

Precision EW physics in the SMEFT

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Lectures plan

Lectures 1 & 2 – theory

- ▶ SMEFT generalities
- ▶ SMEFT at $d = 6$: Warsaw basis
- ▶ EWSB, Field and couplings redefinitions
- ▶ Flavor structure
- ▶ EW input parameter schemes
- ▶ SMEFT corrections in propagators

Lectures 3 & 4 – phenomenology & more advanced aspects

- ▶ Basics of SMEFT predictions
- ▶ How is SMEFT probed in the EW sector? EWPO, diboson, Higgs etc
- ▶ Global fits: structure, status, examples
- ▶ The geometry of the scalar manifold

Material that will be provided

- ▶ List of useful references
- ▶ List of useful formulas
- ▶ Exercise sheets + solutions
- ▶ Slides

Lectures 1& 2

The Warsaw basis

Grzadkowski, Iskrzynski, Misiak, Rosiek 1008.4884

1	X^3	2	φ^6 and $\varphi^4 D^2$	3	$\psi^2 \varphi^3$	5
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$	
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\square}$	$(\varphi^\dagger \varphi) \square (\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$	
Q_W	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$	
$Q_{\widetilde{W}}$	$\varepsilon^{IJK} \widetilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$					
4	$X^2 \varphi^2$	6	$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	7
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$	
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$	
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$	
$Q_{\varphi \widetilde{W}}$	$\varphi^\dagger \varphi \widetilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$	
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$	
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$	
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$	
$Q_{\varphi \widetilde{W}B}$	$\varphi^\dagger \tau^I \varphi \widetilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$	

The Warsaw basis

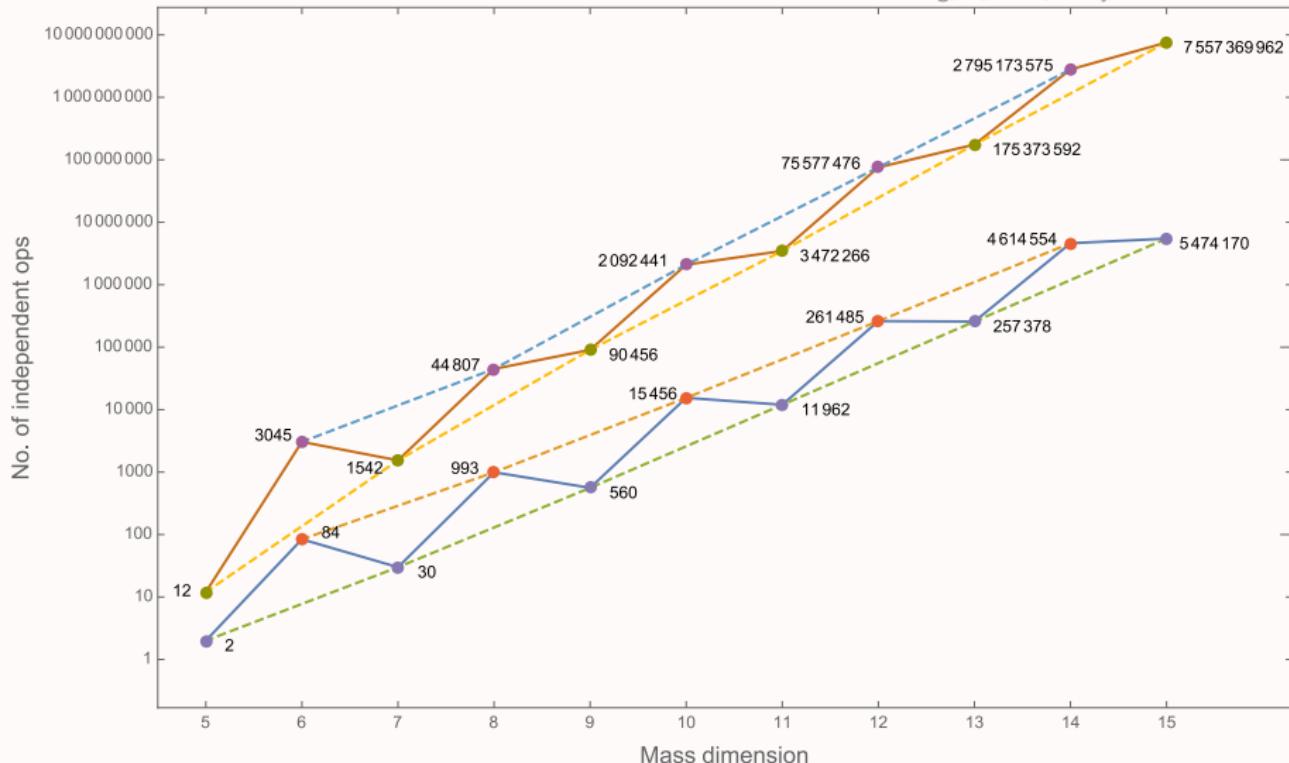
Grzadkowski, Iskrzynski, Misiak, Rosiek 1008.4884

8a	$(\bar{L}L)(\bar{L}L)$	8b	$(\bar{R}R)(\bar{R}R)$	$(\bar{L}L)(\bar{R}R)$		8c
Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$	
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$	
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$	
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$	
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$	
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$	
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$	
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$	

8d	$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$	B -violating				
Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s q_t^j)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^j)^T C l_t^k]$			
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{qqu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$			
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	Q_{qqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} \varepsilon_{mn} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^m)^T C l_t^n]$			
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	Q_{duu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$			
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$					

SMEFT: number of independent parameters

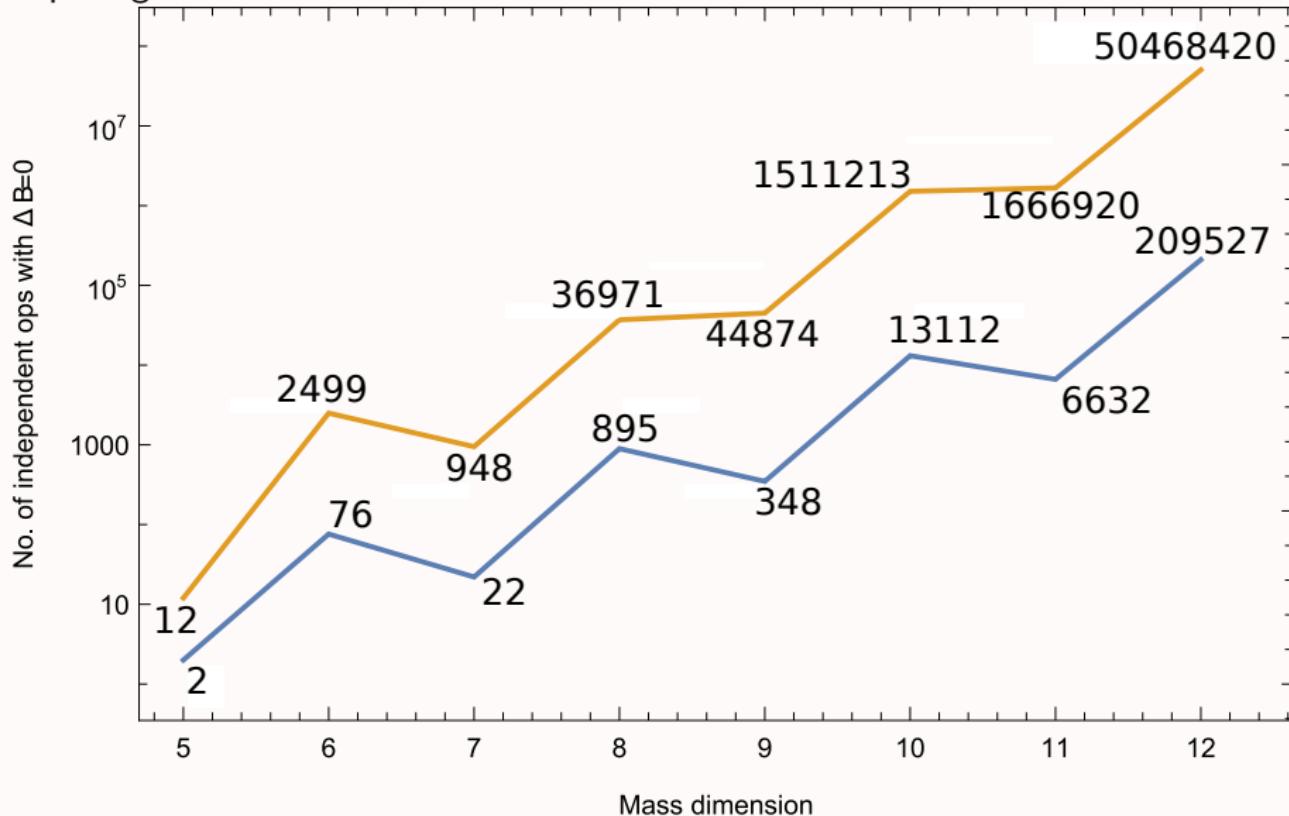
Henning,Lu,Melia,Murayama 1512.03433



SMEFT: number of independent parameters

imposing $\Delta B = 0$

Henning,Lu,Melia,Murayama 1512.03433



A very large flavorful parameter space

Classification within Warsaw basis

Grzadkowski, Iskrzynski, Misiak, Rosiek 1008.4884

Class	CP	CP	Total
X^3	2	2	4
$\varphi^6 + \varphi^4 D^2$	3	-	3
$\varphi^2 X^2$	4	4	8
$\varphi^2 \psi^2$	27	27	54
$\varphi X \psi^2$	72	72	144
$\varphi^2 D \psi^2$	51	30	81
$(\bar{L}L)(\bar{L}L)$	171	126	297
$(\bar{R}R)(\bar{R}R)$	255	195	450
$(\bar{L}L)(\bar{R}R)$	360	288	648
$(\bar{L}R)(\bar{R}L)$	81	81	162
$(\bar{L}R)(\bar{L}R)$	324	324	648

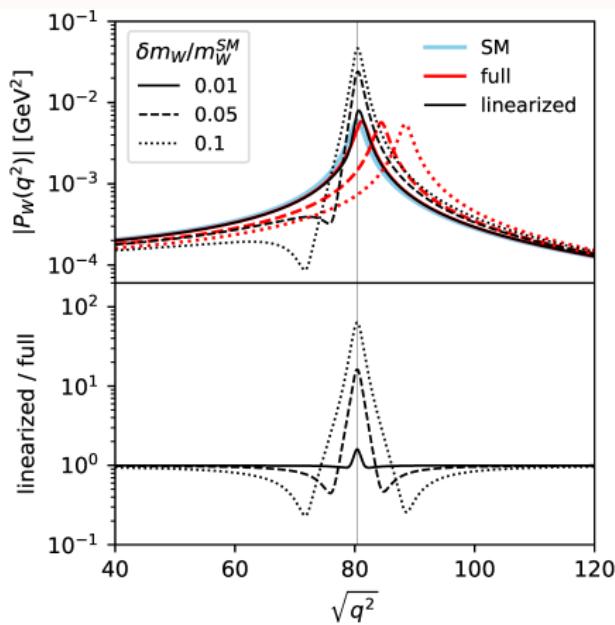
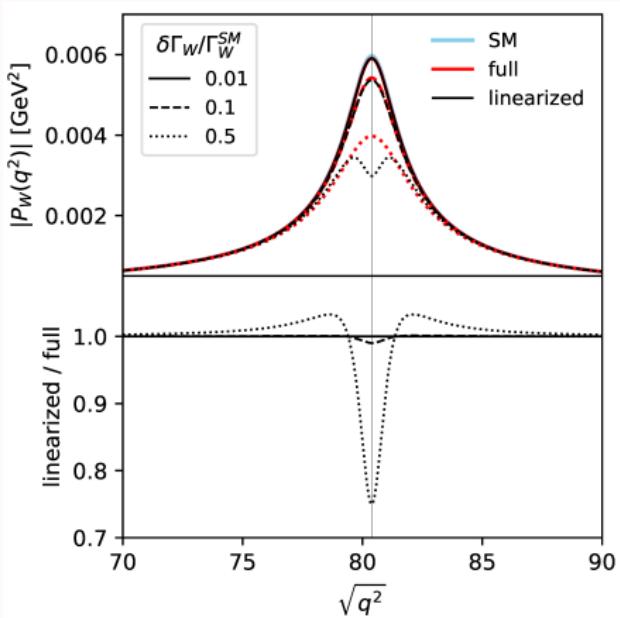
- 👉 most parameters from **fermionic** terms
- 👉 **flavor** has dramatic impact on counting

Examples:

$$\begin{array}{ll} B_{\mu\nu}(\bar{q}_i \sigma^{\mu\nu} d_j) \varphi & 9 + 9 \\ (\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_i \gamma^\mu u_j) & 6 + 3 \\ (\bar{l}_i \gamma_\mu l_j)(\bar{l}_k \gamma^\mu l_l) & 27 + 18 \\ (\bar{e}_i \gamma_\mu e_j)(\bar{u}_k \gamma^\mu u_l) & 45 + 36 \\ (\bar{l}_i^I e_j)(\bar{d}_k q_l^I) & 81 + 81 \end{array}$$

Propagator corrections

$$\frac{i(-\eta^{\mu\nu} + q^\mu q^\nu/m_W^2)}{p^2 - m_W^2 + i\Gamma_W m_W} \left[1 + \frac{im_W \Delta\Gamma_W}{p^2 - m_W^2 + i\Gamma_W m_W} - \frac{(2m_W - i\Gamma_W) \Delta m_W}{p^2 - m_W^2 + i\Gamma_W m_W} \right] + \mathcal{O}(\Lambda^{-4})$$

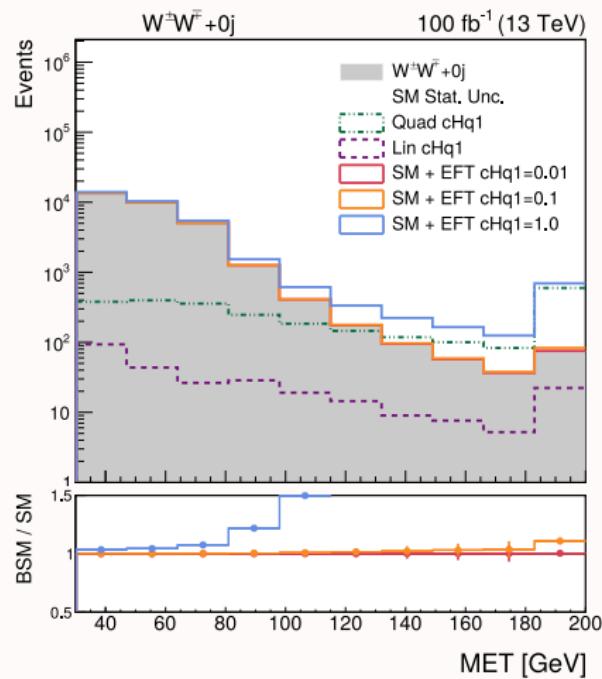
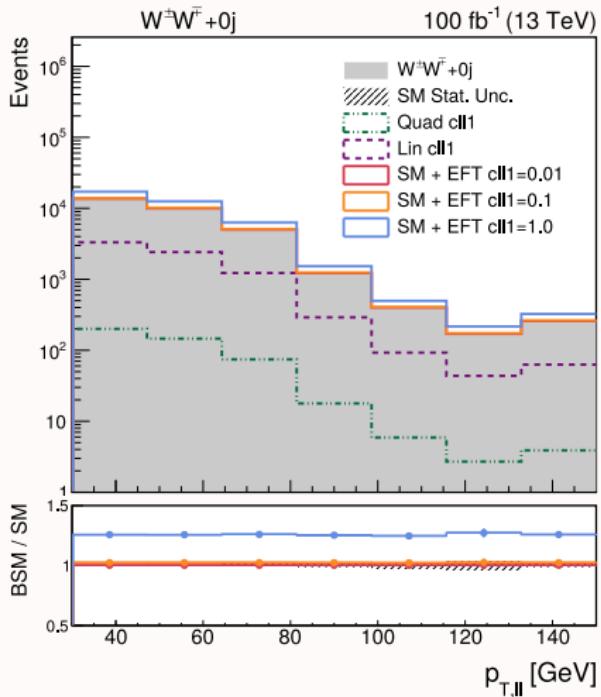


Lectures 3 & 4

SMEFT effects: rescaling vs. shape change

Bellan, Boldrini, Brambilla, IB et al 2108.03199

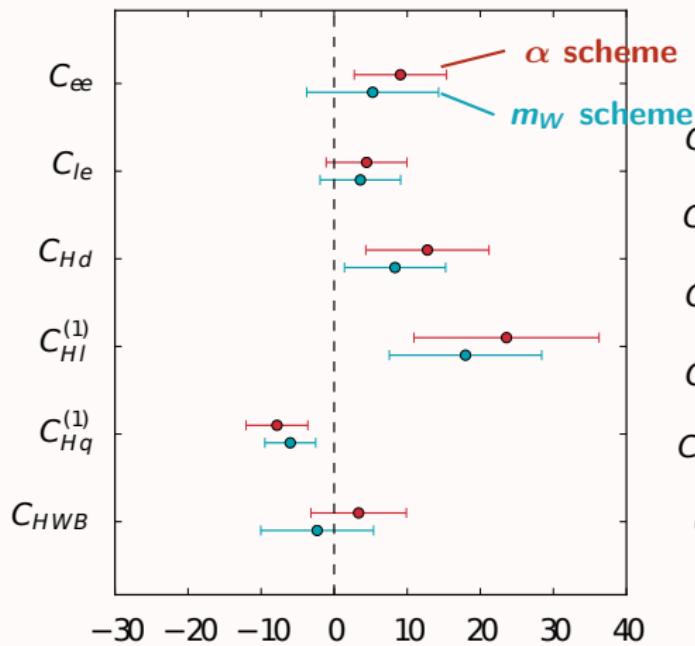
parton level simulation of $pp \rightarrow e^+ \nu_e \mu^- \bar{\nu}_\mu$ with $\{m_W, m_Z, G_F\}$ inputs



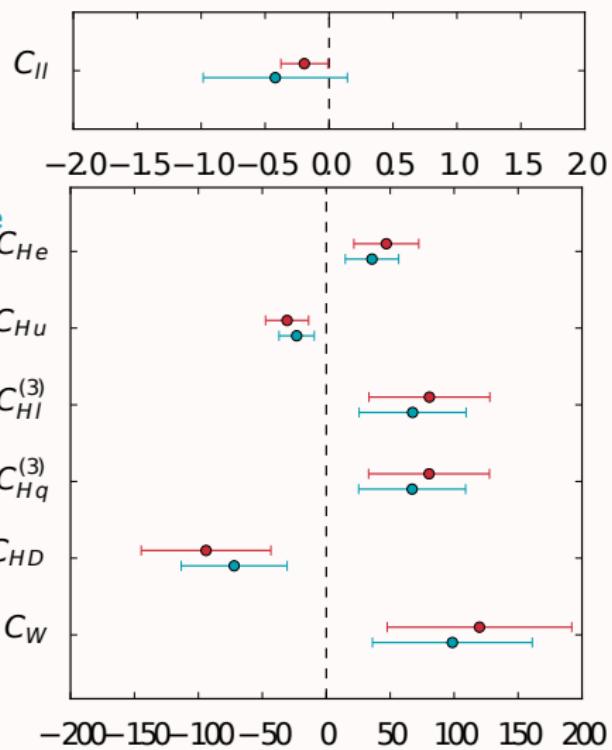
Pure EW fit

Z -pole + m_W + bhabha + WW (LEP2)

1σ regions for $\left(C_i \frac{v^2}{\Lambda^2} \right)$

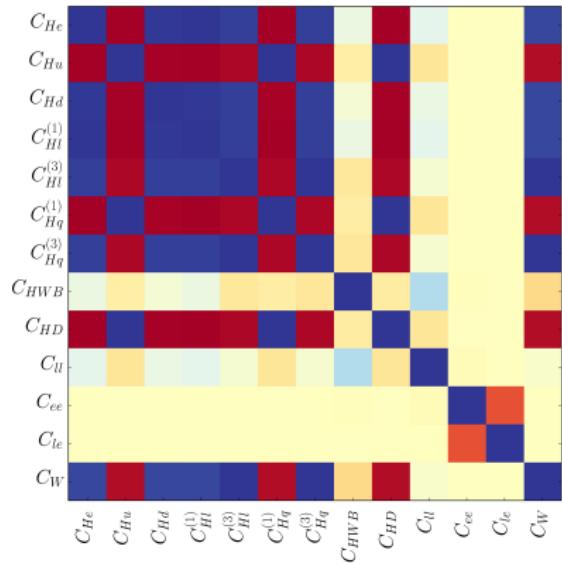


Brivio,Trott 1701.06424

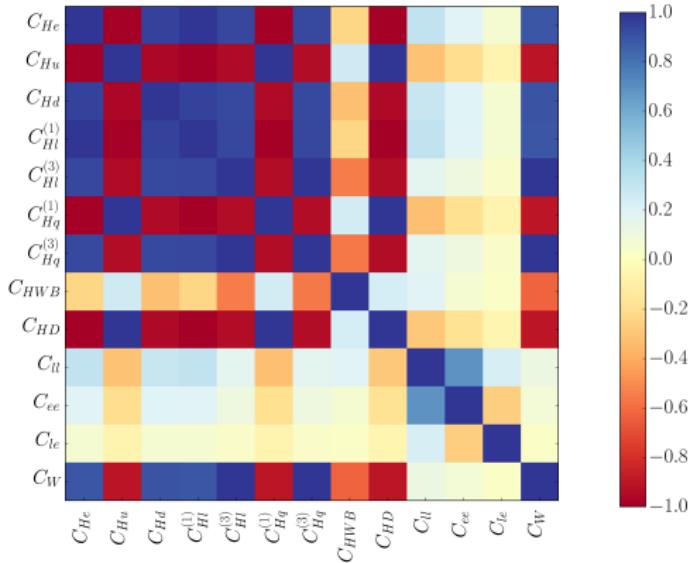


Pure EW fit – correlations

$\{\alpha, m_Z, G_F\}$

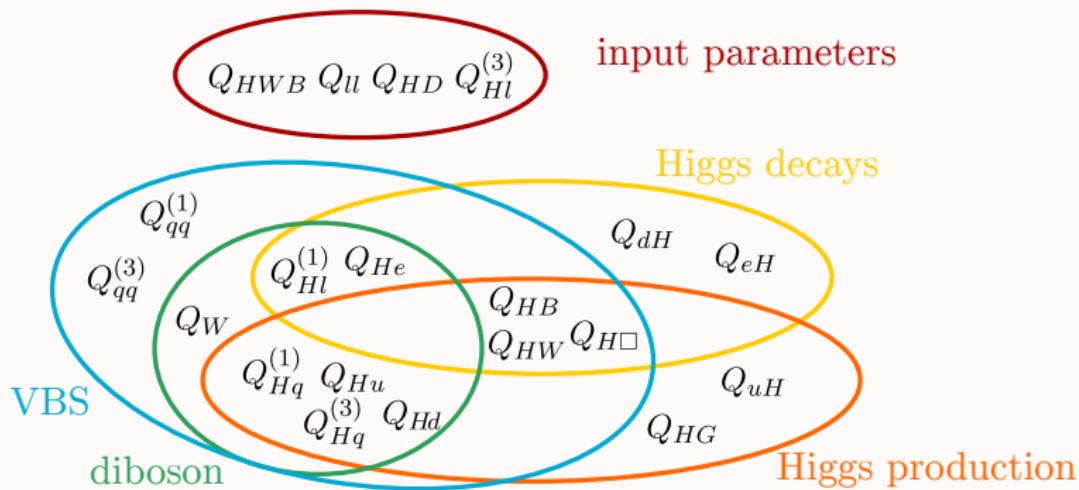


$\{m_W, m_Z, G_F\}$



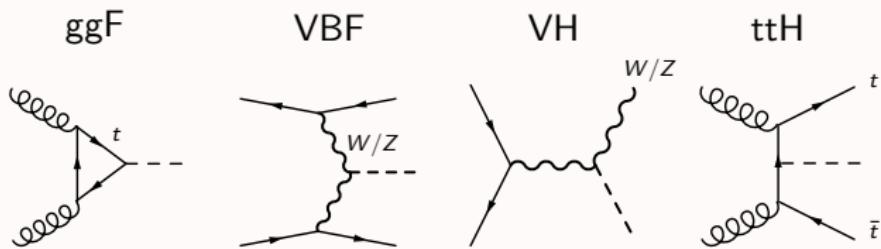
SMEFT for EW and Higgs sectors

leading Warsaw basis operators in Higgs and EW processes: ~ 20

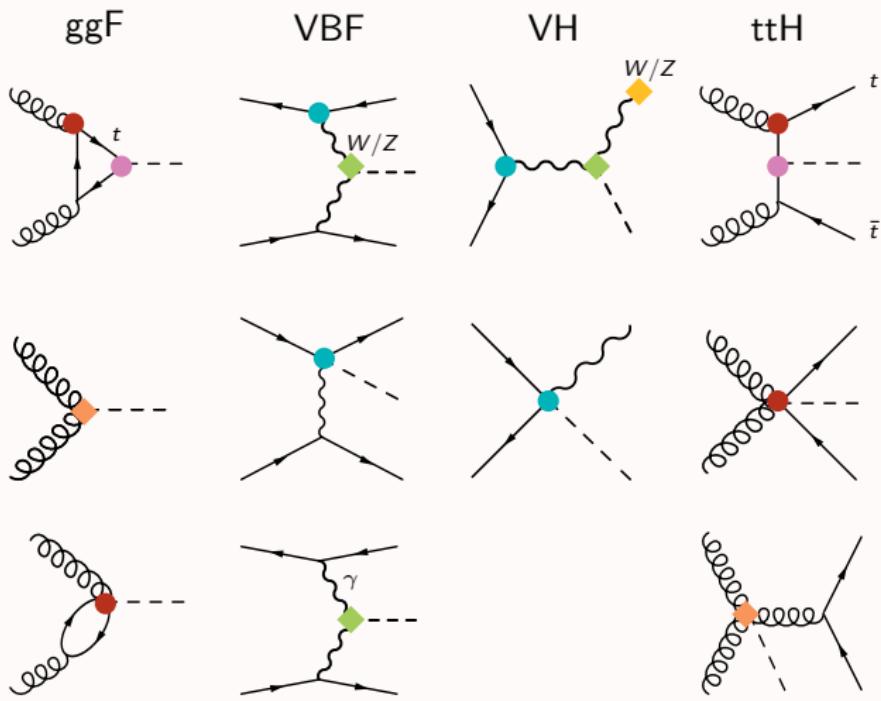


+ CP odd + flavor indices + others entering through loop corrections . . .

SMEFT in Higgs production

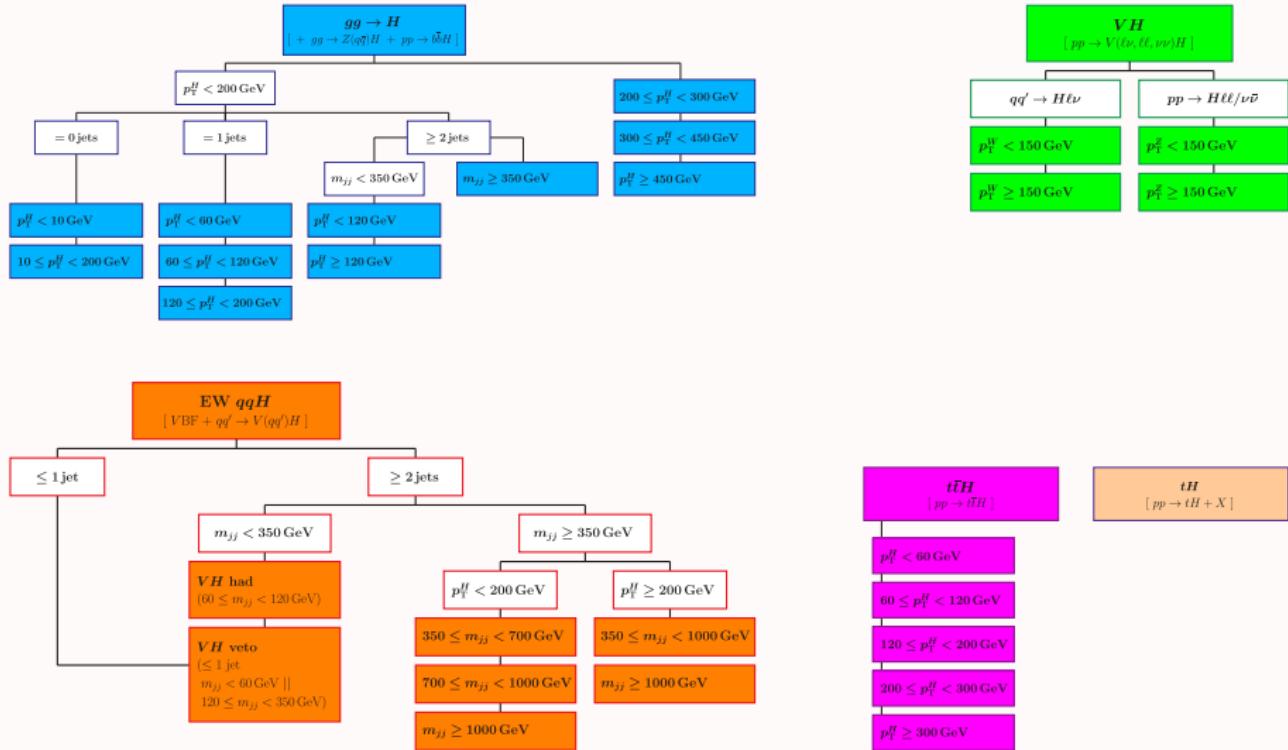


SMEFT in Higgs production



Simplified Template Cross Sections (STXS)

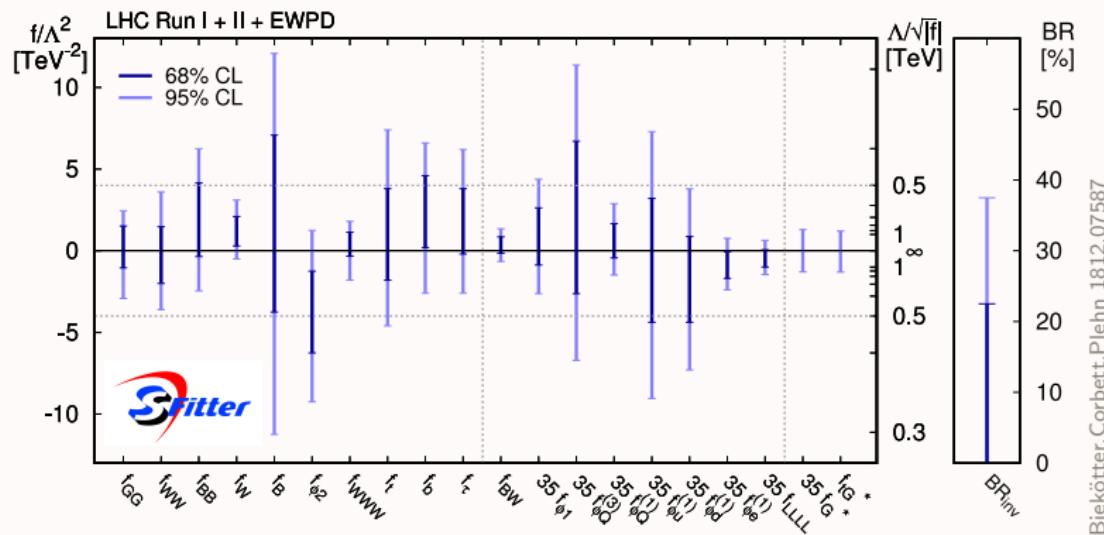
from: ATLAS H10 2207.00348 (stage 1.2)



Higgs + EW fit results

typically:

- EWPO from LEP
- + diboson measurements (LEP2/LHC)
- + Higgs production/decay rates

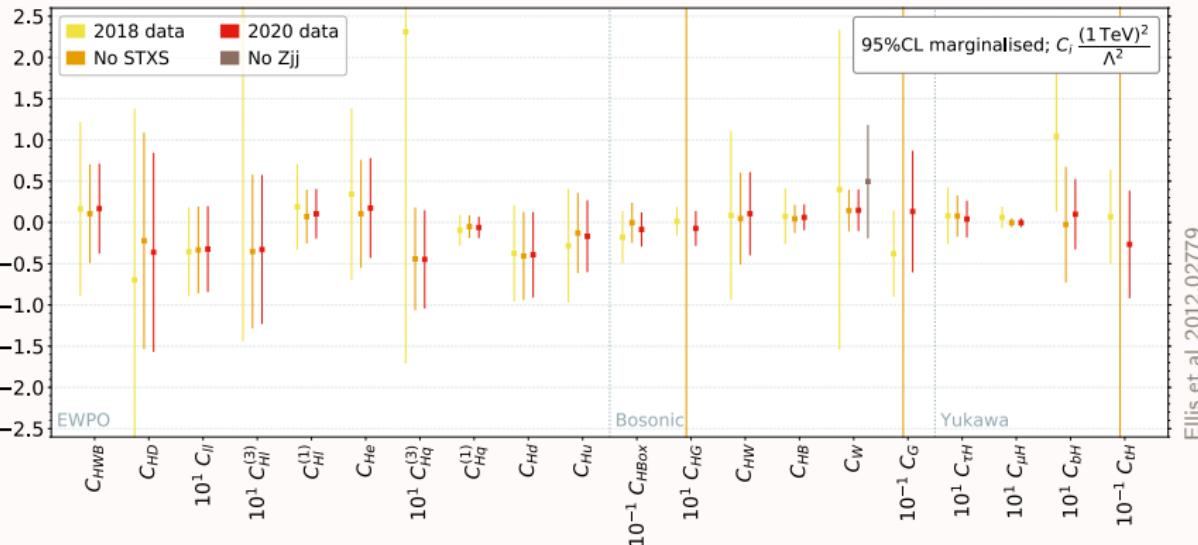


HISZ basis

Hagiwara et al PRD48(1993)2182

Higgs + EW fit results

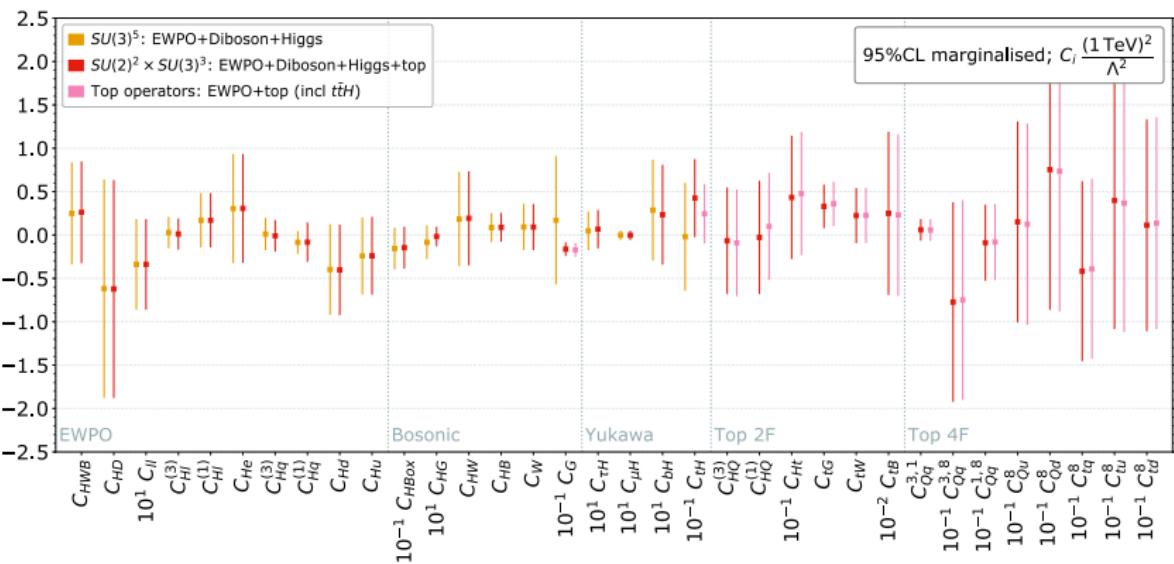
typically: EWPO from LEP
+ diboson measurements (LEP2/LHC)
+ Higgs production/decay rates



Warsaw basis Grzadkowski et al 1008.4884

Top + EW + Higgs: global results

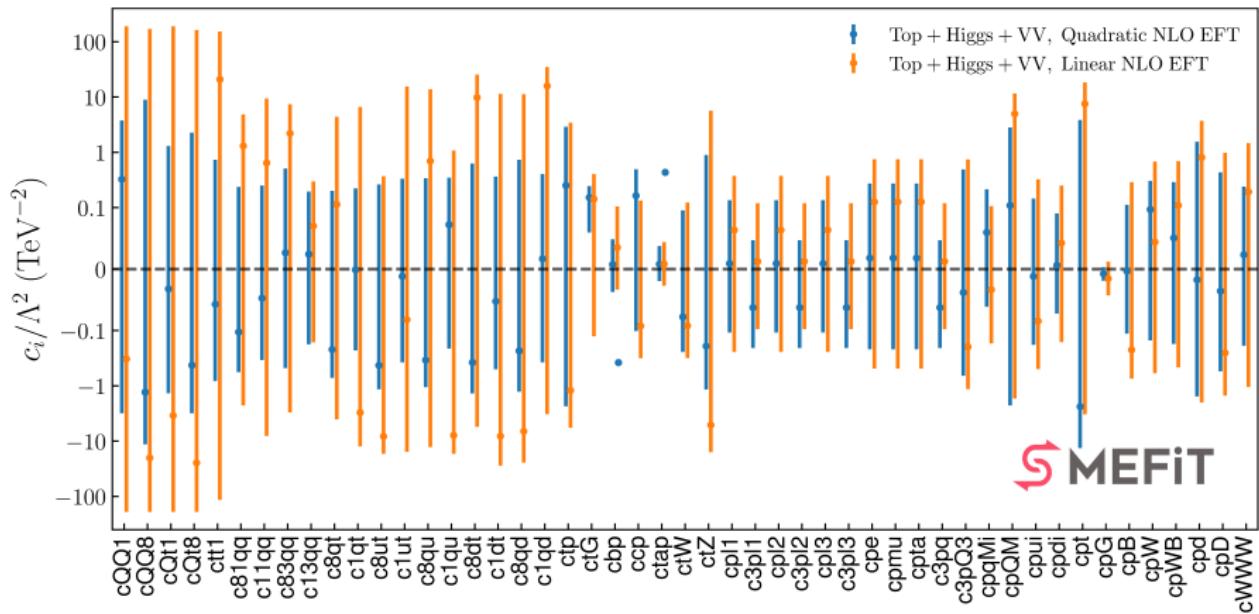
Ellis, Madigan, Mimasu, Sanz, You 2012.02779



34 param, linear, LO + ggH

Top + EW + Higgs: global results

Ethier, Maltoni, Mantani, Nocera, Rojo 2105.00006

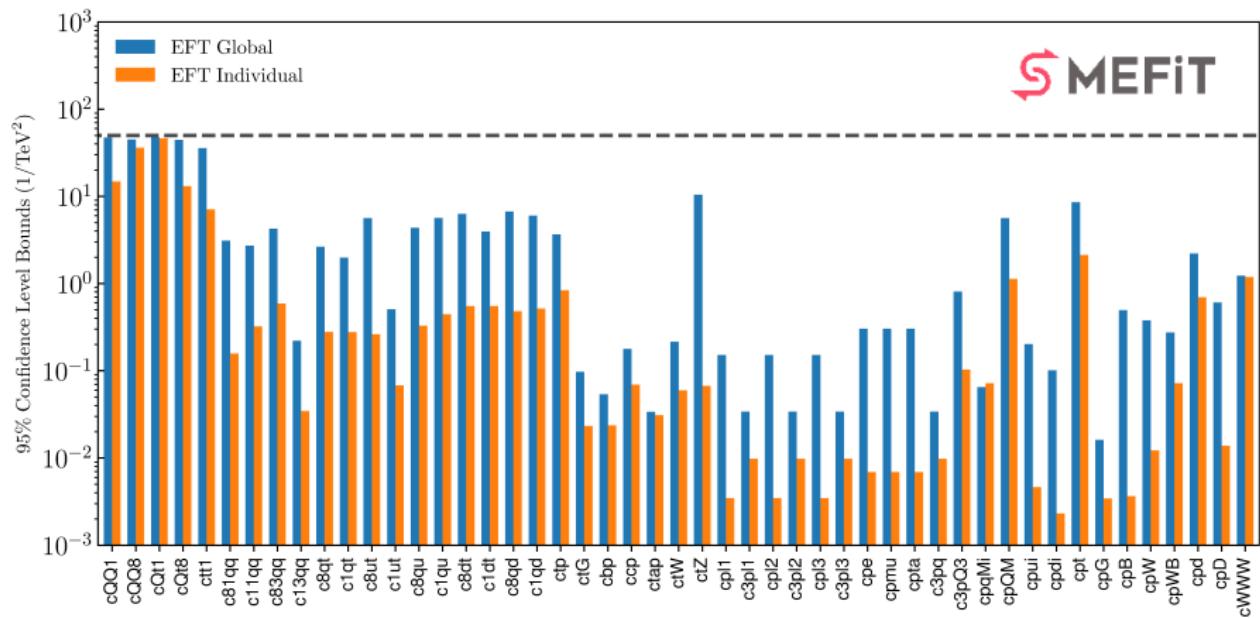


49 param, linear+quadratic, NLO QCD

Top + EW + Higgs: global results

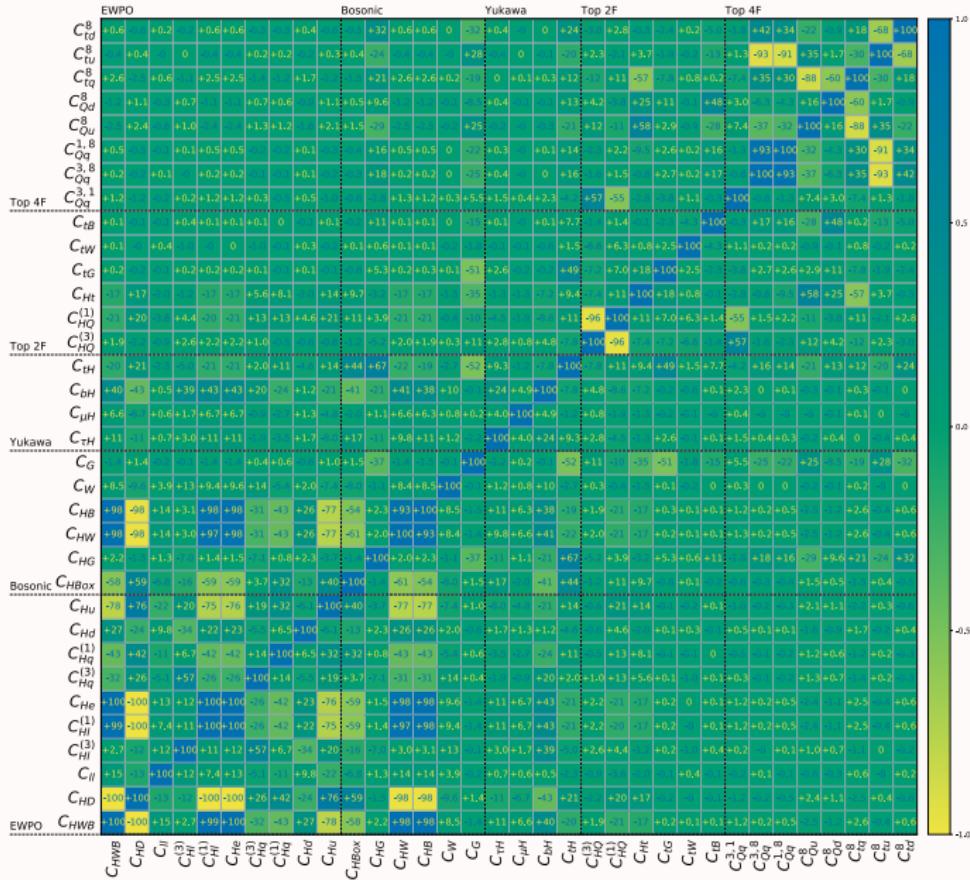
Linear bounds

Ethier, Maltoni, Mantani, Nocera, Rojo 2105.00006

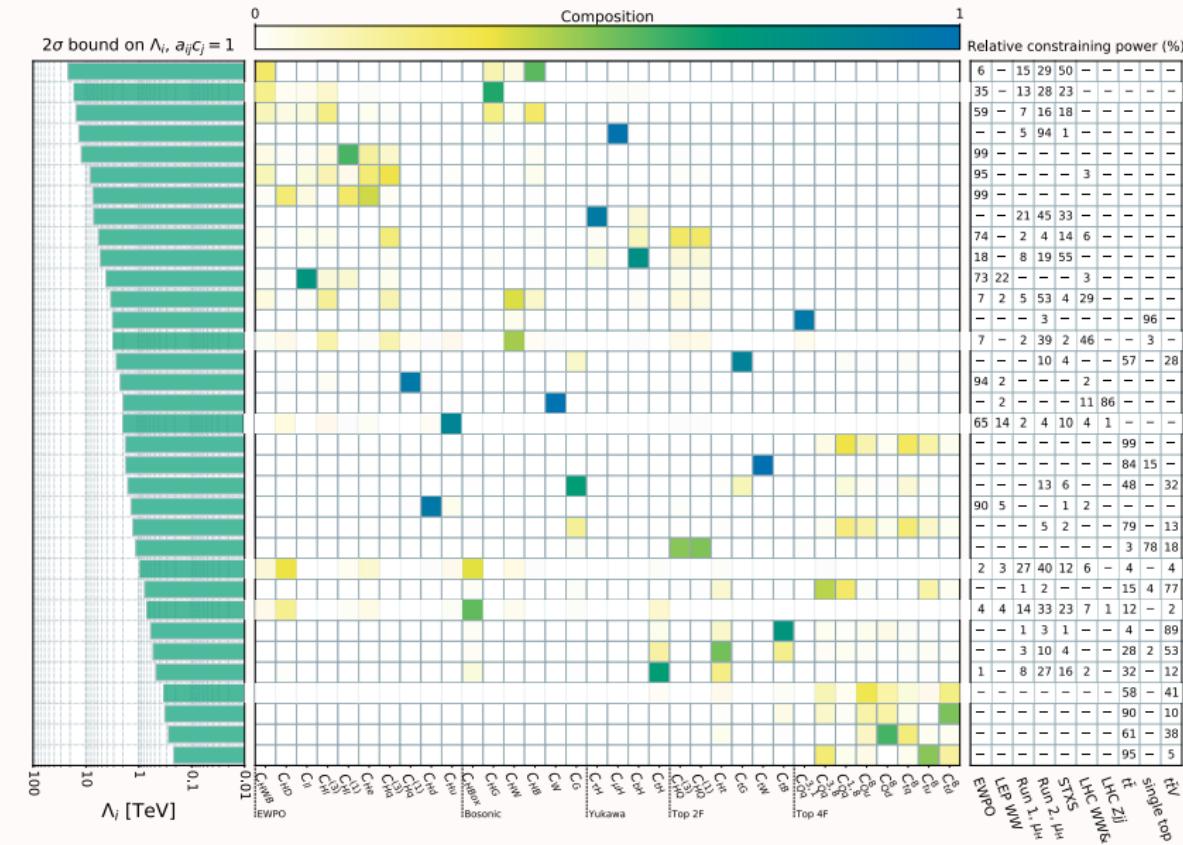


49 param, linear+quadratic, NLO QCD

Top + EW + Higgs: correlation map

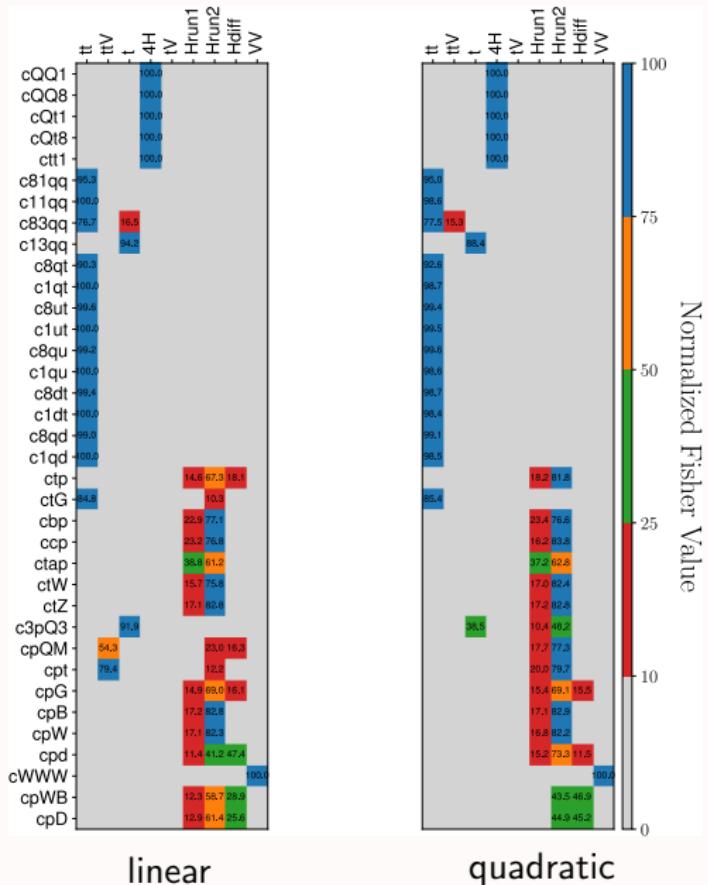


Principal Component Analysis



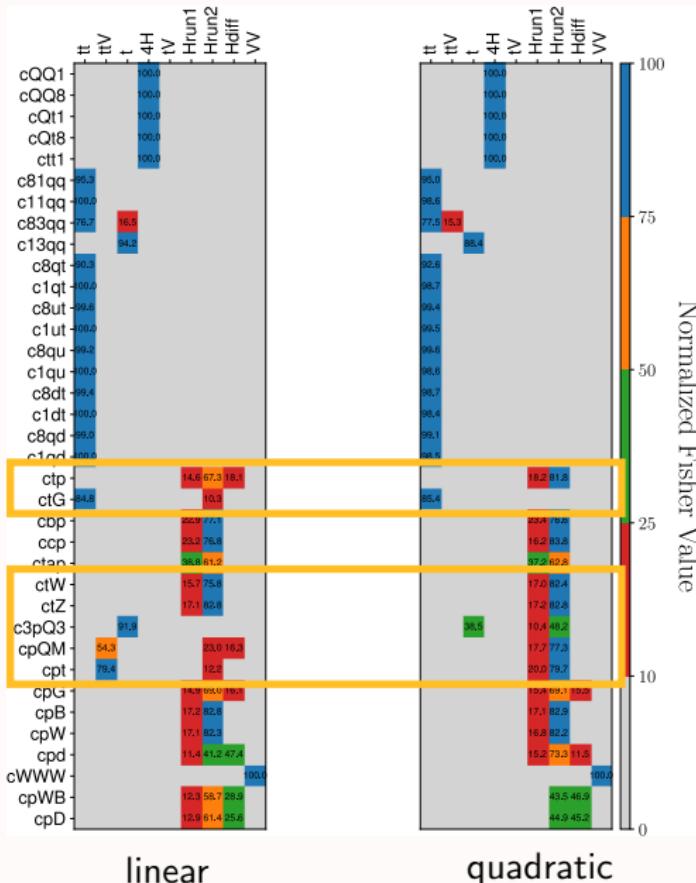
Fisher information

Ethier, Maltoni, Mantani, Nocera, Rojo, Slade, Vryonidis, Zhang 2105.00006



Fisher information

Ethier, Maltoni, Mantani, Nocera, Rojo, Slade, Vryonidis, Zhang 2105.00006



C_{tG} mostly constrained by $t\bar{t}V$

ttV op. constrained by
 $h \rightarrow \gamma\gamma$, single- t , $t\bar{t}V$

SMEFTsim tutorial

Usage in Mathematica: Feynman rules

A Mathematica notebook with examples in the GitHub repository [Q](#):

SMEFTsim_Mathematica_notebooks/SMEFTsim_usage_examples.nb

Instructions

- ▶ load FeynRules

```
$FeynRulesPath = SetDirectory["`FEYNRULESPATH`"];
<< FeynRules`;
```

- ▶ load SMEFTsim. Flavor and Scheme must be defined first!

```
SetDirectory["`SMEFTSIM_FR_PATH`"];
Flavor = U35;
Scheme = MwScheme;
LoadModel["`SMEFTsim_main.fr`"]
```

accepted flavors: general, U35, MFV, top, topU31

accepted schemes: alphaScheme, MwScheme

only the selected combination is loaded.
information about other options cannot be accessed.

Obtaining Feynman rules

- hVV vertices

```
frHVV = FeynmanRules[LHiggs + LSMloop + L6cl4, MaxParticles -> 3,  
Contains -> H];
```

- Zff vertices

```
frZfer = FeynmanRules[LFermions + L6cl7, MaxParticles -> 3,  
Contains -> Z];
```

- all vertices from **bosonic op.** eg. \mathcal{O}_{HB}

```
OHB // FeynmanRules
```

- all vertices from **fermionic op.** eg. $\mathcal{O}_{HI}^{(1)}$

```
Select[L6cl7, !FreeQ[#, cH11] &] // FeynmanRules
```

or

```
OH11[1,1] // FeynmanRules specifying flavor indices
```

- all FR are given in **input scheme-independent** form, containing dg1, dgw, dGf....
go to scheme-specific notation applying replacements:

```
.//MwShifts or .//alphaShifts
```

Available variables and functions

- ▶ `LGauge`. Gauge boson kin. terms.
- ▶ `LHiggs`. Higgs boson kin. term (incl hVV , $hhVV$)
- ▶ `LFermions`. Fermions kin. terms
- ▶ `LSMloop`. SM Higgs couplings to $hgg(ggg)$, $h\gamma\gamma$, $hZ\gamma$
- ▶ `L6c11`, ...`L6c17`. Operators of class 1...7
- ▶ `L6c18a`, ...`L6c18d`. Operators of class 8a ... 8d

- ▶ `WCsimplify`. Collects the Wilson coefficients in an expression one by one.
- ▶ `SMLimit`. Returns the SM limit of an expression.
- ▶ `relativeVariation`. Returns an expression normalized to its SM part

- ▶ `MwShifts`. Input shifts replacements for $\{m_W, m_Z, G_F\}$ scheme.
- ▶ `alphaShifts`. Input shifts replacements for $\{\alpha_{\text{em}}, m_Z, G_F\}$ scheme.

Interactive tool

Download notebook + Working material from [NotebookArchive](#)

- ▶ initialize evaluating

```
SetDirectory[NotebookDirectory[]];  
"><< SMEFTsimFR'
```

- ▶ Evaluate (ctrl + Enter) the commands

`FRbyOperator` and `FRbyVertex`

This activates the two interactive tools

- ▶ in the `FRbyOperator` type a Wilson coefficient in SMEFTsim notation and hit Enter.

e.g. `cHB`, `cHu`, `cuHRe...`

use the options panel to refine the output. You can type more than one coefficient, separated by commas, and also use wildcards e.g. `cHu*`

- ▶ in the `FRbyVertex` type one vertex.

The fields you can use are in a table that is called via `fieldsTable`
Separate them by a comma.

SMEFTsim in MadGraph

- ▶ download the Material.tar with

```
wget https://www.dropbox.com/s/nr1pm0ijxu1es5f/Material.tar
```

and extract: `tar -xvf Material.tar`

- ▶ download SMEFTsim_U35_MwScheme_UFO from GitHub, or, in MG5, type

```
import model SMEFTsim_U35_MwScheme_UFO
```

and it will be automatically downloaded and stored in the MG5/models/ directory.

- ▶ in SMEFTsim_U35_MwScheme_UFO/coupling_orders.py

change `NPprop = CouplingOrder(name = 'NPprop',
expansion_order = 0,`

into `NPprop = CouplingOrder(name = 'NPprop',
expansion_order = 99,`

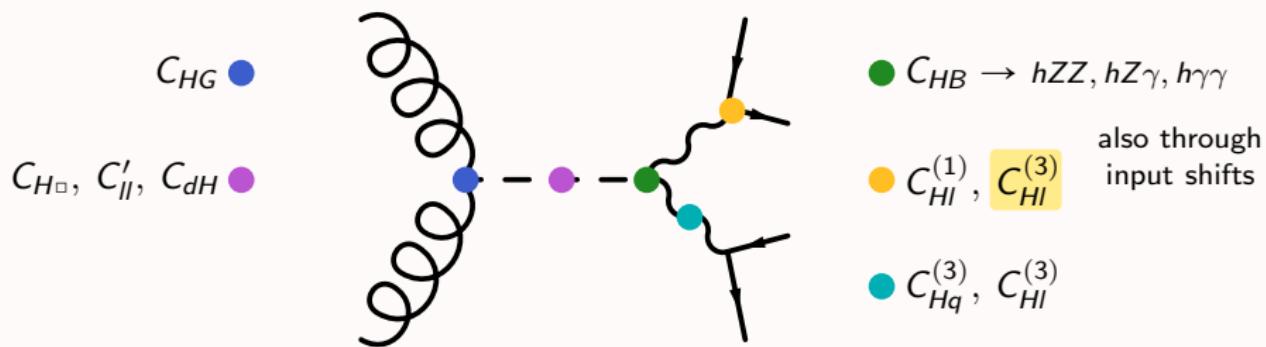
>this enables propagator corrections.

- ▶ put the restriction card `restrict_ggF41.dat` into the UFO directory

the restriction has `linearPropCorrections = 1`. Also needed for propagators.

Exercise: $gg \rightarrow h \rightarrow e^+e^-\mu^+\mu^-$

1. generate in the SM
2. compute SMEFT corrections for some operators via reweighting:
pure interference , quadratics , linear corr. from h, Z propagators
3. plot m_{12}, m_{34} to understand the impact of the different operators



1. SM $gg \rightarrow h \rightarrow e^+e^-\mu^+\mu^-$

- ▶ start Madgraph: MADGRAPHDIR/bin/mg5_aMC
- ▶ in MG: import model with restriction and generate process:

```
import model SMEFTsim_U35_MwScheme_UFO-ggF41
generate g g > h SMHLOOP==1, h > e+ e- mu+ mu- SMHLOOP=0 @0 NP=0 NPprop=0
output gg_h_eemm
launch
```

- ▶ modify param_card: set to 0 all SMEFT parameters
- ▶ modify run_card: set False = use_syst

- ▶ g g > h, h > ... only generates on-shell Higgs signal
- ▶ SMHLOOP counts SM $hgg, hggg, hgggg, h\gamma\gamma, hZ\gamma$ vertices
- ▶ NP counts vertices with SMEFT insertions
- ▶ NPprop counts vertices of dummy particles carrying propagator corr.
- ▶ orders specified after @0 apply to the entire production+decay chain.
orders to the left apply only to the corresponding subprocesses.

2. SMEFT corrections to $gg \rightarrow h \rightarrow e^+e^-\mu^+\mu^-$

- ▶ The reweighting commands are already provided in the reweight cards
- ▶ They are all launched at once by the shell script. Adjust the PROCNAME and RUNNAME variables inside the script to match yours.
- ▶ Launch and approve all questions:

```
./launch_reweighting.sh
```

order specifications

any interference	NP<=1 NP^2==1
specific interf.	NP<=1 NP^2==1 NPcH11^2==1
any square	NP==1
specific mixed square	NP==1 NPcH11^2==1 NPcHB^2==1
propagators interf.	NP=0 NPprop<=2 NPprop^2==2

NP counts vertices in amplitude, NP^2 counts vertices in squared amp.

g g > h, h > ... syntax does not allow amp² specifications.
we use g g > h >... instead, that generally does not restrict to on-shell.

<, = are the same

3. Event analysis

- ▶ `gunzip gg_h_eemm/Events/run_01/unweighted_events.lhe.gz`
- ▶ analyze lhe file and create a .root with histograms

```
python lhe_analyzer.py gg_h_eemm/Events/run_01/unweighted_events.lhe  
lhe_events.root
```

you can visualize this in ROOT with

```
root  
new TBrowser()
```

- ▶ create plots

```
python plot_histos.py lhe_events.root
```

- ▶ modify `plot_histos.py` to plot different sets of lines / ranges

Some physics to notice

- 👉 C_{HG} and operators in the Higgs propagators only give overall rescalings
- 👉 C_{HG} correction is huge: formally **tree/loop** $\rightarrow \mathcal{O}(100)$
- 👉 difference between $C_{HI}^{(1)}$, $C_{HI}^{(3)}$ purely due to $C_{HI}^{(3)}$ entering input shifts
- 👉 operators in the Z propagator only relevant for $m_{||} \simeq m_Z$
- 👉 propagator corr. bring in new operators, that contribute to other h/Z decays, eg. C_{dH} from $h \rightarrow b\bar{b}$, $C_{Hq}^{(3)}$ from $Z \rightarrow q\bar{q}$
- 👉 \mathcal{O}_{HB} modifies hZZ and introduces $hZ\gamma$ and $h\gamma\gamma$ vertices
→ spectrum significantly distorted towards low $m_{||}$.
the effect is even stronger at quadratic level.
- 👉 the square of $C_{HI}^{(1)}$ is suppressed