](#)
- Example: $\sin(2\beta)$ from $B^0 \rightarrow J/\psi(\rightarrow e^+e^-)K_S^0$



Offline data analysis

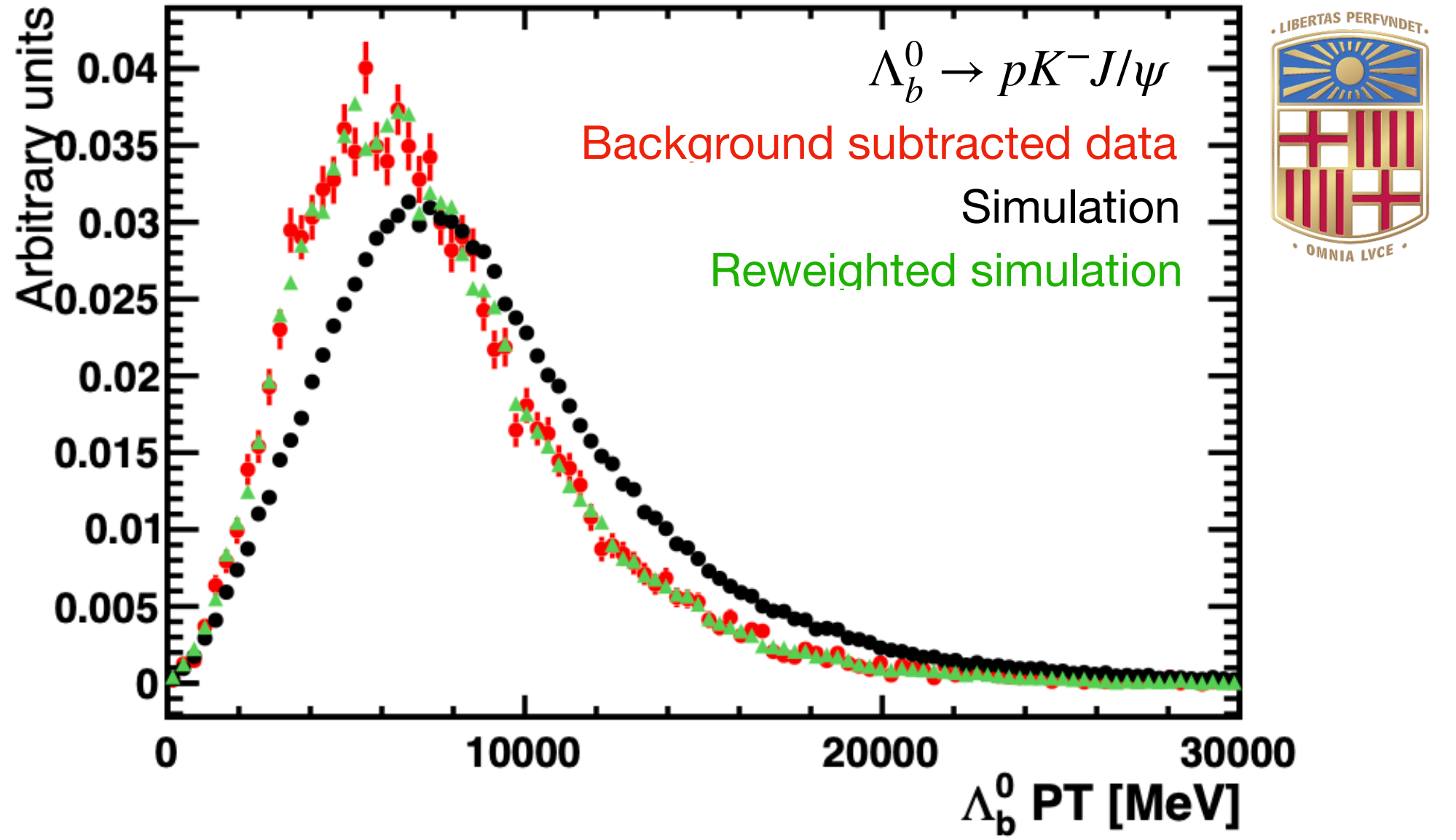
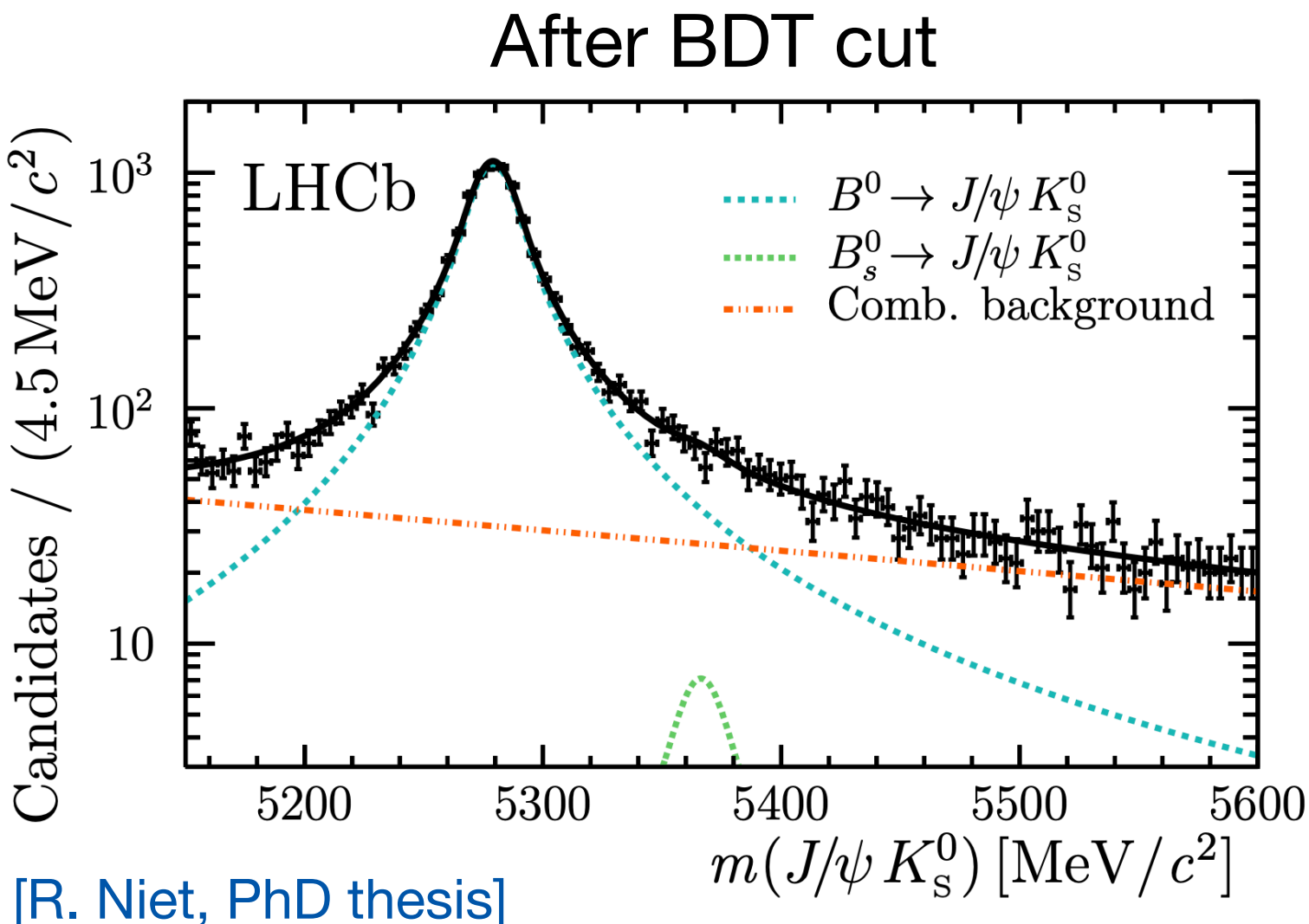
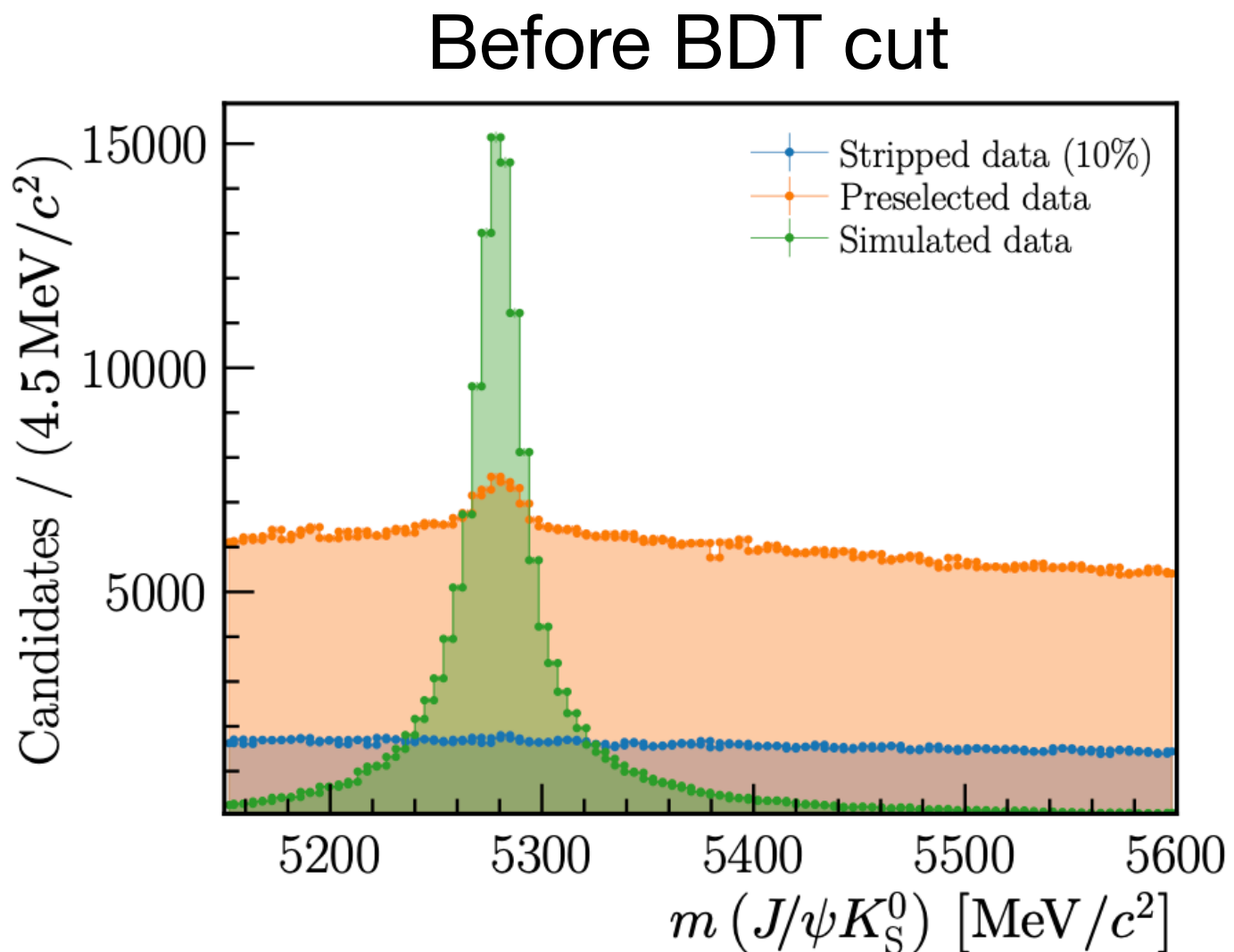
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- Example: $\sin(2\beta)$ from $B^0 \rightarrow J/\psi(\rightarrow e^+e^-)K_S^0$

- Known and unknown mis-modellings of kinematics, PID, multiplicities, ... in simulation
- Multidimensional reweighting with BDTs [\[arXiv:1608.05806\]](#)
- Essential for signal BDT training & efficiency calculation



- Using BDT classifiers for background rejection in various data analyses of **semileptonic or rare electroweak penguin decays**

→ **The entire UB LHCb group**

- Muon identification in Run 3

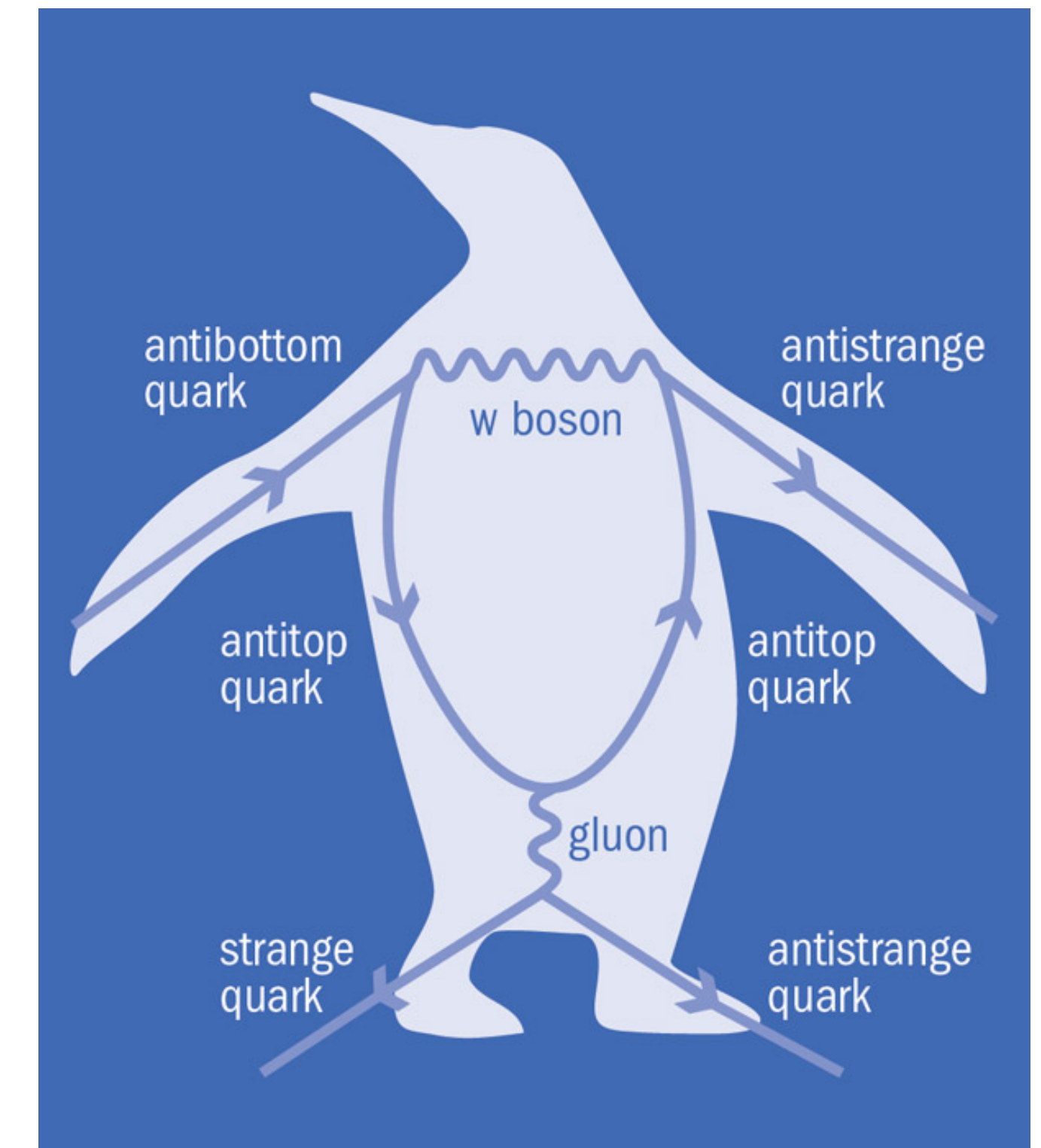
→ **Ricardo Vázquez Gómez**

- Inclusive trigger for rare radiative decays

→ Developed for the Run 2 data taking

→ Adapted for Run 3 data taking

→ **Aniol Lobo Salvia, Carla Marín Benito**

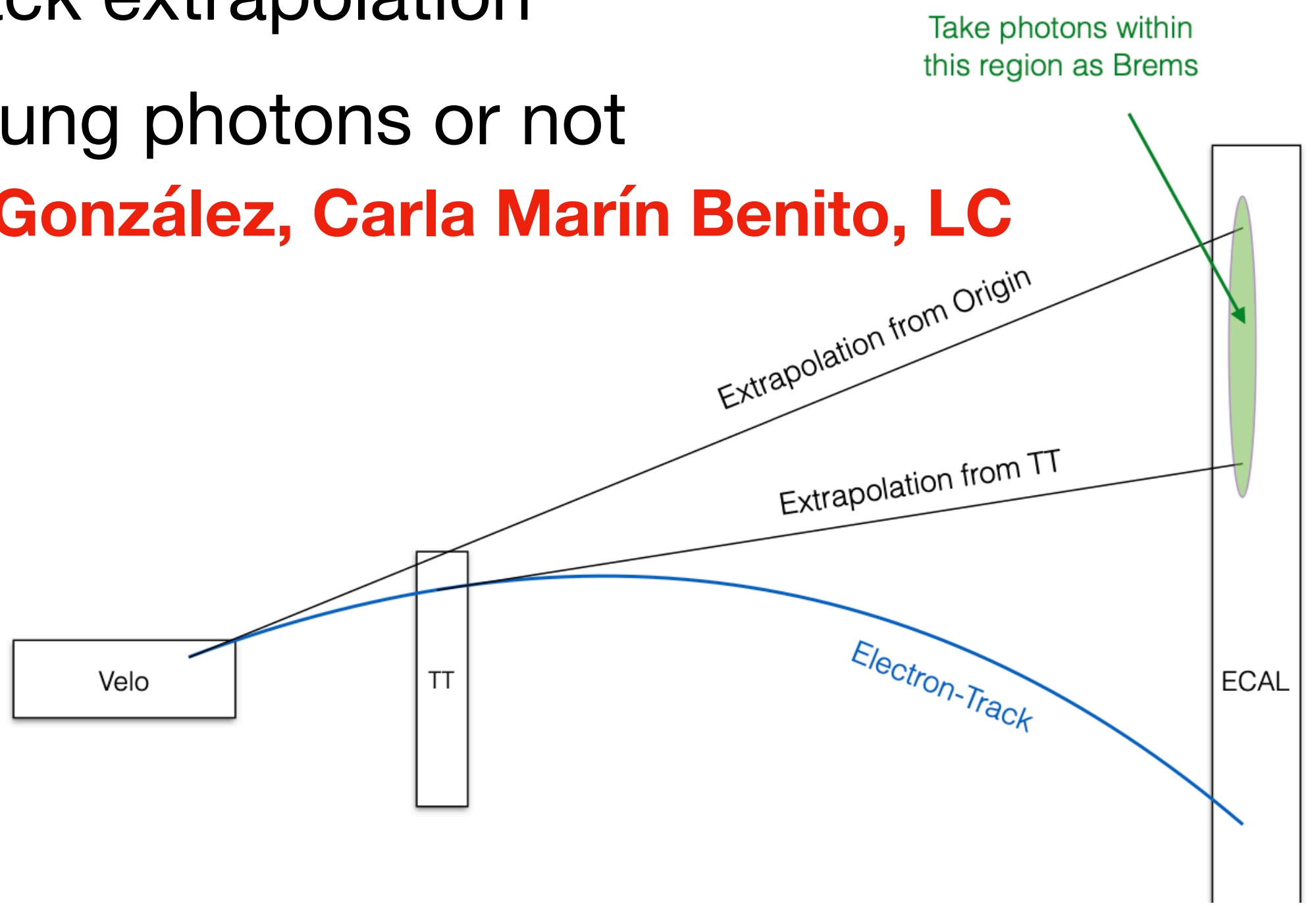
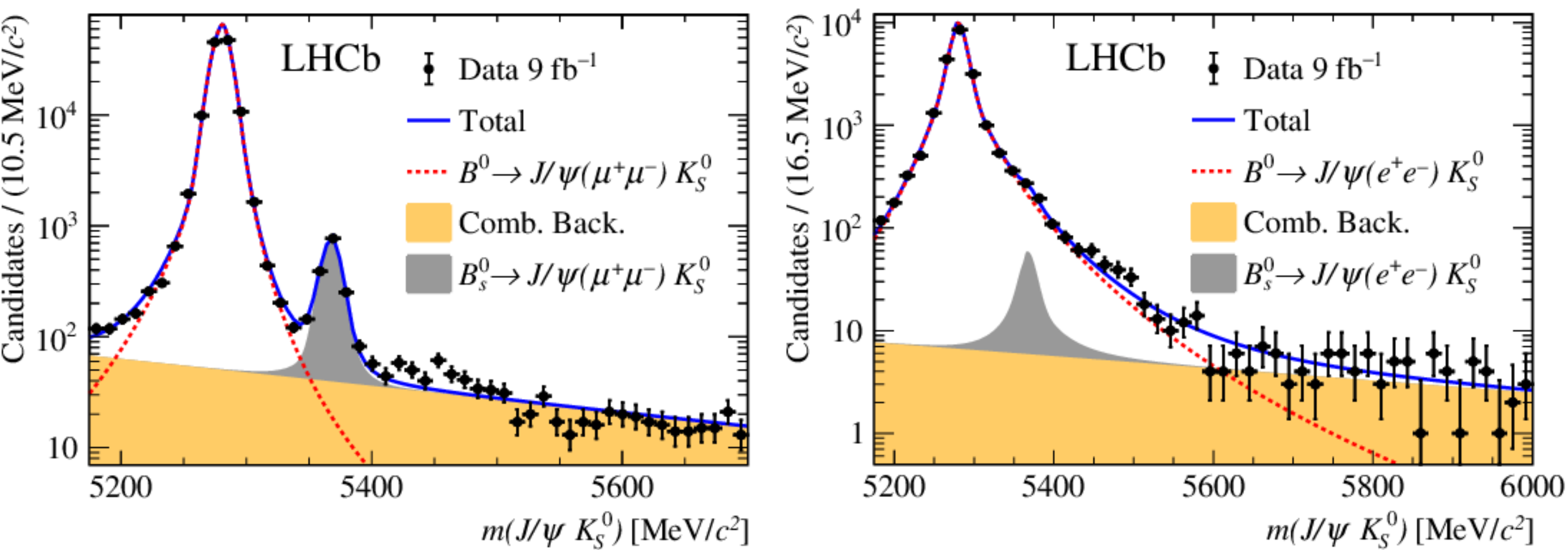


Outlook - ML for bremsstrahlung recovery

- Electron vs. muon reconstruction:
 - Electrons emit bremsstrahlung when traversing detector material
 - Momentum will be biased if emitted bremsstrahlung photons are not identified
- Bremsstrahlung recovery algorithm in the LHCb reconstruction adding photons to the electron track based on compatibility of ECAL clusters with the track extrapolation
- Idea for ML: Check if photons are the correct bremsstrahlung photons or not

→ **Paloma Laguarda González, Carla Marín Benito, LC**

[Phys. Rev. Lett. 128, 191802]

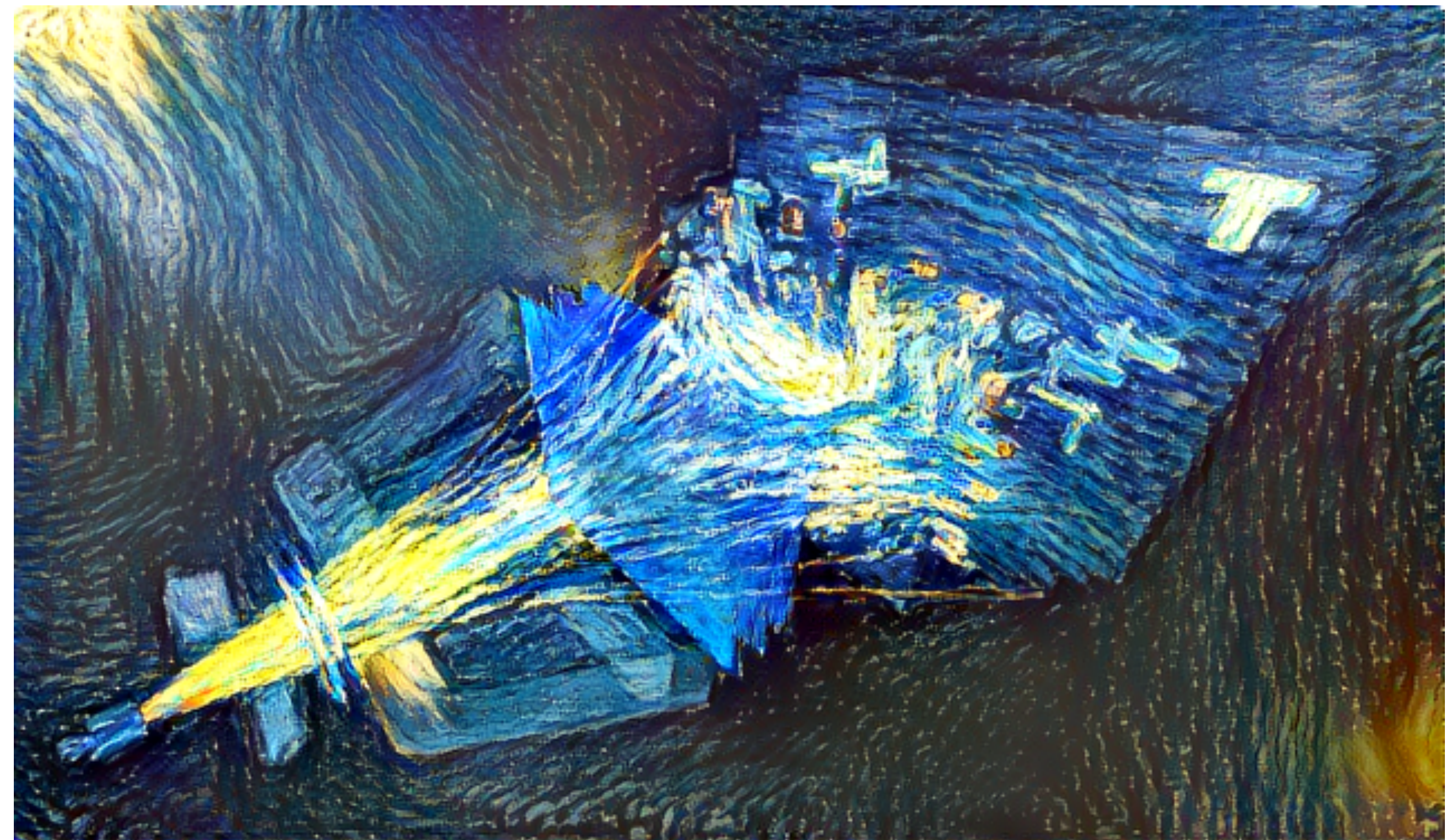
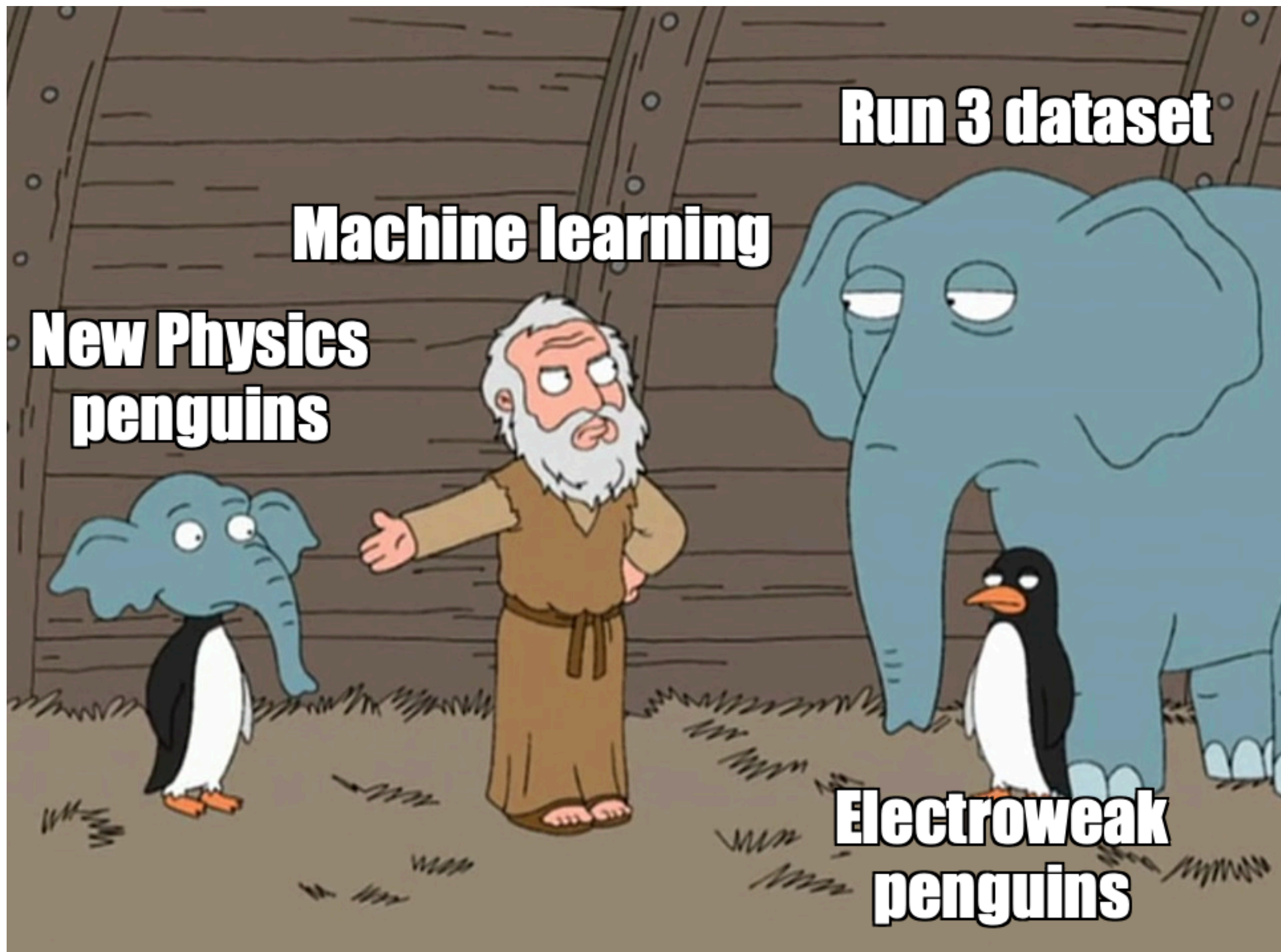


- LHCb has a variety of ML applications
- Insight given in this talk:
 - ML techniques in track reconstruction, particle identification
 - Inclusive trigger selections and offline data analysis
- UB LHCb group has experience in various applications
- Current and future projects in offline data analysis and bremsstrahlung recovery

Many other applications in LHCb that I didn't cover

- data-quality monitoring
- jet reconstruction
- flavour tagging
- calorimeter reconstruction
- fast simulations
- ...

Thanks for your attention!



“The wishful thinking of a LHCb physicist”

by Andrey Ustyuzhanin
<https://github.com/jcjohnson/neural-style>