

ULB

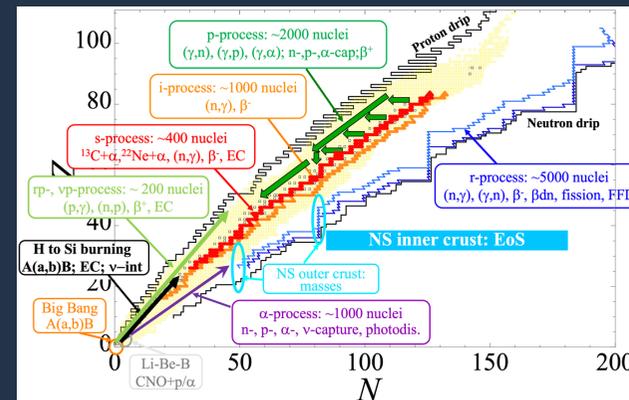
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# Progress & Challenges in nuclear theory for astrophysics applications

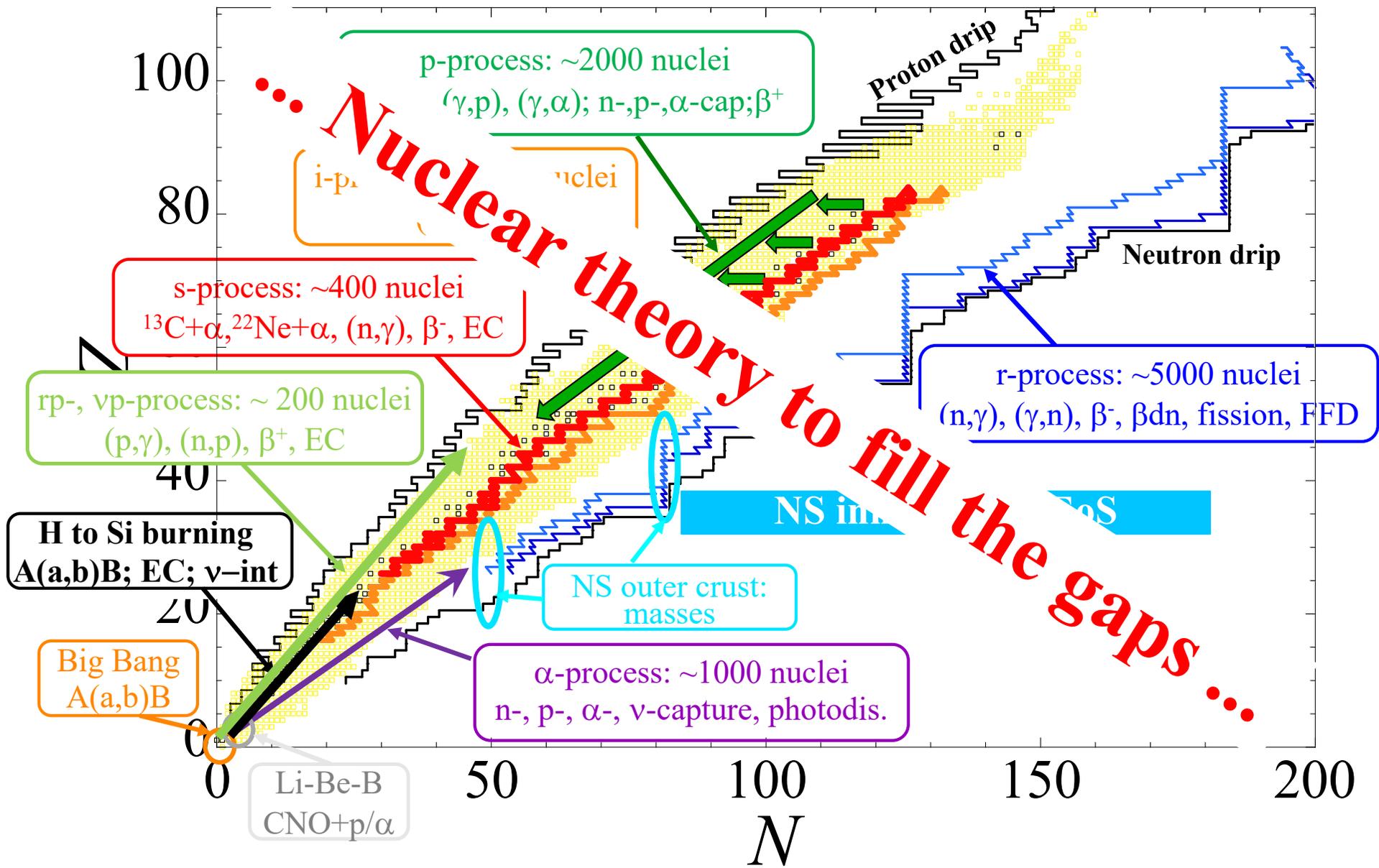


S. Goriely (IAA-ULB)

In collaboration with

W. Ryssens, A. Sanchez, S. Martinet, A. Choplin, G. Grams,  
S. Hilaire, S. Péru, O. Just, A. Bauswein

# Nuclear Astrophysics: a field with high NP demands



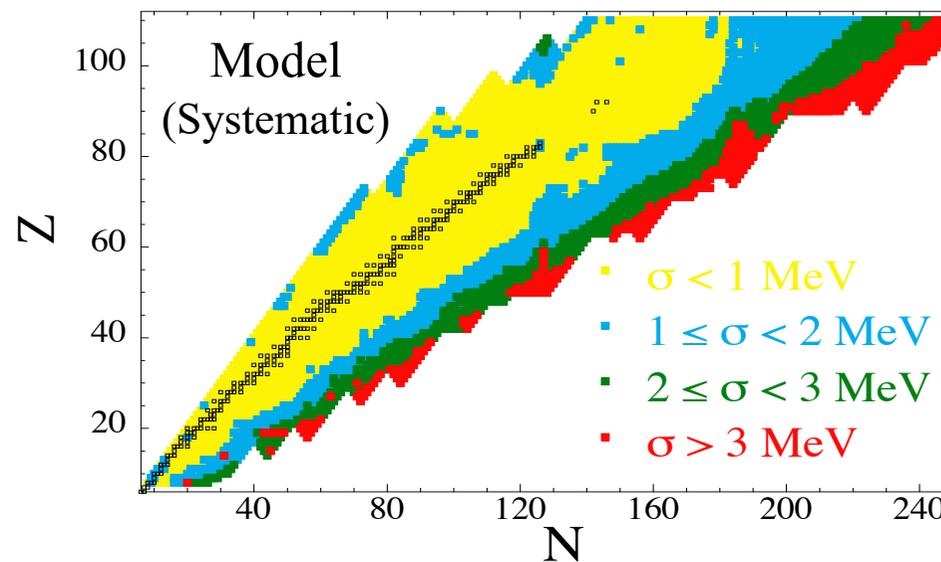
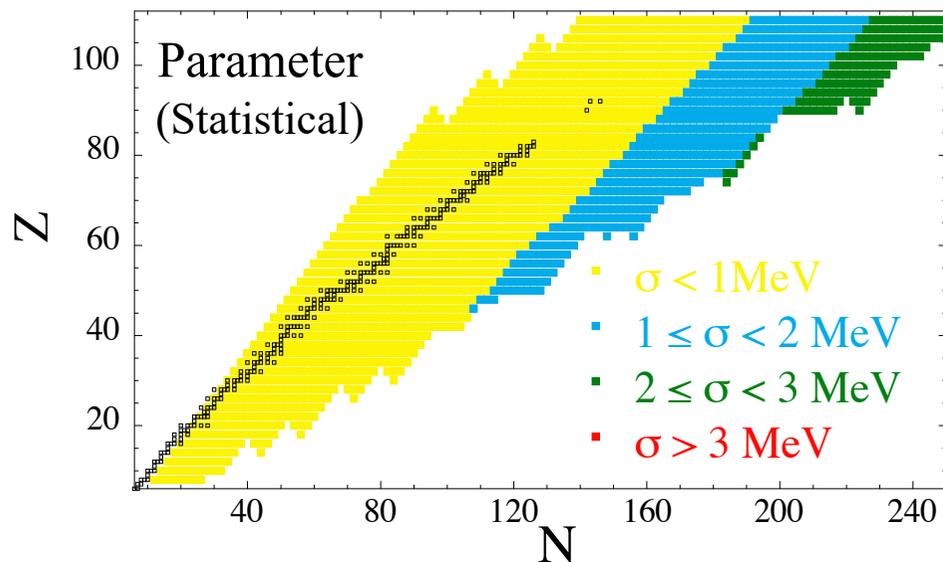
**How accurate should the predictions be ?**

**How do nuclear uncertainties affect astrophysics observables ?**

# 1. Quantification of nuclear uncertainties

Some progress in considering “theoretical nuclear uncertainties” in NA

## Two types of uncertainties affecting theoretical inputs (e.g Masses)



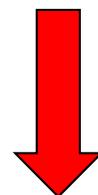
## Model or parameter variations must be constrained by experimental data

e.g Only *parameter* variations s.t.  $\sigma_{\text{rms}} < 0.8 \text{ MeV}$   $\longleftrightarrow$  Only mass *models* s.t.  $\sigma_{\text{rms}} < 0.8 \text{ MeV}$

Only NLD/PSF *parameters* s.t.  $(n, \gamma)$  with  $f_{\text{rms}} \leq 2$   $\longleftrightarrow$  Only NLD/PSF *models* s.t.  $(n, \gamma)$  with  $f_{\text{rms}} \leq 2$

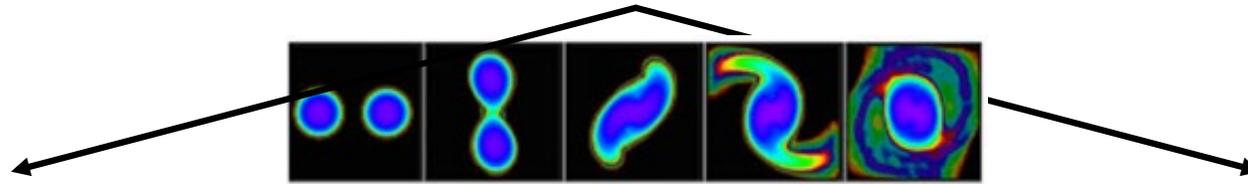
Uncorrelated parameter variations

Masses/rates correlated by the model



But what about their impact on astrophysical observables ?

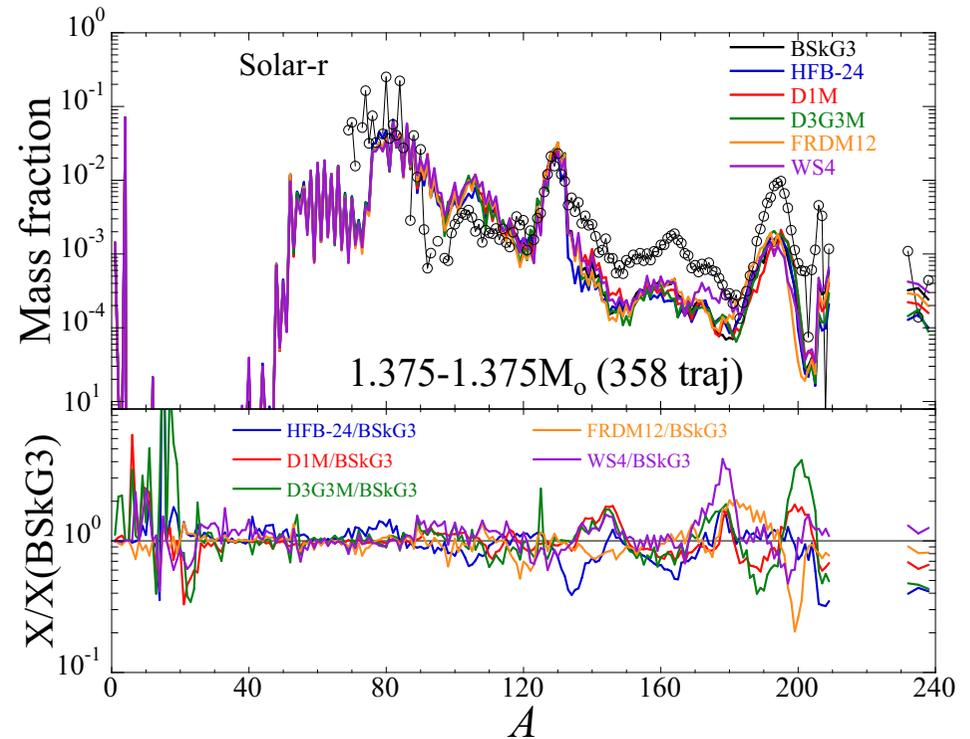
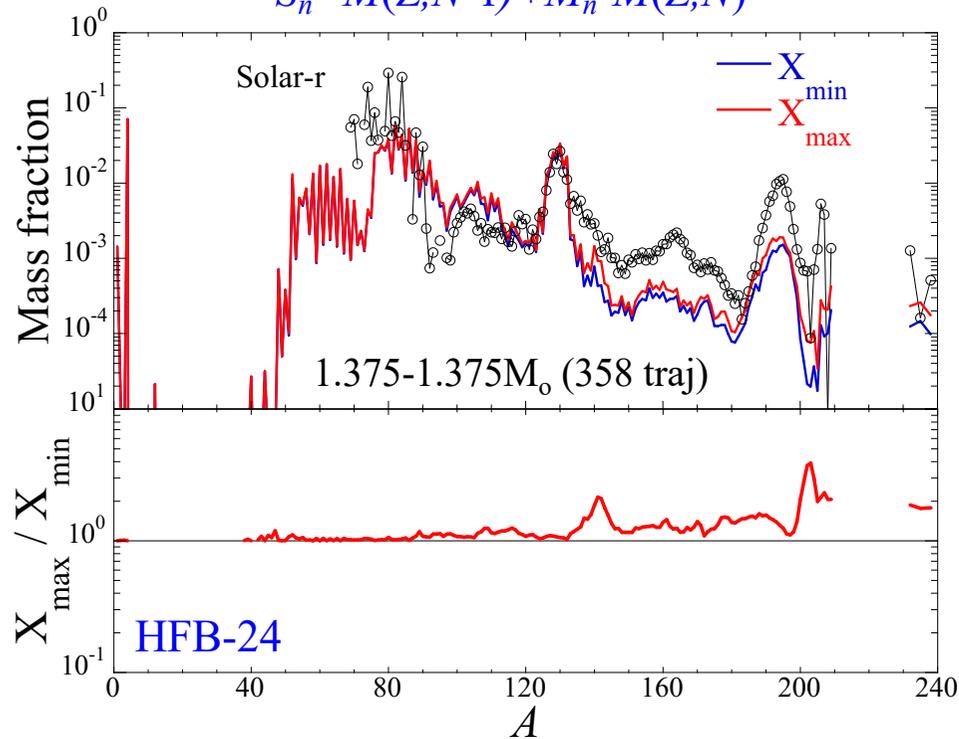
## 2. Propagation of NP uncertainties into astrophysics simulations



Parameter uncorrelated uncertainties

Model-correlated uncertainties

$$S_n = M(Z, N-1) + M_n - M(Z, N)$$



- Additional correlations may exist, e.g  $S_n$  and  $M$
- MC approach if uncorrelated uncertainties
- Still parameters changes constrained by exp

- MC approach not correct
- Coherent model-correlated uncertainties
- Each model is affected by parameter unc.

if correlations or experimental constraints underestimated, impact is overestimated

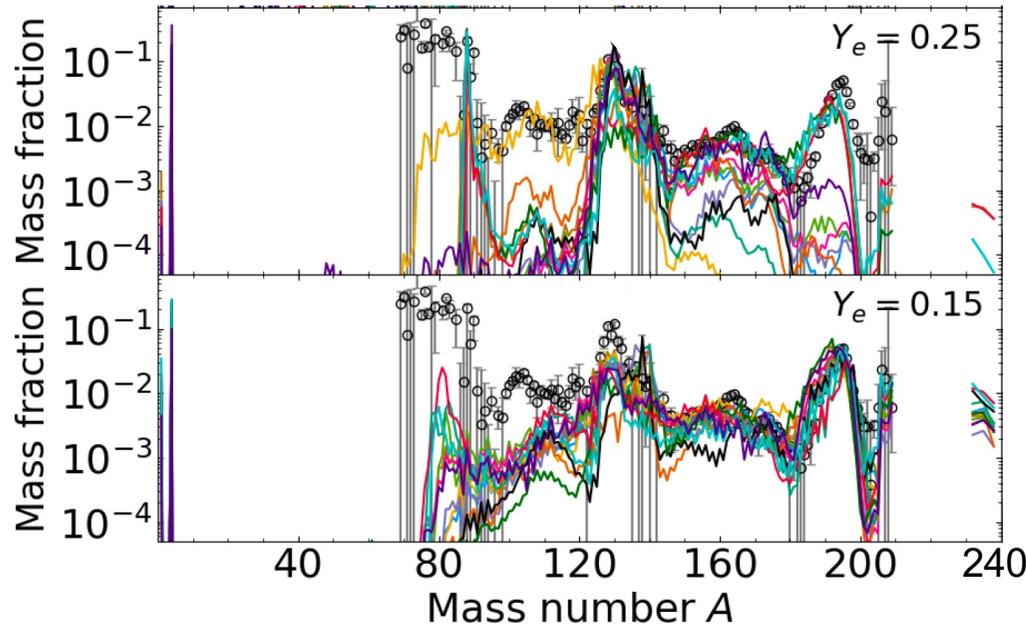
# Impact of nuclear *model* uncertainties on the composition of NSM ejecta

15 different “acceptable” sets of nuclear inputs (masses,  $\beta$ -decay, n-capture, fission)

Kullmann et al. (2022)

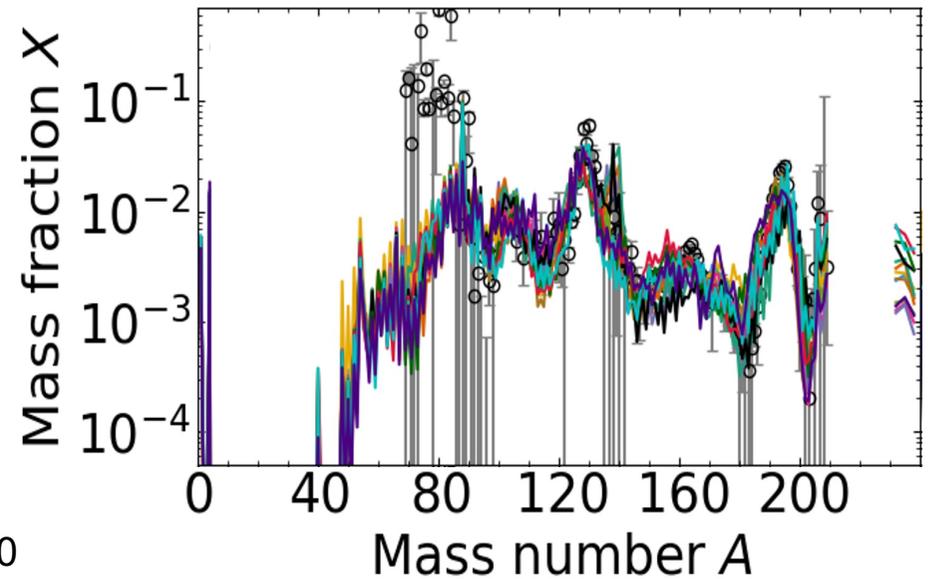
Prompt dynamical ejecta:  $1.35\text{-}1.35M_{\odot}$  (Bauswein 2022)

Single trajectory



Global & Local discrepancies

Multiple trajectories



Local (correlated) discrepancies

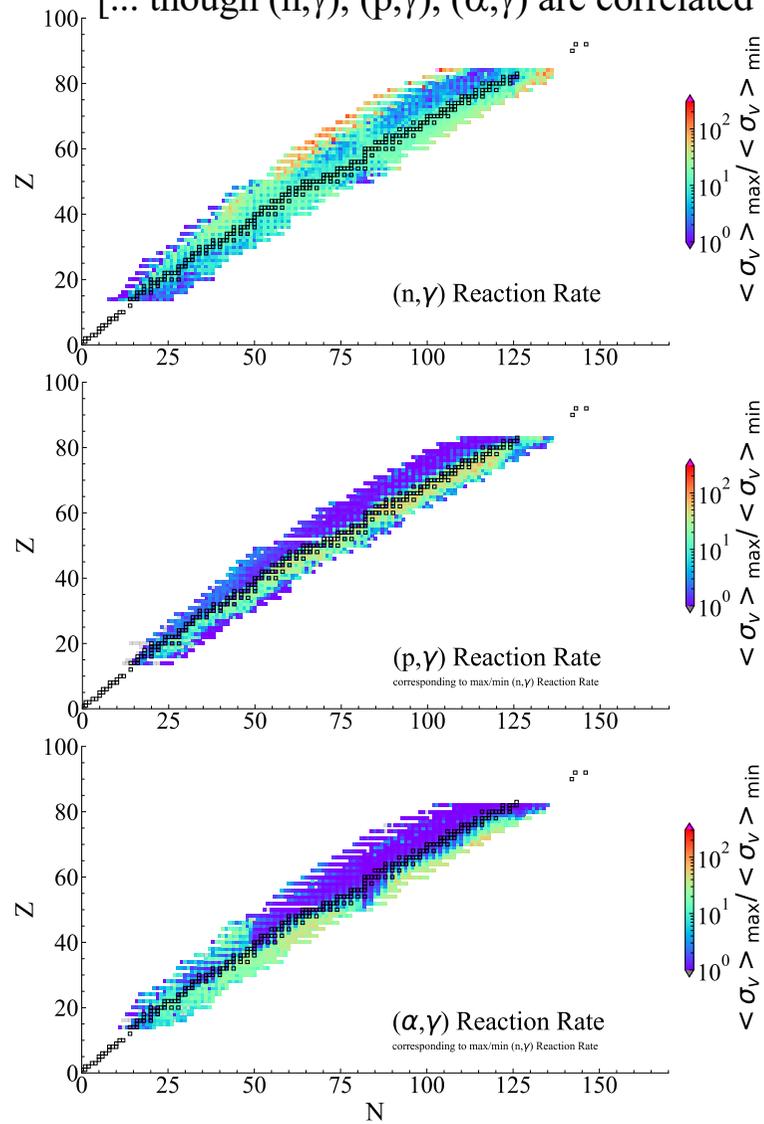
Astrophysical models evolve and may still not be robust  
(what is “important” today may not be next year)

Uncertainty propagation must be applied to a *representative sample* of trajectories

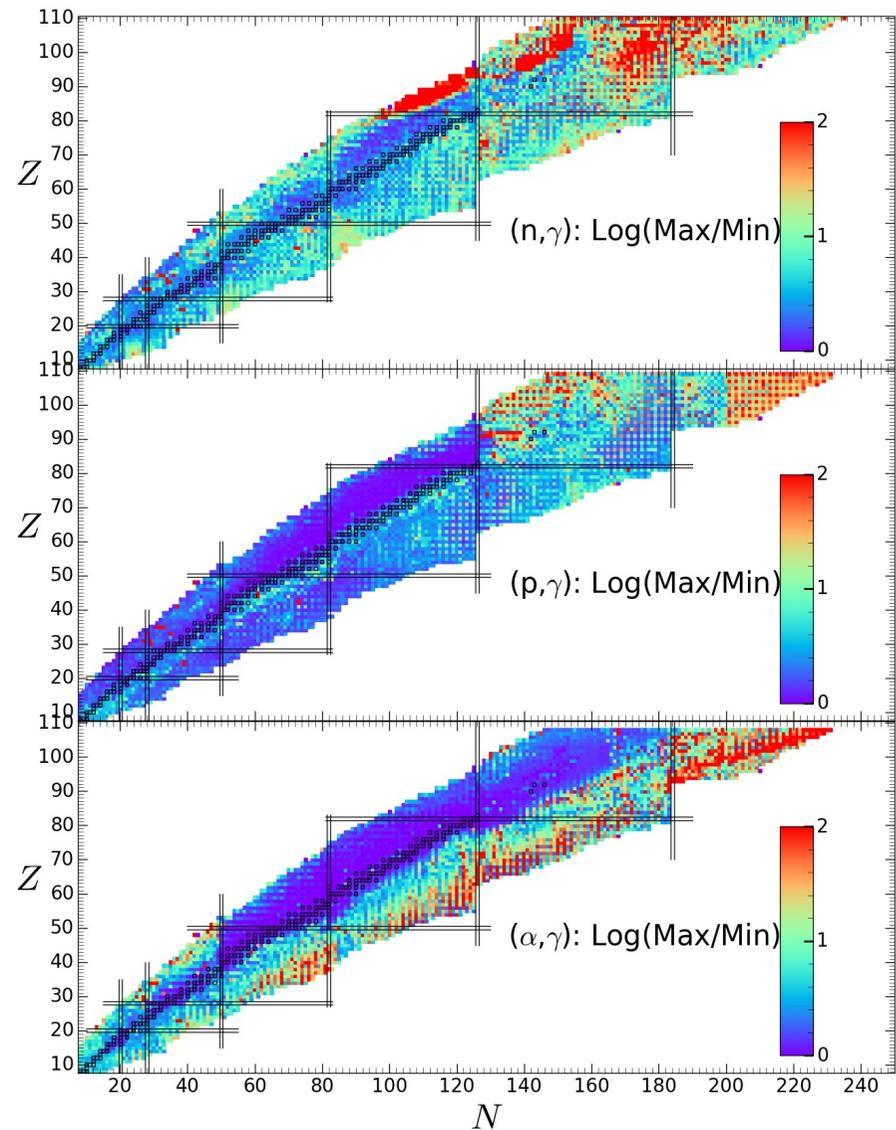
# Impact of NLD/PSF uncertainties on the $(n,\gamma)$ , $(p,\gamma)$ , $(\alpha,\gamma)$ rates

## Uncorrelated parameter uncertainties

[... though  $(n,\gamma)$ ,  $(p,\gamma)$ ,  $(\alpha,\gamma)$  are correlated ...]



## Correlated model uncertainties



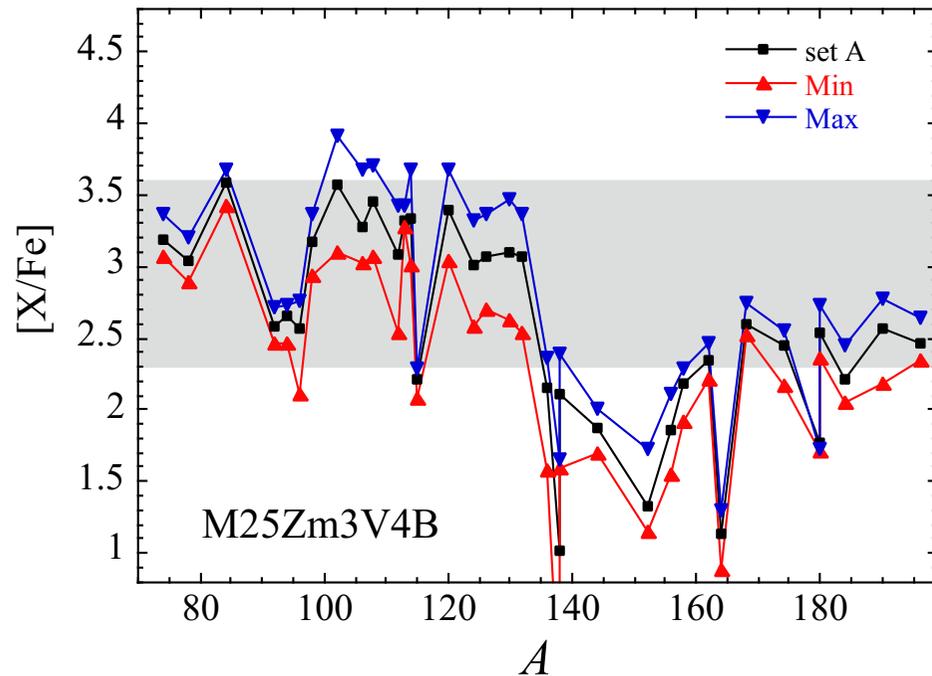
# Impact of NLD/PSF uncertainties on the p-process nucleosynthesis

Overproduction of p-nuclei in the core of an exploding  $25M_{\odot}$   $Z=0.001$   $v/v_c=0.4$  star

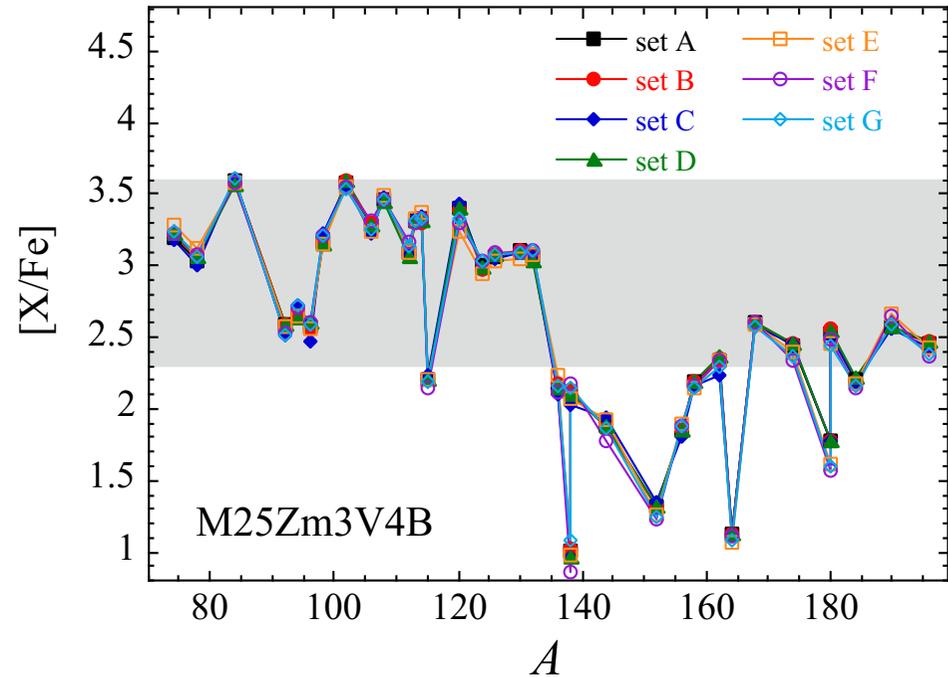
(Choplin et al. 2022)

## Uncorrelated parameter uncertainties

Highly dominated by  $(\gamma, n)$  parameter uncertainties



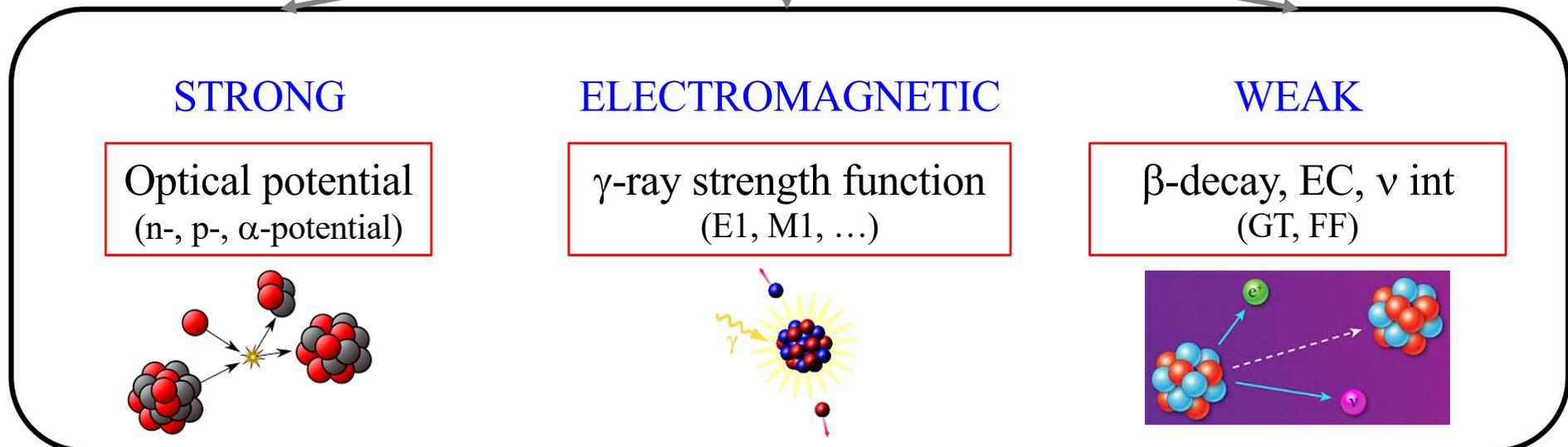
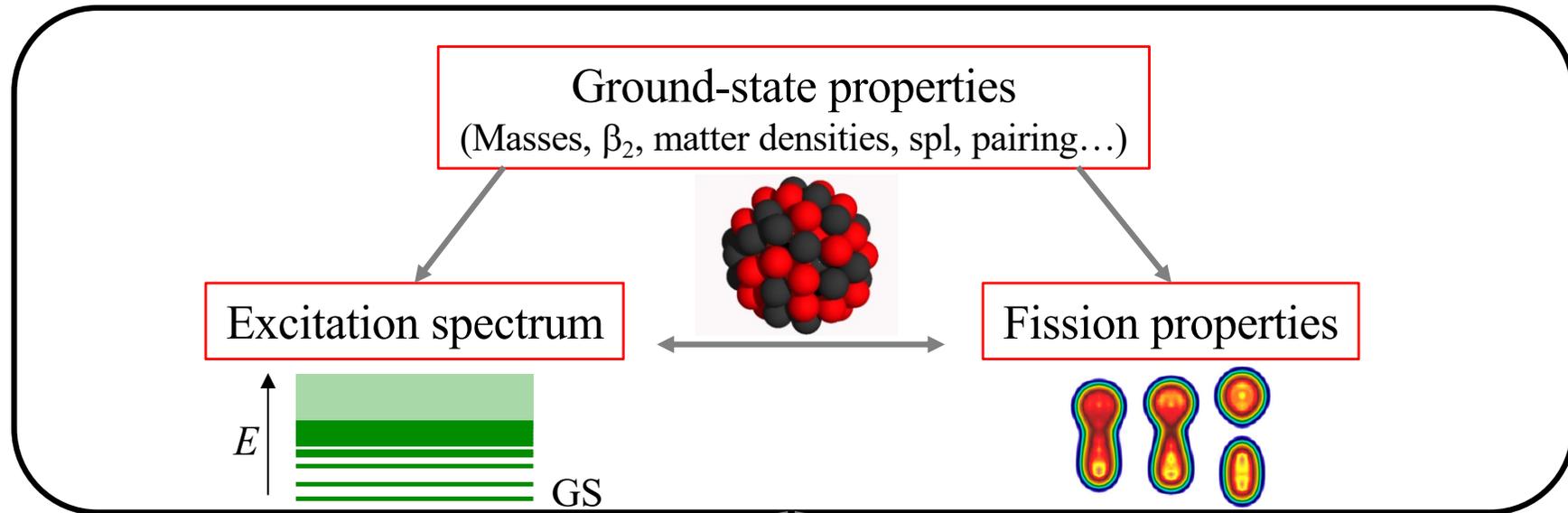
## Correlated model uncertainties



Reduction of the model & parameter uncertainties through

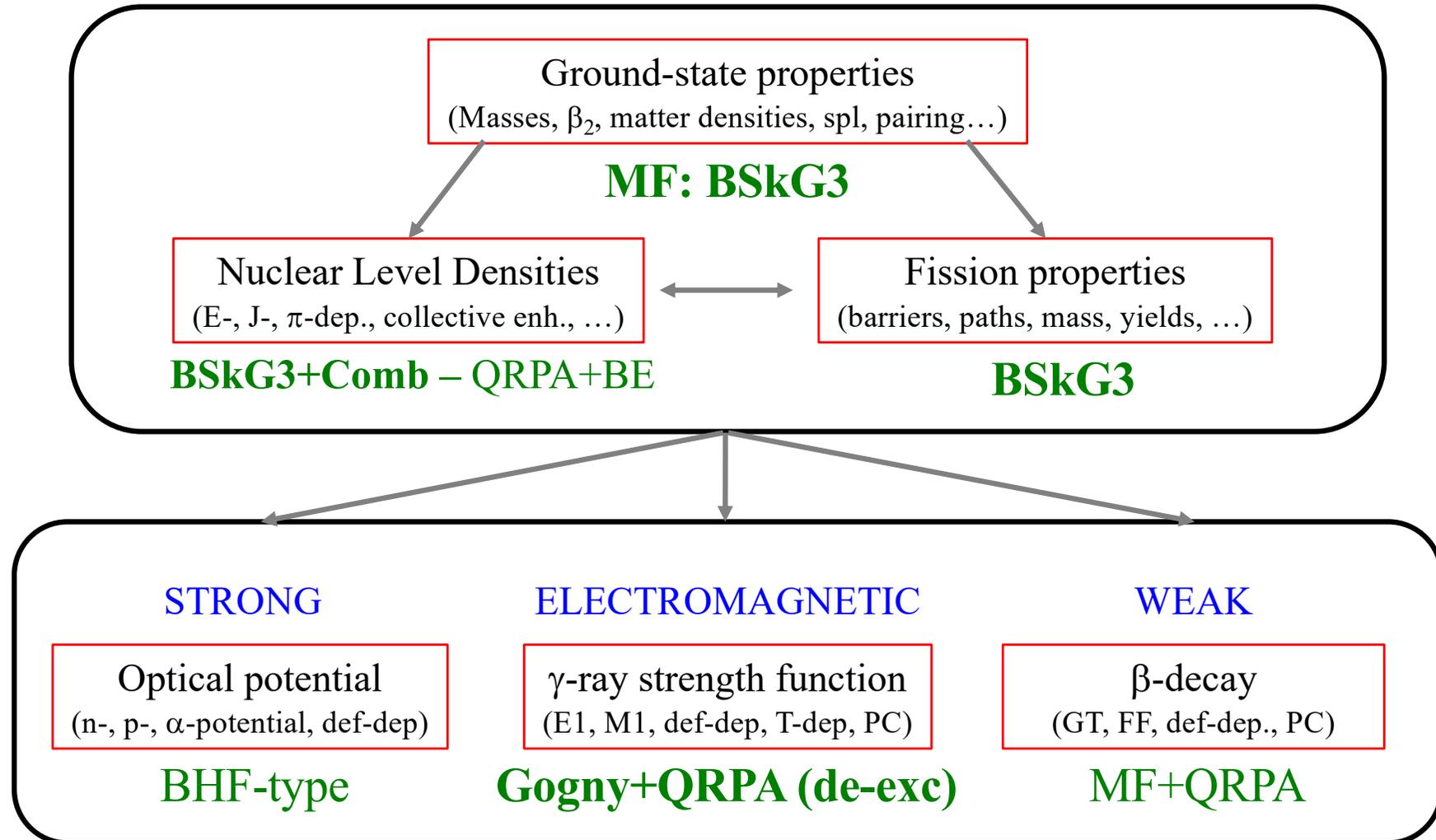
- More experimental constraints
- **Improved nuclear models**
  - Their **reliability**, *i.e.* their physical robustness
  - Their **accuracy**, *i.e.* their capacity to reproduce experimental data

# Nuclear inputs to nuclear reaction & decay calculations



# Some new efforts to improve the nuclear predictions for astrophysical applications

## Nuclear inputs to nuclear reaction & decay calculations



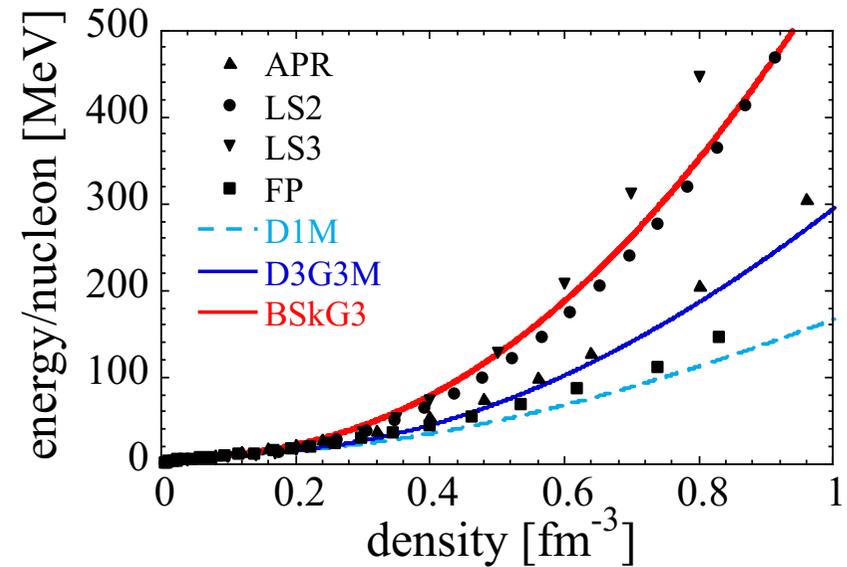
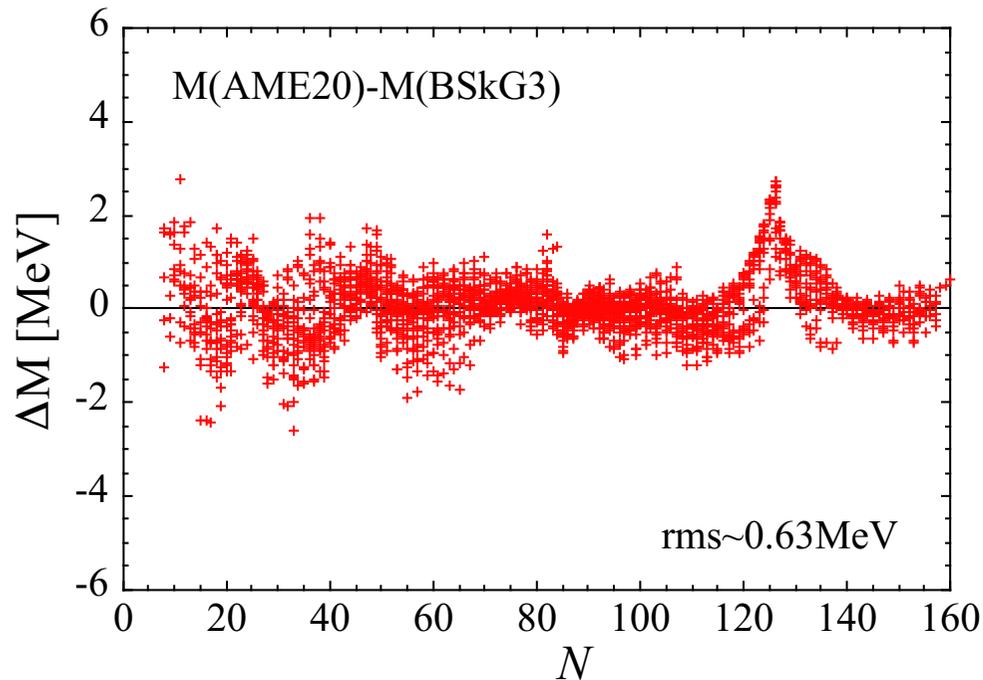
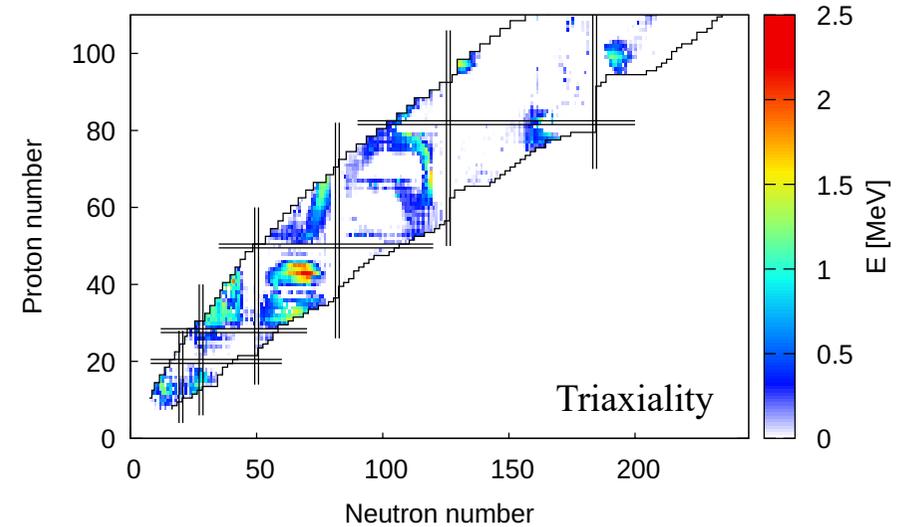
“Microscopic” approach is a necessary but not a sufficient condition !  
”(Semi-)Microscopic” models must be competitive in reproducing exp. data !

# BSkG3 HFB nuclear mass models

Grams, Ryssens et al. (EPJA 59, 270, 2023)

## Recent Skyrme-HFB mass model: BSkG3

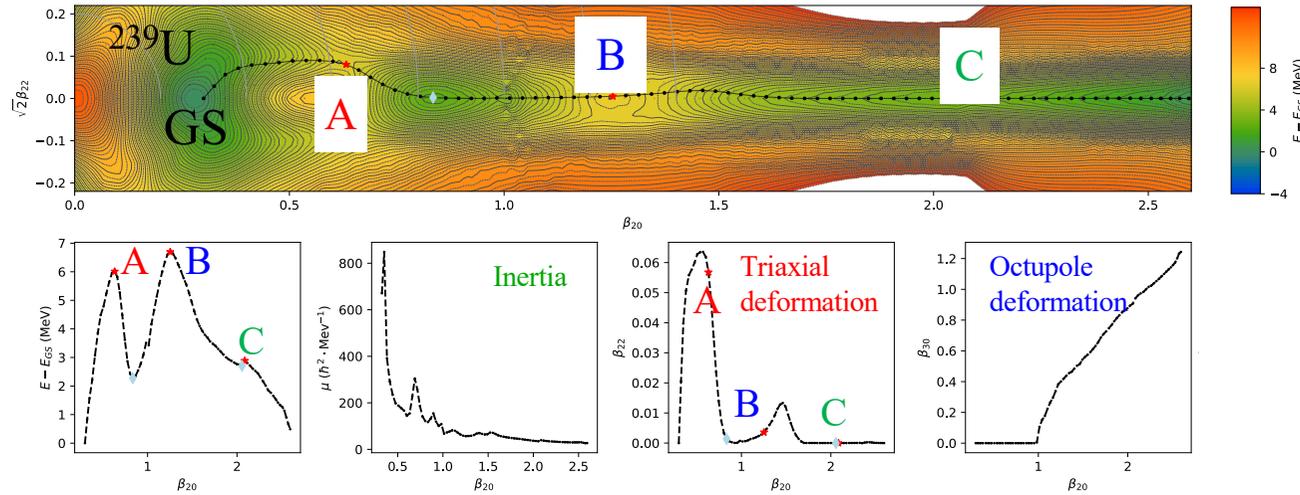
- Triaxiality, time-reversal symmetry breaking & octupole GS deformation
- Microscopic pairing from “realistic” calculations
- Stiff EoS
- Accurate masses:  $\sigma(2457M)=0.63\text{MeV}$



# BSkG3: Remarkable description of fission properties

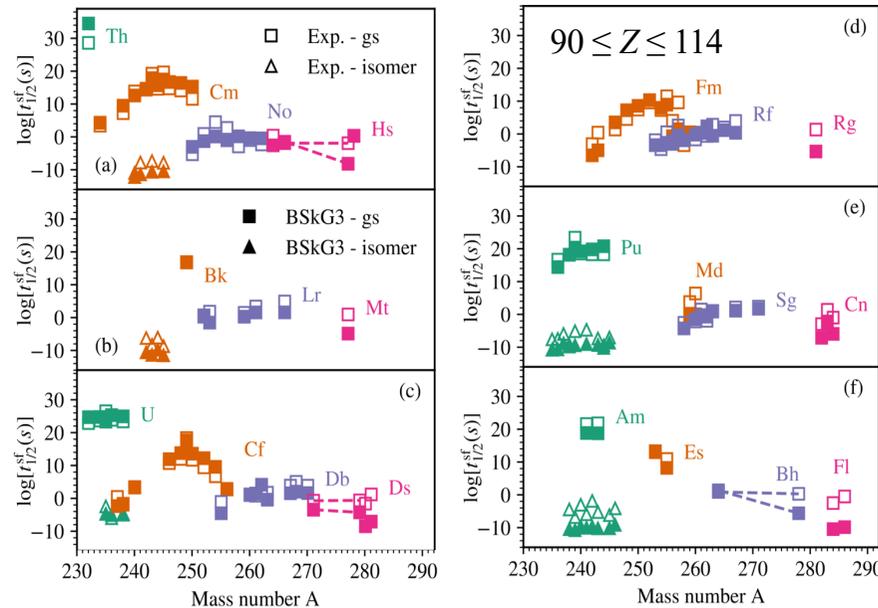
cf Sánchez Fernández et al. (poster #160)

- Fission barriers



rms	$\sigma(B_i)$ [MeV]
BSkG3	<b>0.33</b>
HFB-14	0.60
FRLDM	0.81
BCPM	1.48

- Spontaneous fission half-lives



**For GS:**  
 $107 t_{1/2} : f_{\text{rms}} = 1.5 \cdot 10^3$

**For fission isomers:**  
 $29 t_{1/2} : f_{\text{rms}} = 5.3 \cdot 10^3$

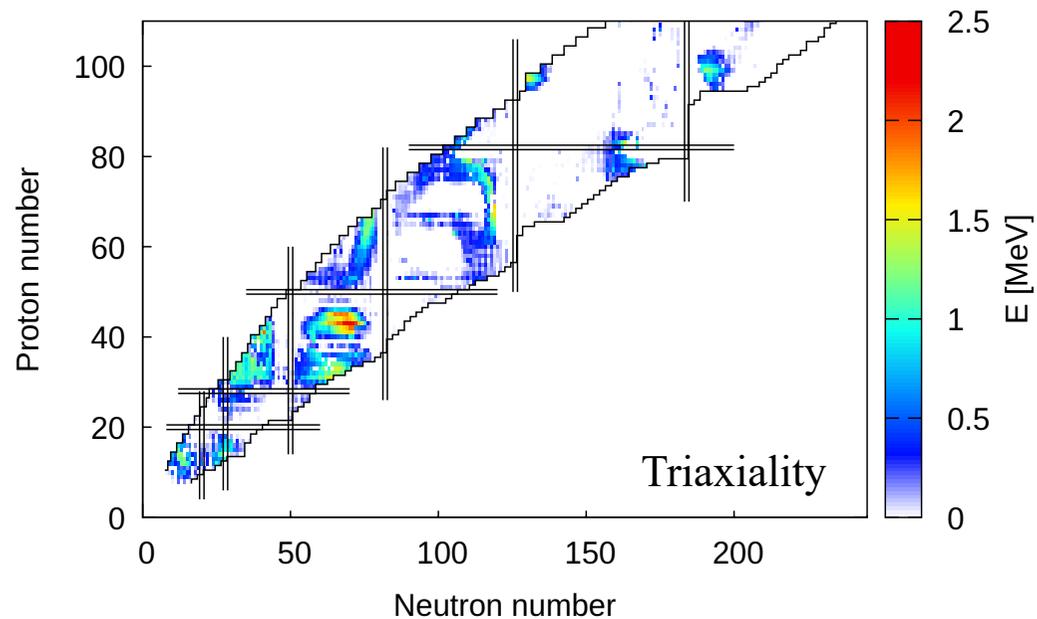
$$f_{\text{rms}} = \exp \left[ \frac{1}{N} \sum_i^N (\ln r_i)^2 \right]^{1/2}$$

Extended to  $\sim 3000$  nuclei ( $80 \leq Z \leq 118$ ) for r-process simulations ... cf impact on Adrian's poster

# Nuclear Level densities

New *combinatorial* predictions based on the BSkG3 nuclear structure properties

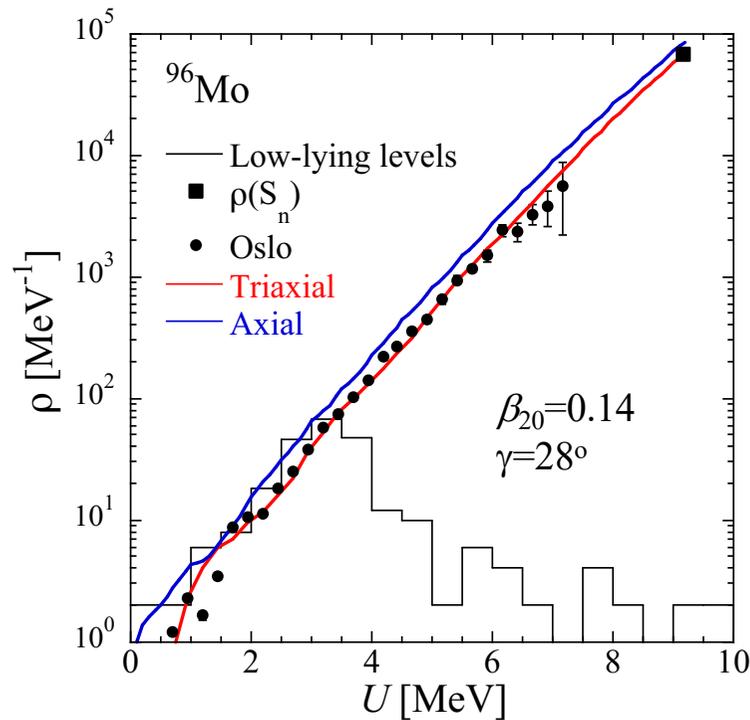
- Improved nuclear structure properties
- Inclusion of triaxial degree of freedom



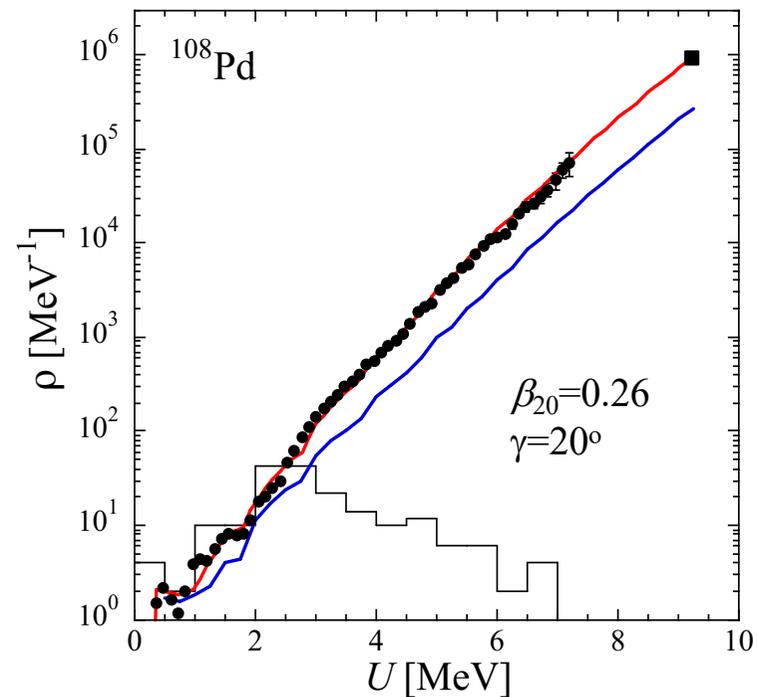
# Effects of triaxiality on BSkG3+Combinatorial NLD

Main impact of the triaxiality on the NLD:

- Reduction of the spl density  $\rightarrow$  Lower intrinsic NLD
- Additional collective enhancement  $\rightarrow$  Increase total NLD



For modestly deformed nuclei:  
**Decrease of NLD**

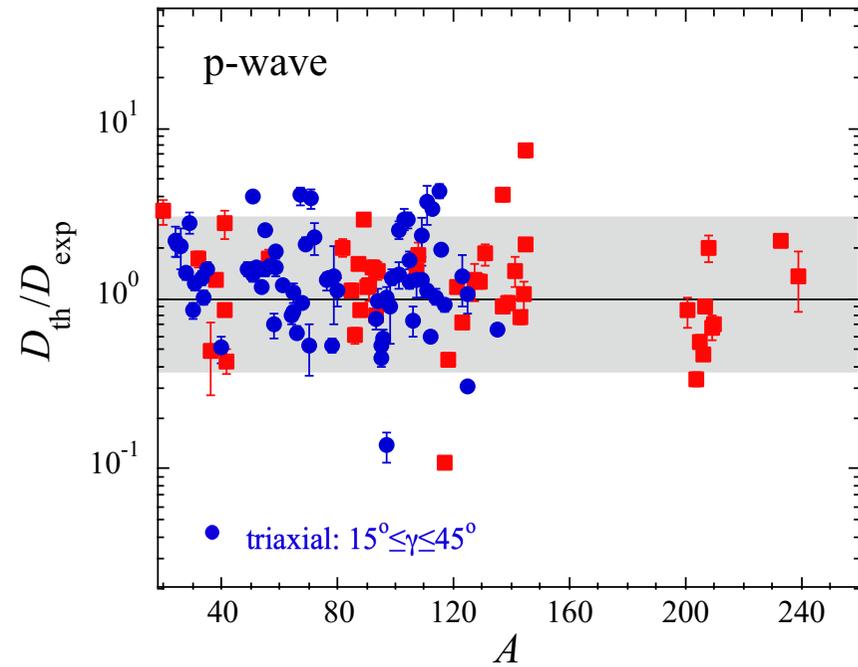
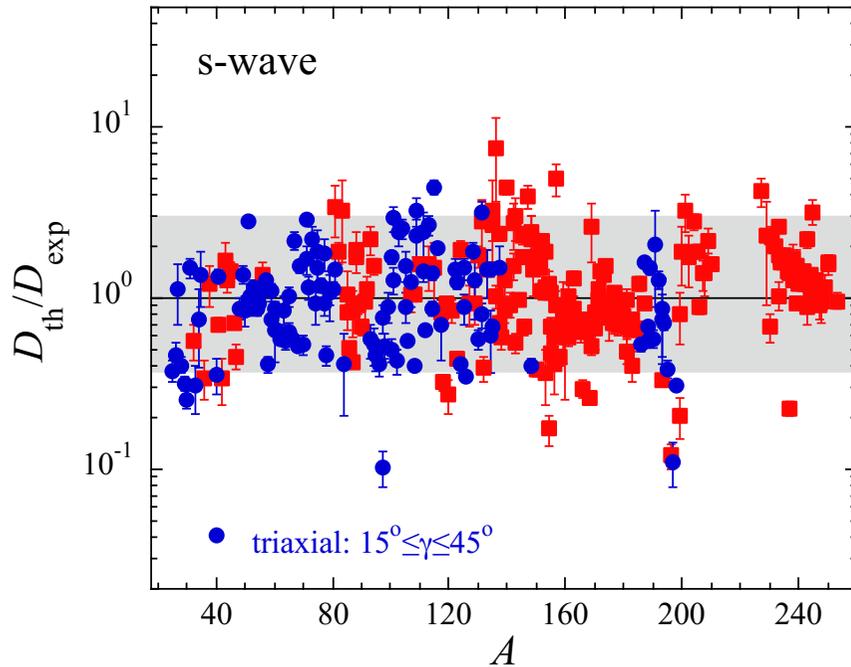


For well deformed nuclei:  
**Increase of NLD**

# Comparison with RIPL-3 resonance spacings

$D_0 = s$ -wave neutron resonance spacings

$D_1 = p$ -wave neutron resonance spacings



299 nuclei:  $f_{\text{mean}} = 1.03$  -  $f_{\text{rms}} = 1.96$

BSk14+comb (2008):  $f_{\text{rms}} = 2.34$

116 nuclei:  $f_{\text{mean}} = 1.21$  -  $f_{\text{rms}} = 1.99$

BSk14+comb (2008):  $f_{\text{rms}} = 2.24$

Also in good agreement with low-lying states, Oslo data, ...

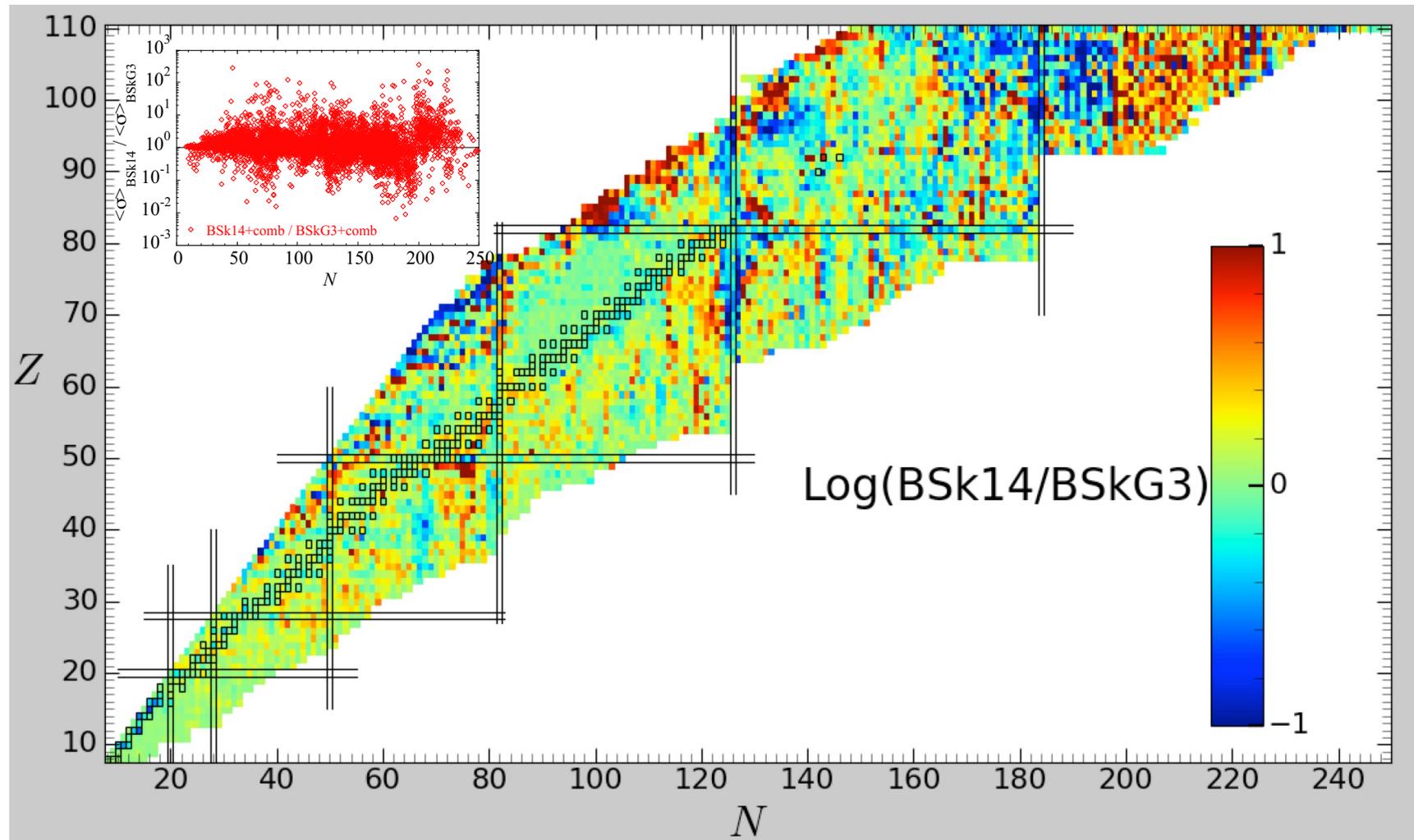
$$f_{\text{rms}} = \exp \left[ \frac{1}{N_e} \sum_{i=1}^{N_e} \ln^2 \frac{D_{\text{th}}^i}{D_{\text{exp}}^i} \right]^{1/2}$$

→ Tables of  $\rho(U, J, \pi)$  NLDs ( $U \leq 200 \text{ MeV}$   $J < 50$ ) available for

- GS (triaxial, octupole) of  $\sim 8500$  nuclei ( $8 \leq Z \leq 118$ )  
(including renormalisation coefficients ( $\alpha, \delta$ ) on experimental  $D_{\text{exp}}$  & LLL, when available)
- Saddle points and shape isomers (triaxial, octupole) of  $\sim 3000$  nuclei ( $80 \leq Z \leq 118$ )

# Impact of the BSkG3+combinatorial NLD on HF (n, $\gamma$ ) reaction rates

Comparison of BSk14+comb (2008) vs BSkG3+comb (2025) impact on  $\langle\sigma\rangle$  at  $T=10^9\text{K}$

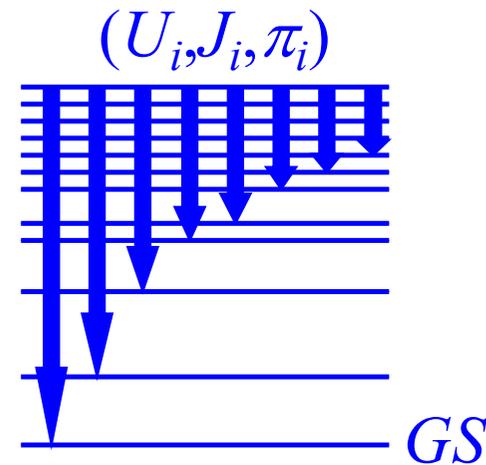
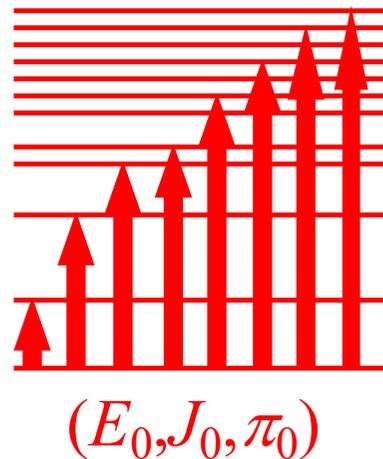


# Photon Strength Function

New calculations of the **de-excitation** PSF

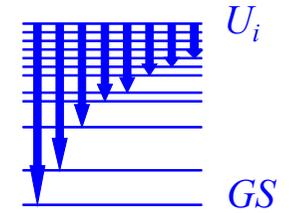
In particular:  $(\gamma, n)$ ,  $(\gamma, p)$ ,  $(\gamma, \alpha)$

$(n, \gamma)$ ,  $(p, \gamma)$ ,  $(\alpha, \gamma)$



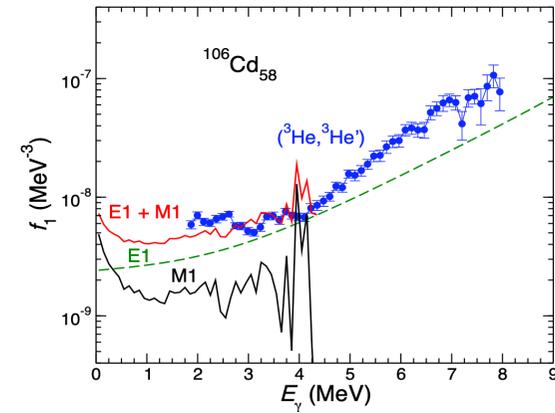
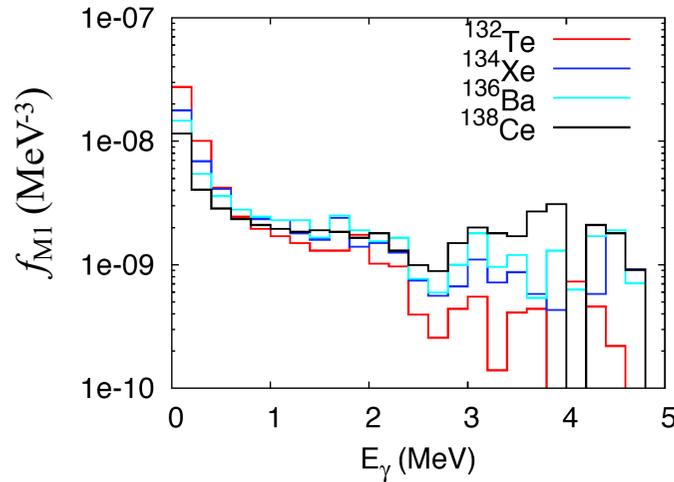
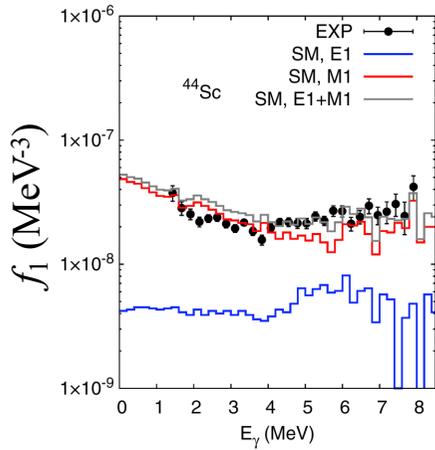
# Shell Model prediction of the low-energy de-excitation PSF

Schwengner et al. (2013, 2017, 2021), Brown et al. (2014),  
 Sieja (2017, 2018), Karampagia et al. (2017), Midtbø et al. (2018),  
 Mercenne et al. (2024), Chen et al., (2025), Le Noan et al. (2025)



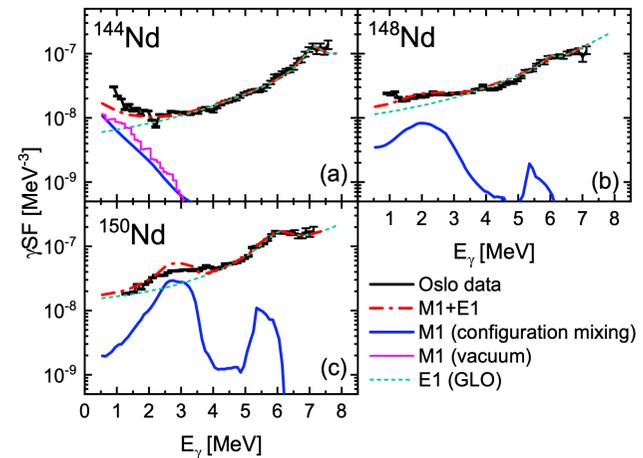
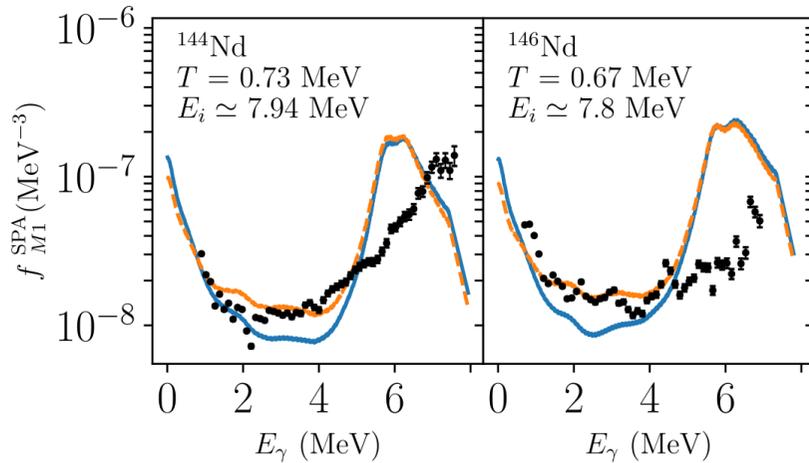
Sieja et al. (2017, 2018)

Schwengner (2021)



Mercenne et al. (2024)

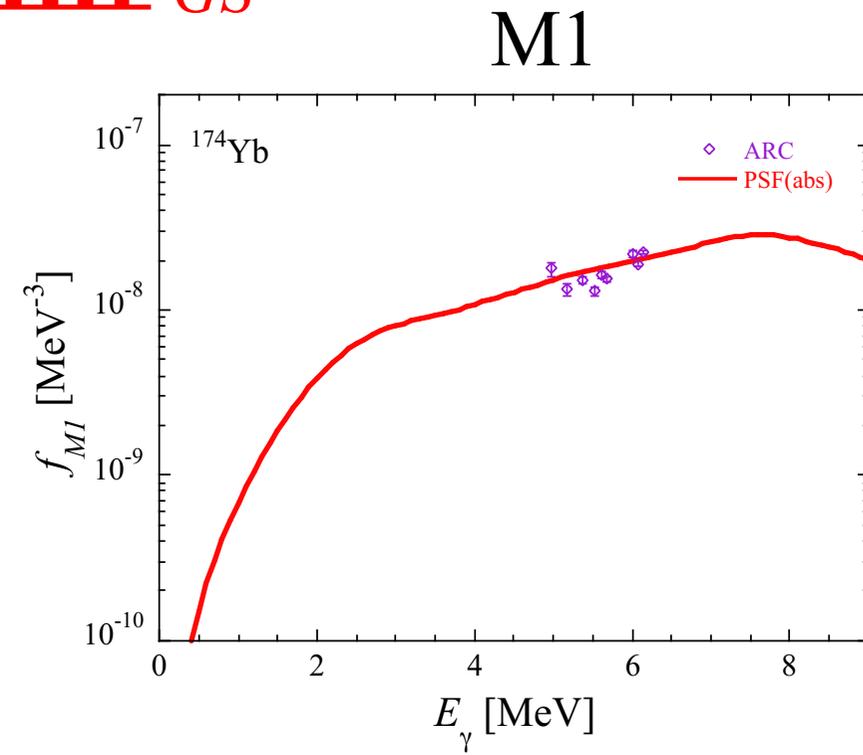
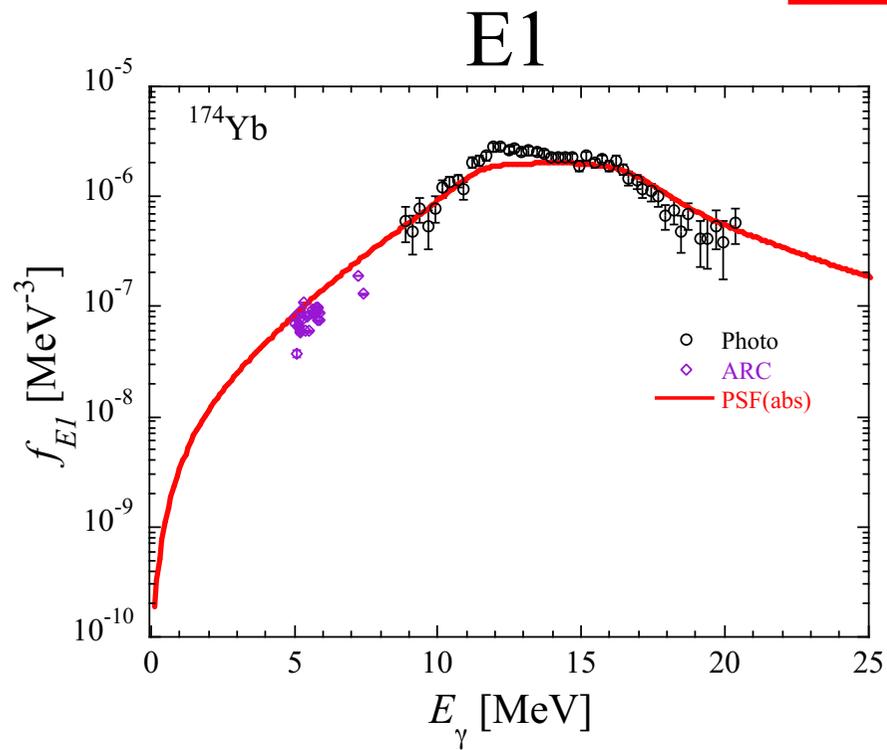
Chen et al. (2025)



# QRPA photoabsorption PSF from the GS



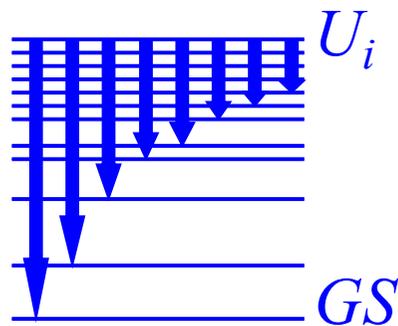
Gogny-HFB+QRPA



Successful in describing photo, ARC, NRF, ... data

# QRPA de-excitation PSF at an initial energy $U_i$

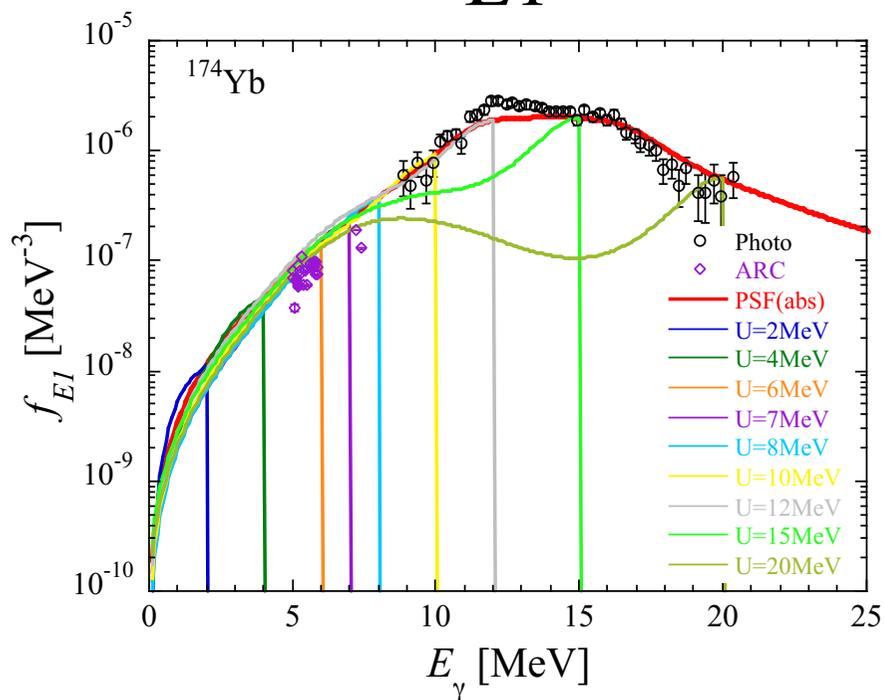
deformed  $^{174}\text{Yb}$



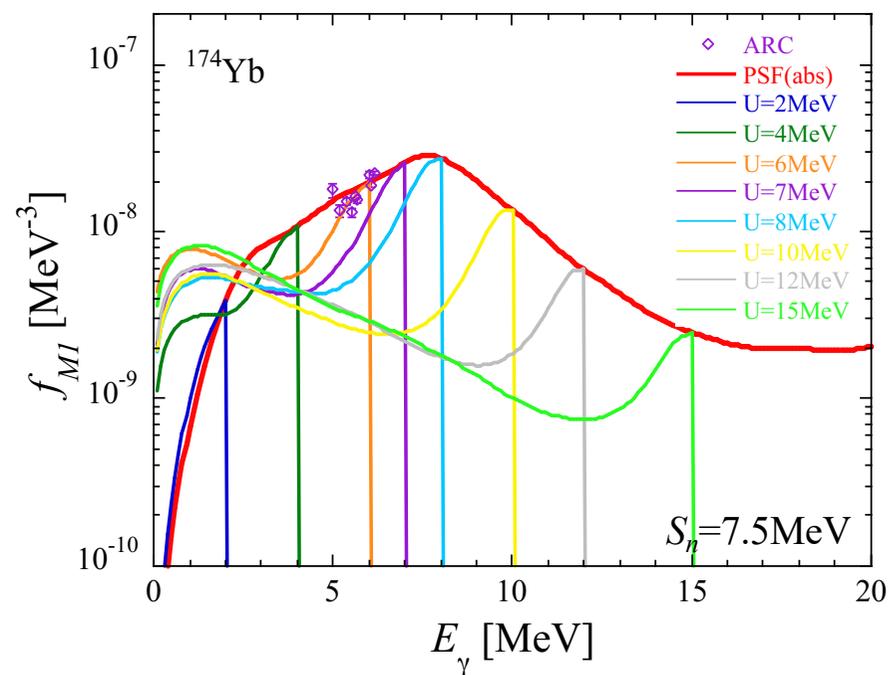
Gogny-HFB+QRPA

E1

M1



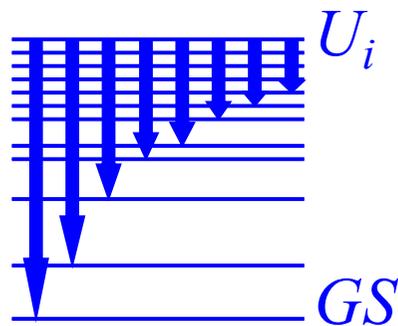
Negligible low-energy  
E1 enhancement



Significant low-energy M1  
enhancement (“upbend”)  
but also reduction at higher  $E_\gamma$

# QRPA de-excitation PSF at an initial energy $U_i$

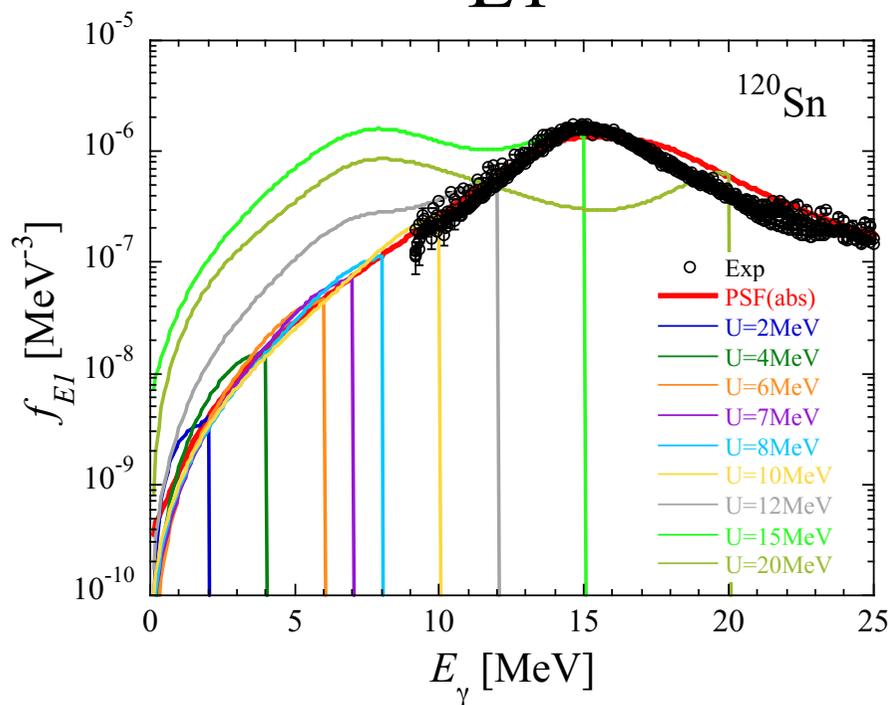
spherical  $^{120}\text{Sn}$



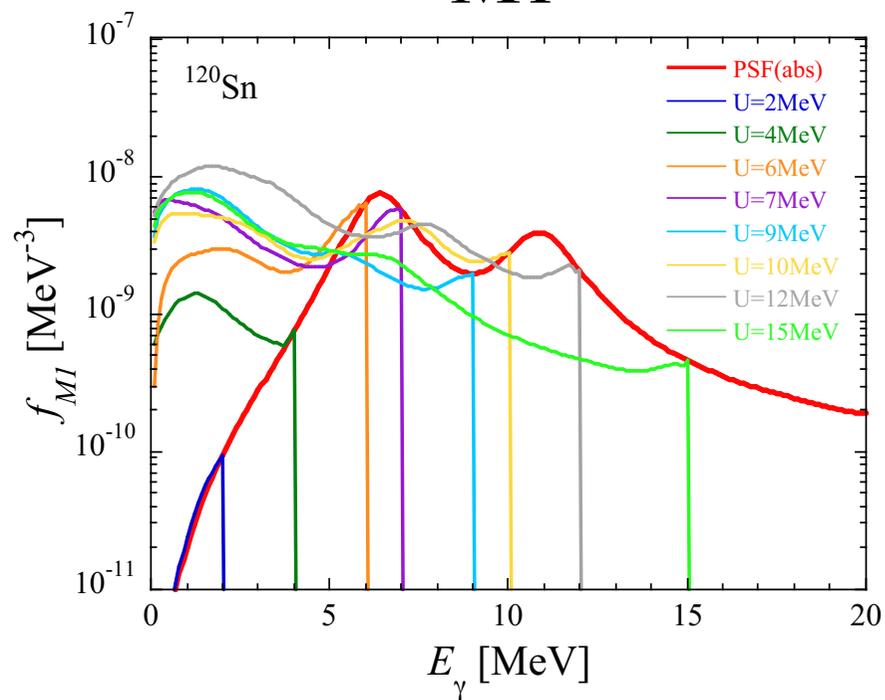
Gogny-HFB+QRPA

E1

M1

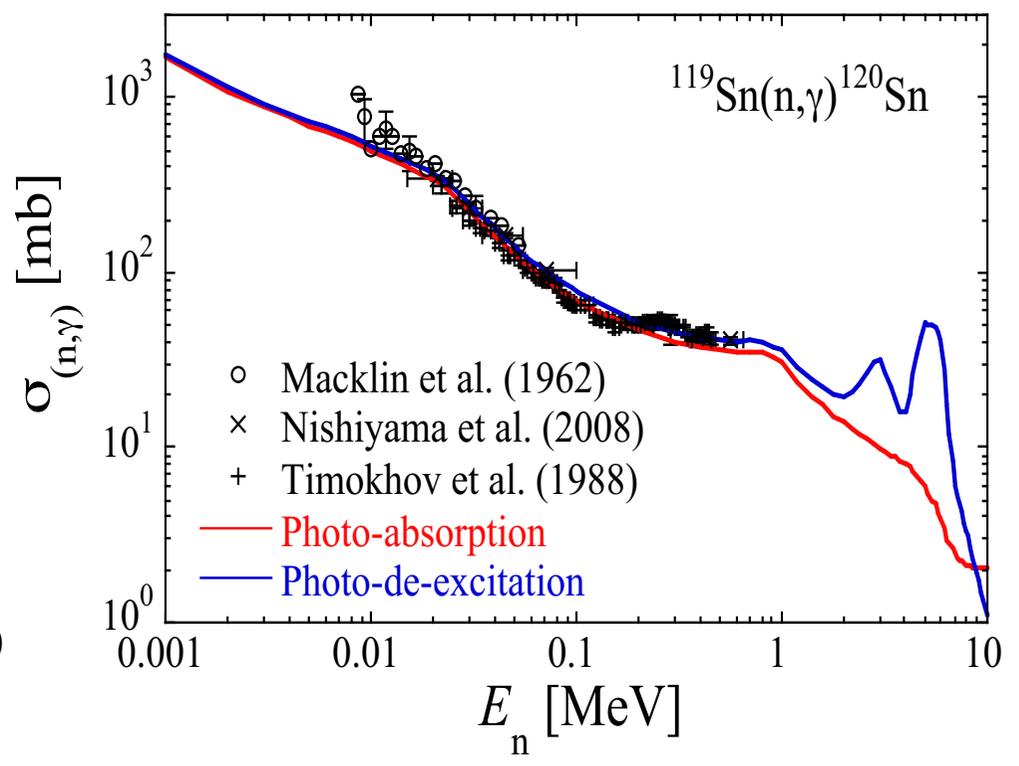
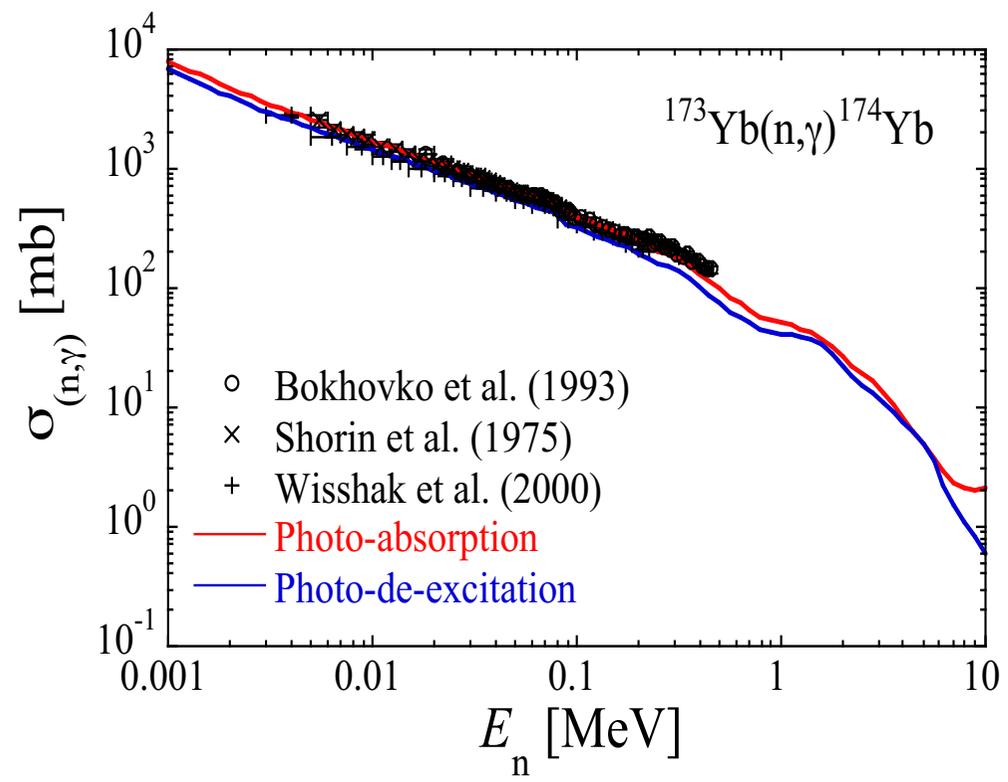
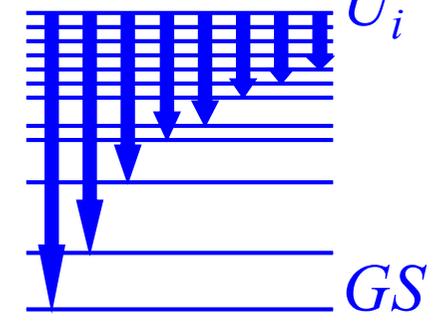


Non-negligible low-energy E1 enhancement at  $E_\gamma > 10\text{MeV}$



Significant low-energy M1 enhancement (“upbend”) but also reduction at higher  $E_\gamma$

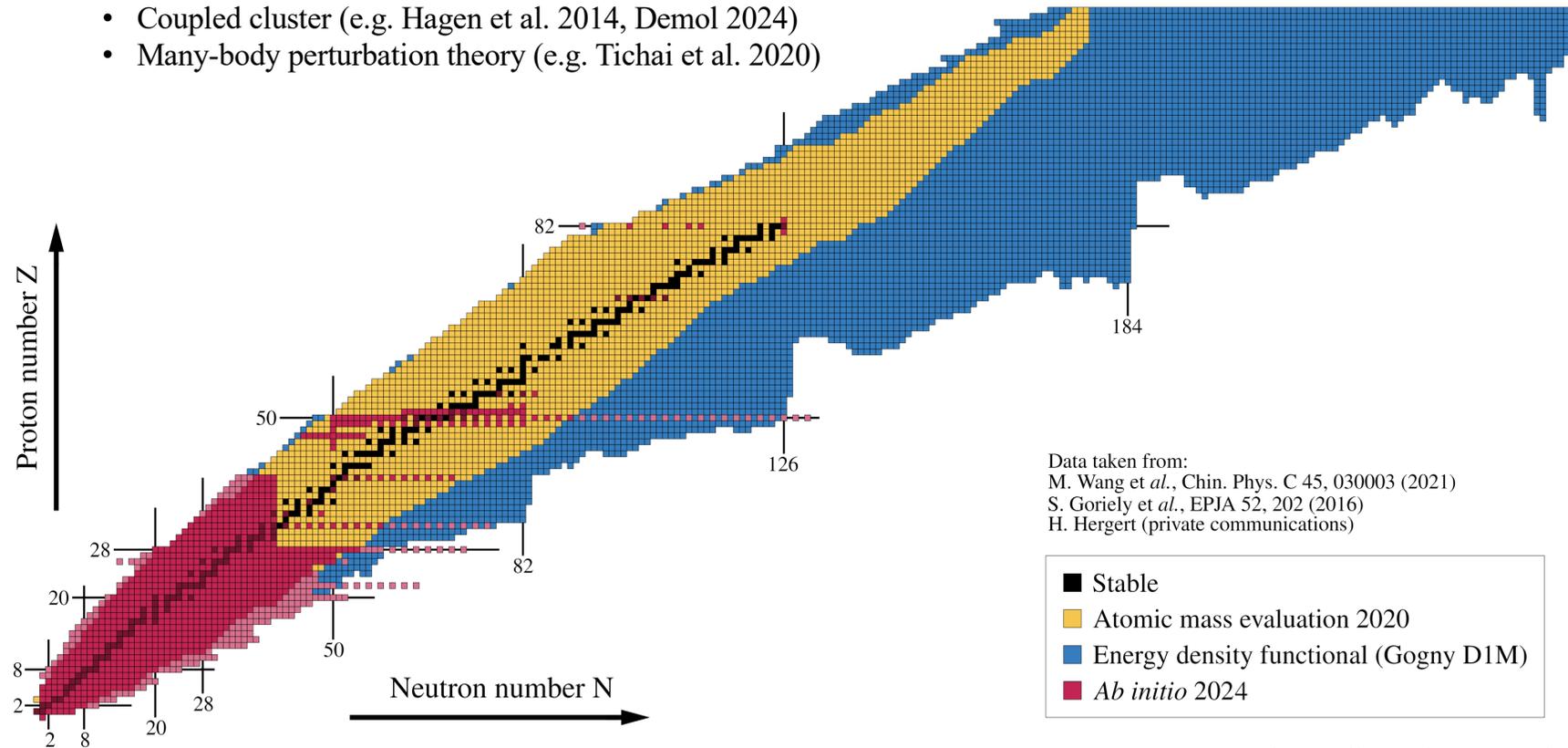
## QRPA de-excitation PSF at an initial energy $U_i$



**Application to cross section calculations and implication for nucleosynthesis still ongoing**

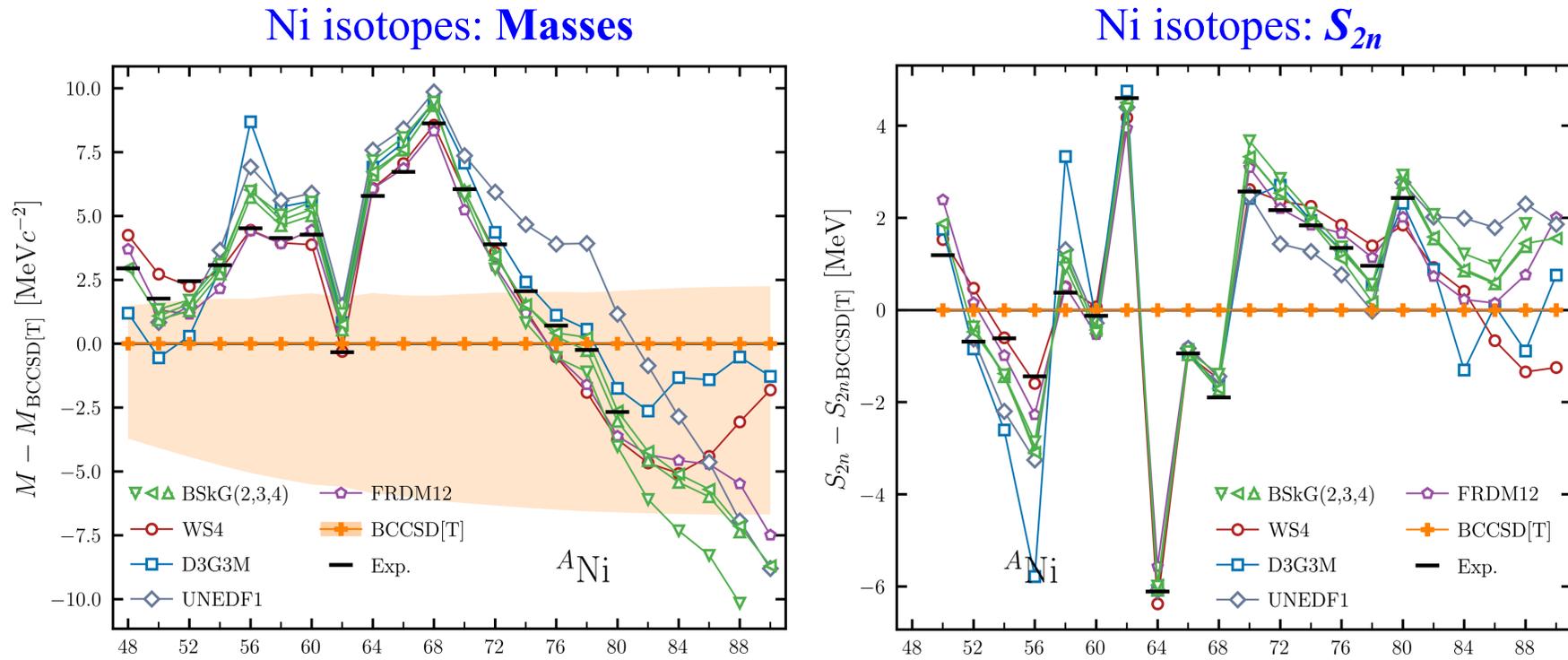
# Impressive progress in *Ab-initio* models

- Self-consistent Green's function (e.g. Soma, 2002; Barbieri et al. 2022)
- In-medium similarity renormalization group (e.g. Hergert et al. 2016)
- Coupled cluster (e.g. Hagen et al. 2014, Demol 2024)
- Many-body perturbation theory (e.g. Tichai et al. 2020)



Courtesy of P. Bally and P. Demol

# Comparison between BCCSD[T] *ab-initio* predictions with mass models

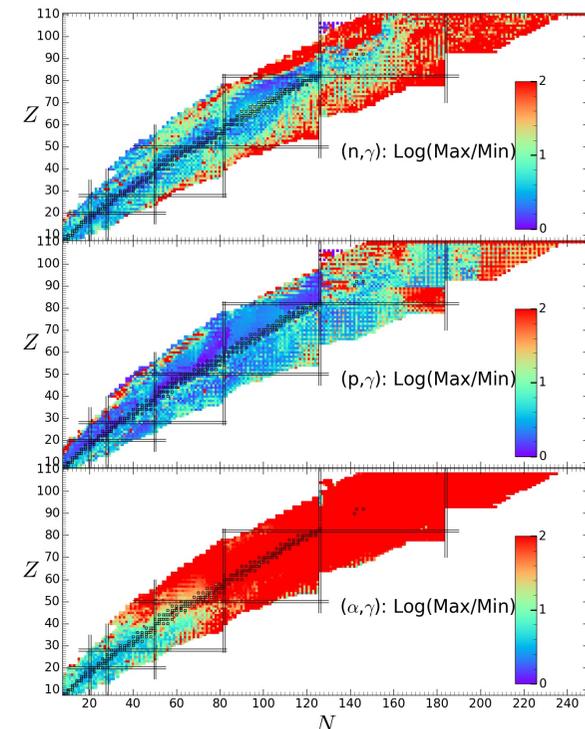


Courtesy of P. Demol

Future developments may allow us to use *ab-initio* models as reference calculation far away from experimentally known regions

# Still many uncertainties associated with NP inputs

- **Improved MF models for nuclear inputs to the reaction model**
  - **GS properties:** correlations, odd- $A$ , triaxiality
  - **Fission:** 3D fission paths, NLD at the saddle points, FFD
  - **E1/M1-strength functions:** PR,  $\varepsilon_\gamma=0$  limit,  $T$ -dep, PC,  $J^\pi$ -dep
  - **Nuclear level Densities:** low- $E$ , correlations, pairing, vib-rot
  - **Optical potential:** low- $E$  isovector imaginary n-OMP,  $\alpha$ -OMP below Coulomb barrier
- **Reaction model**
  - HF vs RR vs Direct capture
- **$\beta$ -decay model (including  $\beta_{dn}$ ,  $\beta_{df}$ , EC)**
  - Forbidden transitions, deformation, odd- $Z/N$ , Beyond MF  
(cf Robin & Martinez-Pinedo, 2024;  
Alvear Terrero et al. this conference)



# Conclusions

- **Major progress achieved and efforts ongoing**
  - Reaction model (e.g. TALYS)
  - MF, beyond MF, QRPA/FAM, SM, ab-initio
- **Selection of models for astrophysics application according to**
  - Their reliability, *i.e.* their physical robustness  
e.g. avoid extrapolation with “macroscopic” models (or ML algorithms)
  - Their accuracy, *i.e.* capacity to reproduce experimental data  
e.g. SLO, GLO models of PSF should not be used
- **Updated experimental libraries to reduce parameter uncertainties**
  - A regularly-updated library of cross sections / rates  
in particular: n-, p-,  $\alpha$ -captures,  $\beta^\pm$ /EC/ $\alpha$  decay
  - A regularly-updated library of evaluated input parameters  
in particular:  $M$ ,  $R_c$ ,  $\beta_2$ ,  $J^\pi$ ,  $B_f$ ,  $D_0$ ,  $S_0$ ,  $\langle \Gamma_\gamma \rangle$ , PSF, NLD, OMP, ...

If we can describe relatively accurately known reaction/decay rates,  
we are still far from being able to predict *reliably*  
n-, p- or  $\alpha$ -captures,  $\beta$ -decay, and even less, fission of exotic nuclei  
BUT progress is being made