



MINISTERIO  
DE CIENCIA, INNOVACIÓN  
Y UNIVERSIDADES



Cofinanciado por  
la Unión Europea



AGENCIA  
ESTATAL DE  
INVESTIGACIÓN



# Nuclear Equation of State: from the Lab to the Stars

Xavier Roca-Maza

ICCUB Winter Meeting 2026

University of Barcelona

February 2nd - 3rd 2026



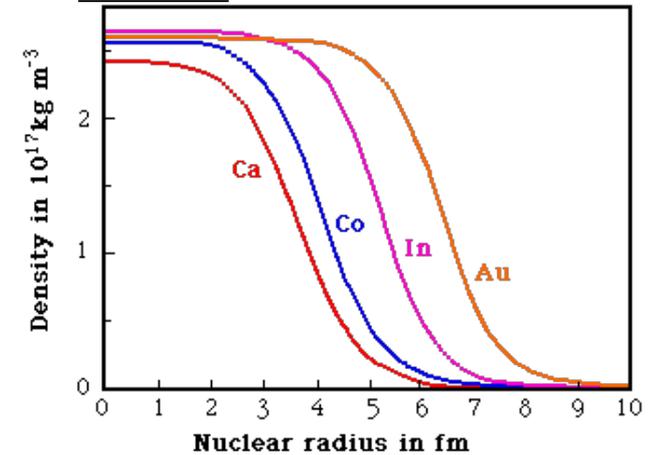
UNIVERSITAT DE  
BARCELONA



# Where can we find neutrons and protons? And in which form? Free? In clusters?

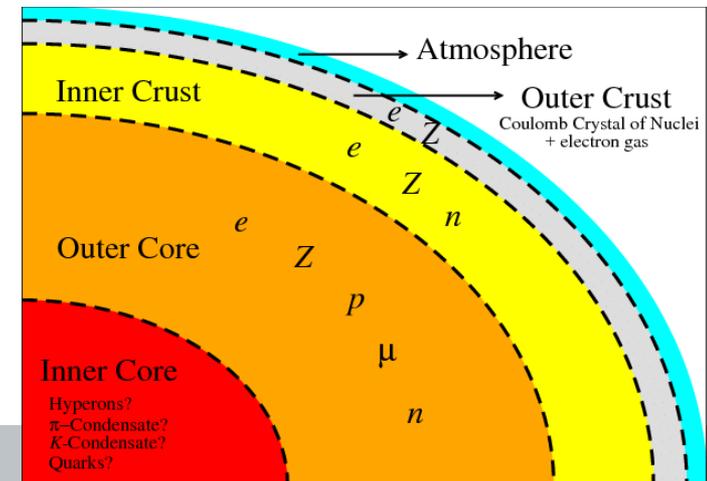
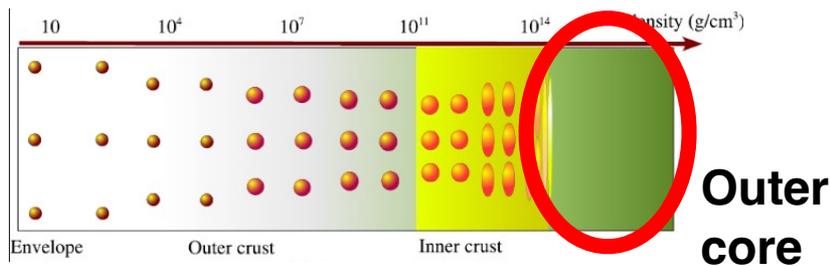
Neutrons and protons in **Earth** are found in cluster systems: **nuclei**

- The **interior** of all nuclei has **constant density** ( $10^{14}$  denser than water) named **saturation density**
- Saturation is originated from the short range nature of the **nuclear effective interaction**
- Neutron in 15 minutes must find a proton or decay



In **heavens**, neutrons and protons can be also found as an interacting sea of fermions (Fermi liquid): **matter in the outer core of a neutron star**

- Densities can reach several times nuclear saturation



# Nuclear Equation of State (EoS)

**Definition:** the energy ( $E$ ) per nucleon ( $A=N+Z$ ),  $e \equiv E/A$ , of an **uniform system of neutrons ( $N$ ) and protons ( $Z$ )** as a function of the **neutron ( $\rho_n = N/V$ ) and proton ( $\rho_p = Z/V$ ) densities ...**

→ ... **at zero temperature:** room temperature  $10^2\text{K} \rightarrow 10^{-8}$  MeV while “cold” neutron stars are at about  $10^{10}\text{K} \rightarrow 1$  MeV. **Separation energy** in stable nuclei (equivalent to ionization energy in atoms) is of **several MeV**.

→ ... **unpolarized:** energy favors **couples** of neutrons and protons **occupying the same state but with opposite spins** (equivalent to electrons in atoms)

→ ... **isospin symmetric:** neutron-neutron, proton-proton and neutron-proton **nuclear interaction** are very **similar** among them. **Masses** of neutrons and protons are almost **degenerate**. Hence neutrons and protons can be thought as **two states** of the **same particle** with different isobaric spin or **isospin** (in analogy with spin): the **nucleon**.

→ ... and **no Coulomb:** **idealized uniform system** (focus on strong interaction, much stronger than Coulomb at nuclear scale  $\sim 10^{15}$  fm). Real systems are finite and electrically neutral so no problems (divergences) in adding Coulomb.

# Nuclear Equation of State (EoS)

**Definition:** the energy ( $E$ ) per nucleon ( $A=N+Z$ ),  $e \equiv E/A$ , of an **uniform system of neutrons ( $N$ ) and protons ( $Z$ )** as a function of the **neutron ( $\rho_n = N/V$ ) and proton ( $\rho_p = Z/V$ ) densities ...**

→ ... **at zero temperature:** room temperature  $10^2\text{K} \rightarrow 10^{-8}$  MeV while “cold” neutron stars are at about  $10^{10}\text{K} \rightarrow 1$  MeV. **Separation energy** in stable nuclei (equivalent to ionization energy in atoms) is of **several MeV**.

→ ... **unpolarized:** energy favors **couples** of neutrons and protons **occupying the same state but with opposite spins** (equivalent to electrons in atoms)

→ ... **isospin symmetric:** neutron-neutron, proton-proton and neutron-proton **nuclear interaction** are very **similar** among them. **Masses** of neutrons and protons are almost **degenerate**. Hence neutrons and protons can be thought as **two states** of the **same particle** with different isobaric spin or **isospin** (in analogy with spin): the **nucleon**.

→ ... and **no Coulomb:** **idealized uniform system** (focus on strong interaction, much stronger than Coulomb at nuclear scale  $\sim 10^{15}$  fm). Real systems are finite and electrically neutral so no problems (divergences) in adding Coulomb.

# Nuclear Equation of State (EoS)

**Definition:** the energy ( $E$ ) per nucleon ( $A=N+Z$ ),  $e \equiv E/A$ , of an **uniform system of neutrons ( $N$ ) and protons ( $Z$ )** as a function of the **neutron ( $\rho_n = N/V$ ) and proton ( $\rho_p = Z/V$ ) densities ...**

→ ... **at zero temperature:** room temperature  $10^2\text{K} \rightarrow 10^{-8}$  MeV while “cold” neutron stars are at about  $10^{10}\text{K} \rightarrow 1$  MeV. **Separation energy** in stable nuclei (equivalent to ionization energy in atoms) is of **several MeV**.

→ ... **unpolarized:** energy favors **couples** of neutrons and protons **occupying the same state but with opposite spins** (equivalent to electrons in atoms)

→ ... **isospin symmetric:** neutron-neutron, proton-proton and neutron-proton **nuclear interaction** are very **similar** among them. **Masses** of neutrons and protons are almost **degenerate**. Hence neutrons and protons can be thought as **two states of the same particle** with different isobaric spin or **isospin** (in analogy with spin): the **nucleon**.

→ ... and **no Coulomb:** **idealized uniform system** (focus on strong interaction, much stronger than Coulomb at nuclear scale  $\sim 10^{15}$  fm). Real systems are finite and electrically neutral so no problems (divergences) in adding Coulomb.

# Nuclear Equation of State (EoS)

**Definition:** the energy ( $E$ ) per nucleon ( $A=N+Z$ ),  $e \equiv E/A$ , of an **uniform system of neutrons ( $N$ ) and protons ( $Z$ )** as a function of the **neutron ( $\rho_n = N/V$ ) and proton ( $\rho_p = Z/V$ ) densities ...**

→ ... **at zero temperature:** room temperature  $10^2\text{K} \rightarrow 10^{-8}$  MeV while “cold” neutron stars are at about  $10^{10}\text{K} \rightarrow 1$  MeV. **Separation energy** in stable nuclei (equivalent to ionization energy in atoms) is of **several MeV**.

→ ... **unpolarized:** energy favors **couples** of neutrons and protons **occupying the same state but with opposite spins** (equivalent to electrons in atoms)

→ ... **isospin symmetric:** neutron-neutron, proton-proton and neutron-proton **nuclear interaction** are very **similar** among them. **Masses** of neutrons and protons are almost **degenerate**. Hence neutrons and protons can be thought as **two states** of the **same particle** with different isobaric spin or **isospin** (in analogy with spin): the **nucleon**.

→ ... and **no Coulomb:** **idealized uniform system** (focus on strong interaction, much stronger than Coulomb at nuclear scale  $\sim 10^{15}$  fm). Real systems are finite and electrically neutral so no problems (divergences) in adding Coulomb.

# Nuclear Equation of State (EoS)

**Definition:** the energy ( $E$ ) per nucleon ( $A=N+Z$ ),  $e \equiv E/A$ , of an **uniform system of neutrons ( $N$ ) and protons ( $Z$ )** as a function of the **neutron ( $\rho_n = N/V$ ) and proton ( $\rho_p = Z/V$ ) densities ...**

→ ... **at zero temperature:** room temperature  $10^2\text{K} \rightarrow 10^{-8}$  MeV while “cold” neutron stars are at about  $10^{10}\text{K} \rightarrow 1$  MeV. **Separation energy** in stable nuclei (equivalent to ionization energy in atoms) is of **several MeV**.

→ ... **unpolarized:** energy favors **couples** of neutrons and protons **occupying the same state but with opposite spins** (equivalent to electrons in atoms)

→ ... **isospin symmetric:** neutron-neutron, proton-proton and neutron-proton **nuclear interaction** are very **similar** among them. **Masses** of neutrons and protons are almost **degenerate**. Hence neutrons and protons can be thought as **two states** of the **same particle** with different isobaric spin or **isospin** (in analogy with spin): the **nucleon**.

→ ... and **no Coulomb: idealized uniform system** (focus on strong interaction, much stronger than Coulomb at nuclear scale  $\sim 10^{15}$  fm). Real systems are finite and electrically neutral so no problems (divergences) in adding Coulomb.

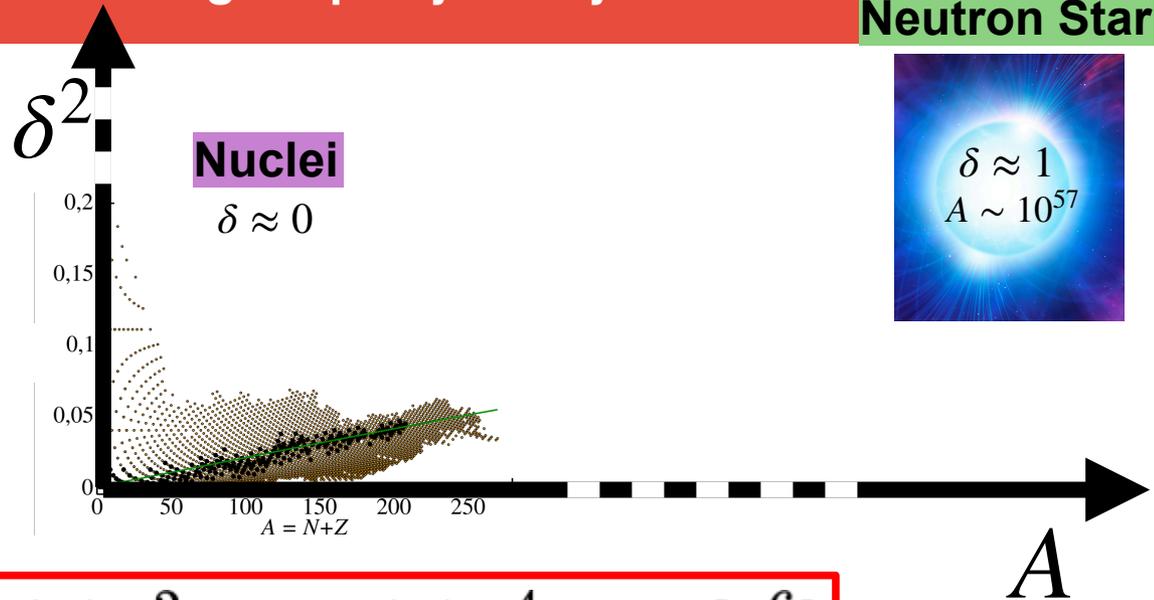
# Nuclear Equation of State (EoS)

Unpolarized, uniform nuclear matter at  $T=0$  assuming isospin symmetry

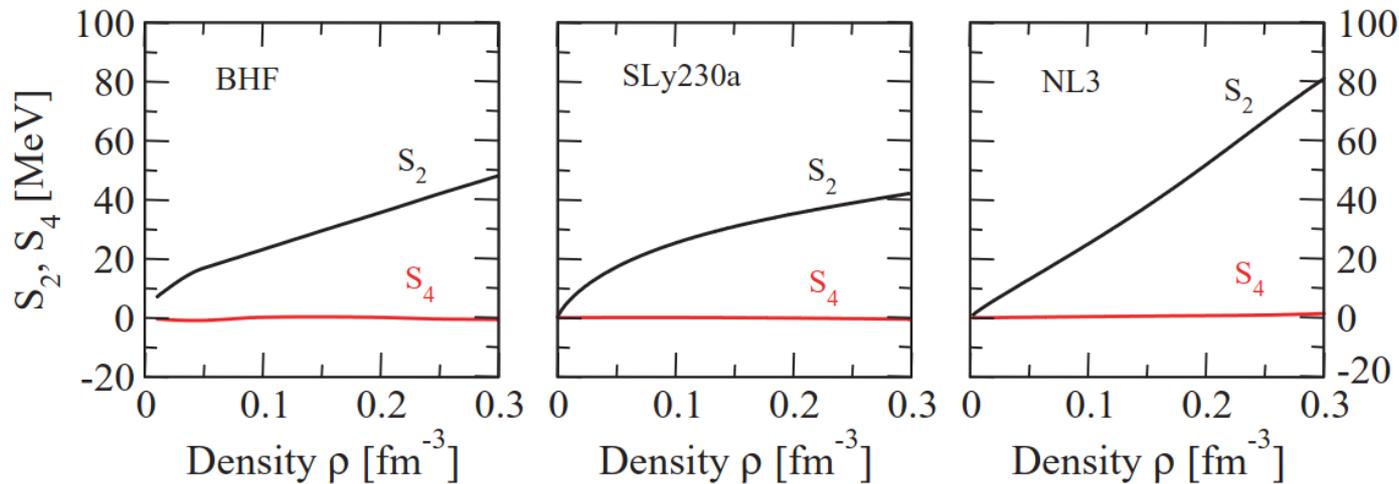
Neutron Star



It is convenient to write the energy per nucleon ( $e$ ) as a function of the total density  $\rho = \rho_n + \rho_p$  and the relative difference  $\delta = (\rho_n - \rho_p) / \rho$  for  $\delta \rightarrow 0$ :



$$e(\rho, \delta) = e(\rho, 0) + S_2(\rho)\delta^2 + S_4(\rho)\delta^4 + \mathcal{O}[\delta^6]$$



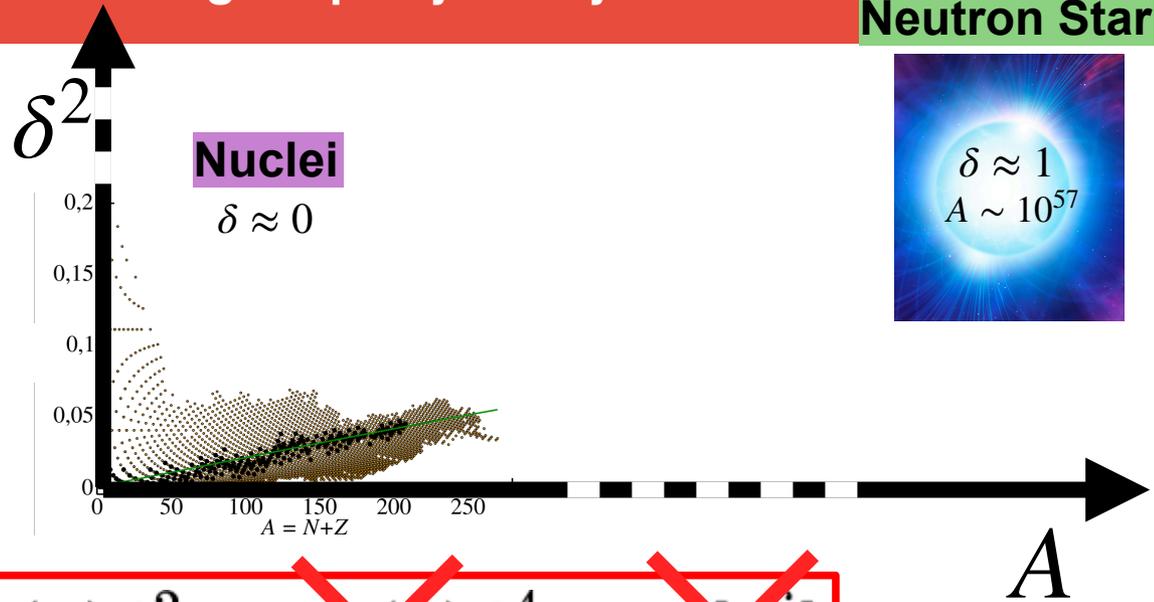
# Nuclear Equation of State (EoS)

Unpolarized, uniform nuclear matter at  $T=0$  assuming isospin symmetry

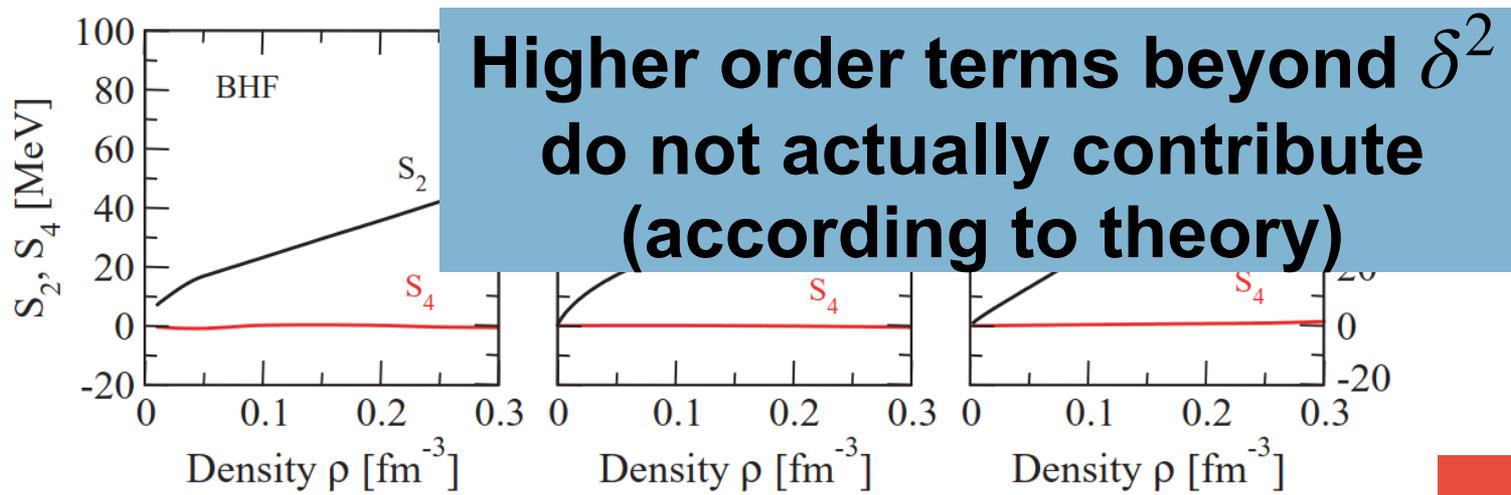
Neutron Star



It is convenient to write the energy per nucleon ( $e$ ) as a function of the total density  $\rho = \rho_n + \rho_p$  and the relative difference  $\delta = (\rho_n - \rho_p) / \rho$  for  $\delta \rightarrow 0$ :



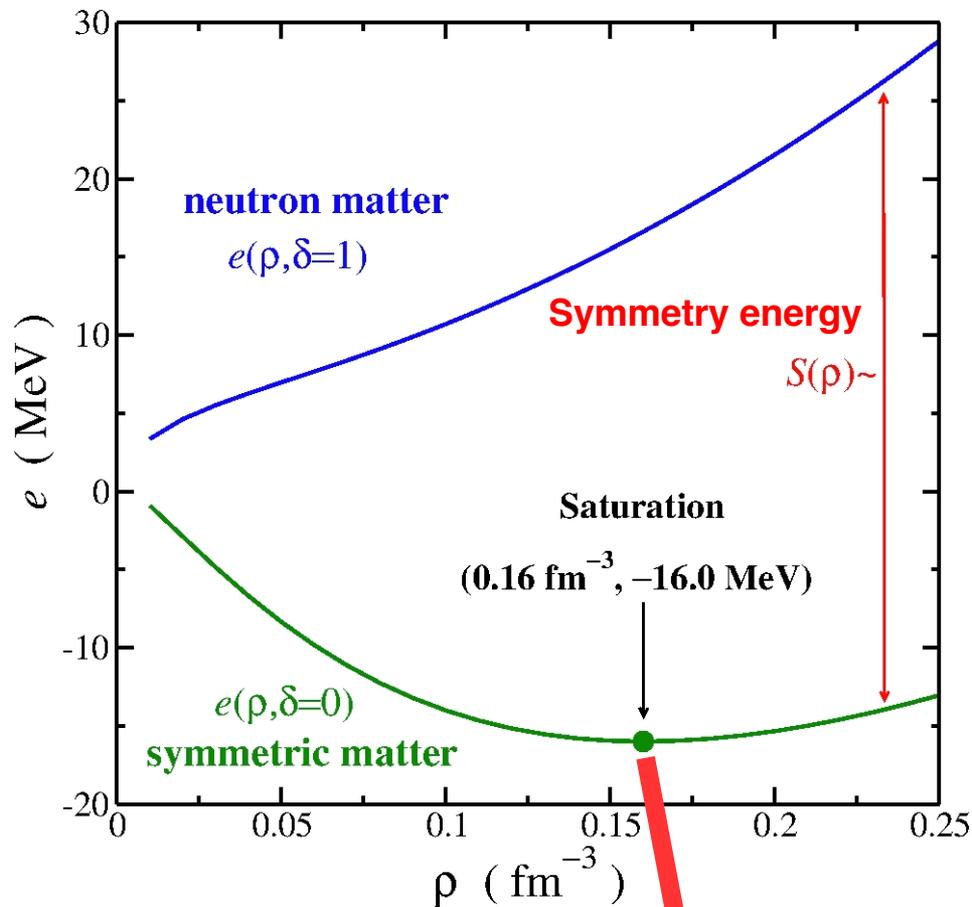
$$e(\rho, \delta) = e(\rho, 0) + S_2(\rho)\delta^2 + S_4(\rho)\delta^4 + \mathcal{O}[\delta^6]$$



# Nuclear Equation of State (EoS)

Unpolarized, uniform nuclear matter at  $T=0$  assuming isospin symmetry

$$e(\rho, \delta) = e(\rho, 0) + S_2(\rho)\delta^2$$



It is customary to **Taylor expand**  $e(\rho, 0)$  and  $S(\rho)$  around **nuclear saturation density**  $\rho_0 \sim 0.16 \text{ fm}^{-3}$

$$e(\rho, 0) = e(\rho_0, 0) + \frac{1}{2}K_0x^2 + \mathcal{O}[\rho^3] \text{ where } x = \frac{\rho - \rho_0}{3\rho_0}$$

$$S(\rho) = J + Lx + \frac{1}{2}K_{\text{sym}}x^2 + \mathcal{O}[\rho^3, \delta^4]$$

**$K$**   $\rightarrow$  how **compressible** is matter @  $\rho_0$

**$J$**   $\rightarrow$  **penalty energy** for systems with  $\rho_n \neq \rho_p$  @  $\rho_n + \rho_p = \rho_0$

**$L$**   $\rightarrow$  **pressure** for systems with  $\rho_n \neq \rho_p$  @  $\rho_0$

**How we determine the properties  
of the nuclear equation of state ?  
(Some examples)**

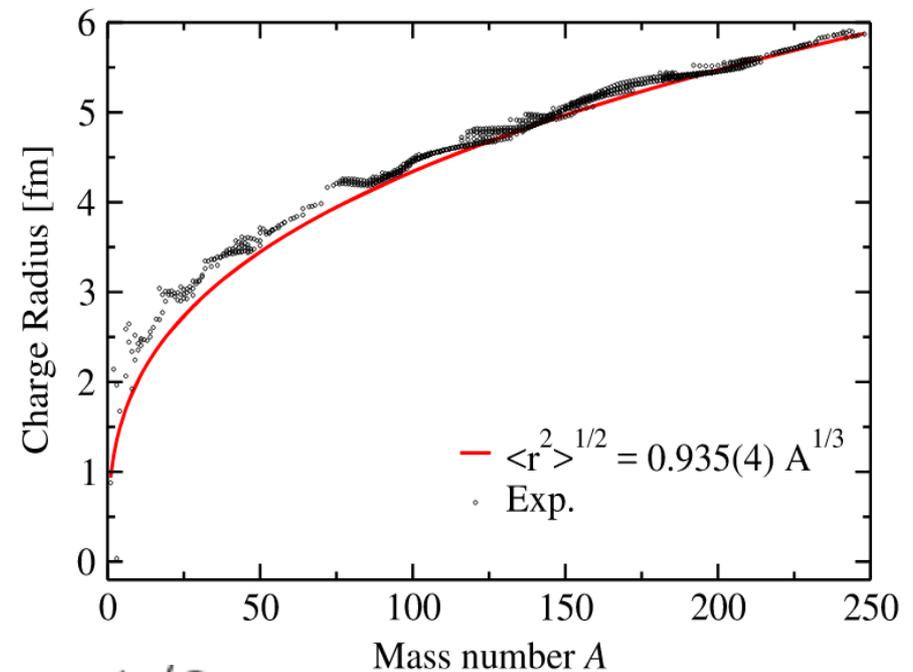
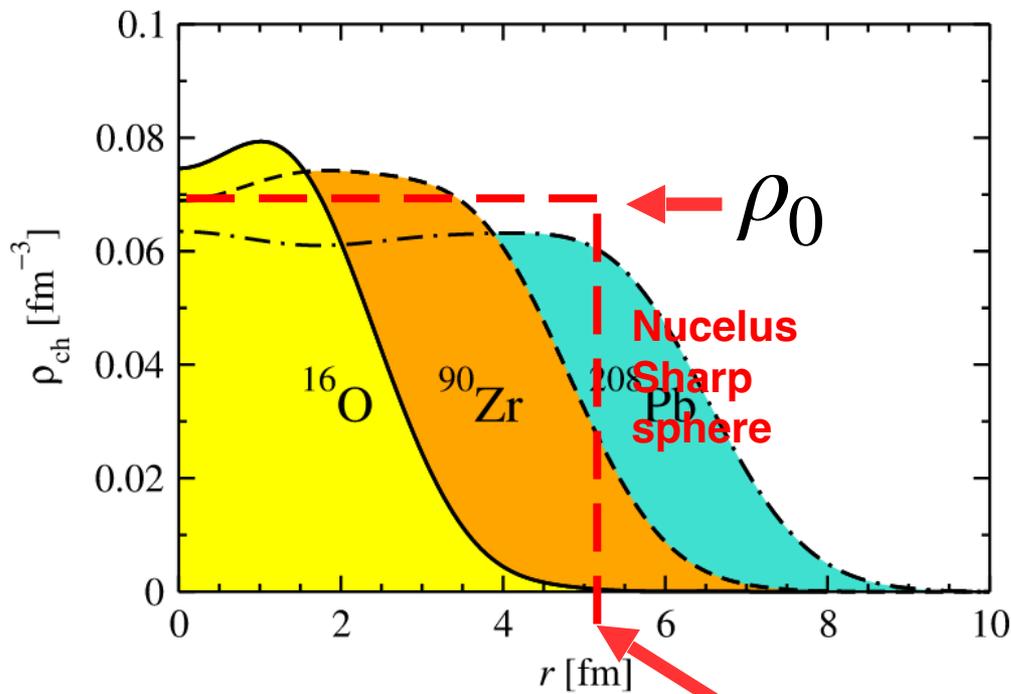
# From electric charge radius of a nucleus:

saturation density  $\rho_0 \approx 0.16 \text{ fm}^{-3}$

→ **Range of the nuclear interaction** ( $\hbar c / m_\pi \sim 1 - 2 \text{ fm}$ ) typically **shorter** than the **size of the nucleus**. Hence, neutrons and protons just “see” their closest neighbours.

→ **Experimental charge (Z) density** in the interior of very **different nuclei** is rather constant at around **0.06-0.08  $\text{fm}^{-3}$** .

→ **Saturation mechanism** (equilibrium) that originates from the **short-range nature of the nuclear force**, much stronger than the Coulomb repulsion at the nuclear scale.

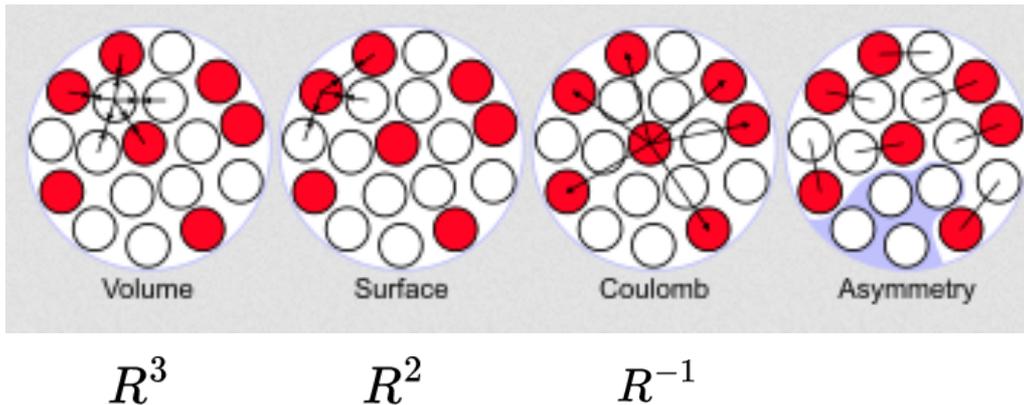


$$R \approx r_0 A^{1/3}$$

# From binding energy of a nucleus:

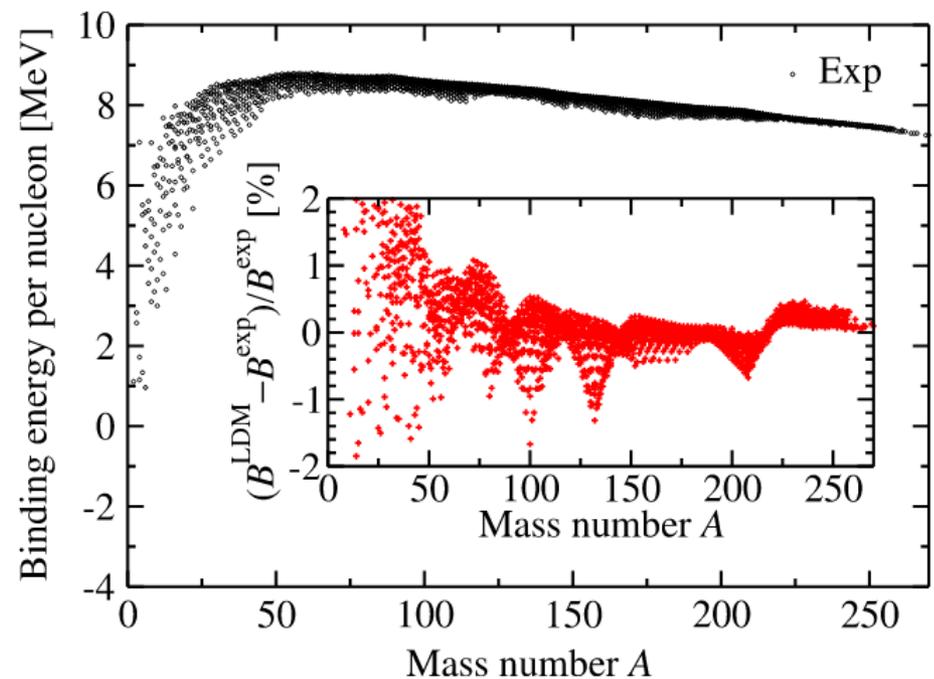
saturation energy  $e(\rho_0, 0) = a_v(A \text{ very large}) \approx 16 \text{ MeV}$

→ Nucleus seen as an incompressible liquid (ideal) drop: sharp sphere of radius  $R = r_0 A^{1/3}$



$$M(A, Z) = m_p Z + m_n(A - Z) - B(A, Z)$$

$$\frac{B(A, Z)}{A} \xrightarrow{A \rightarrow \infty} e_0 \approx 16 \text{ MeV}$$



# Important!!

→ A **small change** in the **saturation density** will **impact** the **size** of the **nucleus**. **Charge radii** are determined to an average accuracy of 0.016 fm (Angeli 2013).

For example, if one aims at determining the  $r_{\text{ch}} = 5.5012 \pm 0.0013$  fm in  $^{208}\text{Pb}$  one must be **very precise** in the determination of  $\rho_0$ :

$$\frac{\delta\rho_0}{\rho_0} = -3\frac{\delta R}{R} \rightarrow \frac{\delta\rho_0}{\rho_0} \lesssim 0.1\%$$



**Note:** typical average theoretical deviation of accurate nuclear models  $\sim 0.02$  fm  $\rightarrow \delta\rho_0/\rho_0$  is determined up to about a **1% accuracy** (That is, third digit in  $\rho_0 \approx 0.16$  fm<sup>-3</sup>!!).

→ In a similar way, a **small change** in the **saturation energy** (about  $e_0 \approx -16$  MeV) will **impact** on the **nuclear mass**.

For example, if one aims at determining the  $B = 1636.4296 \pm 0.0012$  MeV in  $^{208}\text{Pb}$  one must be **very precise** in the determination of  $e_0$ :

$$\frac{\delta B}{B} = \frac{\delta e_0}{e_0} \rightarrow \frac{\delta e_0}{e_0} \lesssim 10^{-6}$$



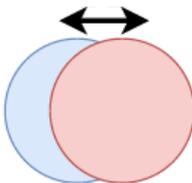
**Note:** typical average theoretical deviation of accurate nuclear models  $\sim 1$ -2 MeV  $\rightarrow \delta e_0/e_0$  is determined up to about a **0.1% accuracy** (That is, second decimal digit in  $e_0 \approx -16.0$  MeV!!).



# What happens if we perturb the ground state densities of a nucleus?

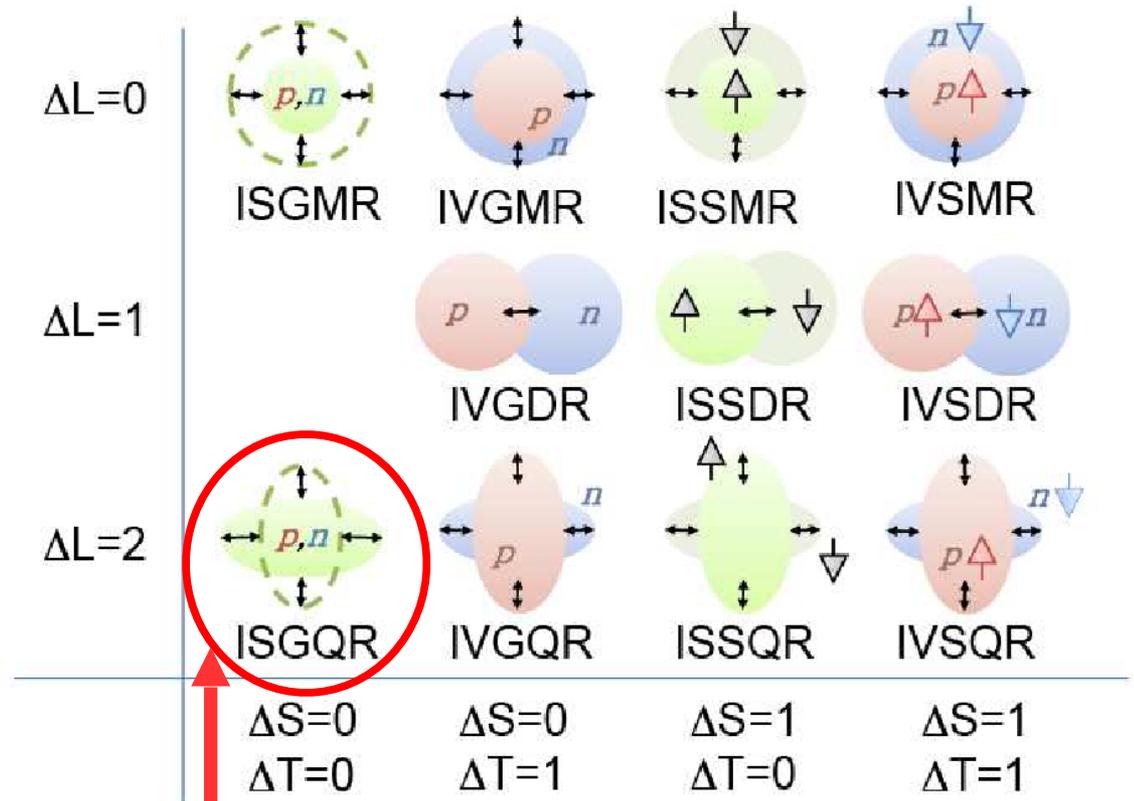
Restoring force drive the dynamics

Produce a **small displacement (dl)** between **neutron and proton densities (drops)**

$$\rho = \rho_0 + \delta\rho_0 \approx \rho_0 + d\vec{l} \cdot \vec{\nabla}\rho_0$$


(Linear response theory)

Under different types of perturbations, **nuclei use to show resonant behaviors** where all nucleons oscillate coherently and the nucleus as a whole vibrate at an specific resonant energy → known as **Giant Resonances**



<http://www.majimak.com/wordpress/>

**(By the way: dominant type oscillation mode of a Neutron star in a Neutron star merger)**

# Dipole polarizability

(Giant Dipole Resonance)

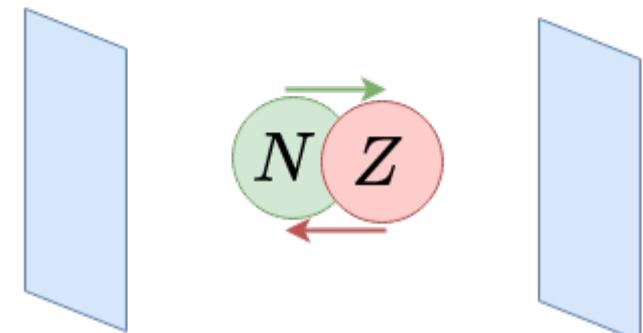
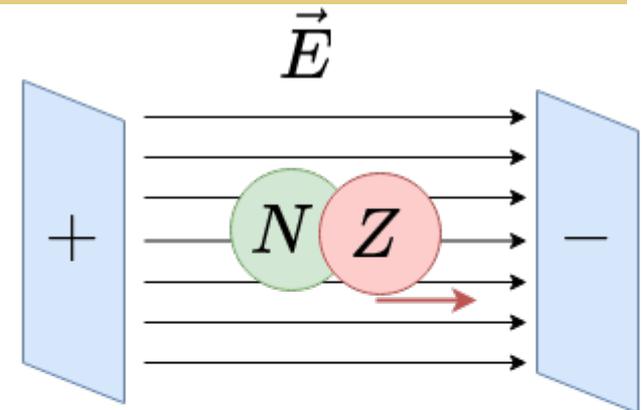
As in Electromagnetism course in the Physics degree, the **electric polarizability** measures **tendency** of the nuclear charge distribution to be **distorted**

$$\alpha = \frac{\text{electric dipole moment}}{\text{external electric field applied}}$$

How easy is to separate neutrons from protons? Symmetry energy will tell (Harmonic Oscillator model)

$$e(\rho, \delta) = e(\rho, 0) + S(\rho)\delta^2$$

$$E_x \sim \sqrt{\frac{\partial^2 e(\rho, \delta)}{\partial \delta^2}} \sim \sqrt{S(\rho)}$$



# Dipole polarizability

(Giant Dipole Resonance)

As in Electromagnetism course in the Physics degree, the **electric polarizability** measures **tendency** of the nuclear charge distribution to be **distorted**

**Tidal deformability in a neutron star**



**quadrupole polarizability**

$$E_x \sim \sqrt{\frac{\partial^2 e(\rho, \delta)}{\partial \delta^2}} \sim \sqrt{S(\rho)}$$



# Giant Monopole Resonance (GMR)

**Monopole resonance** can be imagined macroscopically as an **isotropic change in the volume** of the nucleus (do not depend on the orbital angular momentum or spin): **breathing mode**

$$F = \sum_{i=1}^A r_i^2$$

Isotropic harmonic perturbation!



One can define the **excitation frequency** from the moments ( $m$ ) of the **response function**:

$$m_1 = \frac{2\hbar^2}{m} \langle r^2 \rangle \quad \frac{1}{m_{-1}} = 2 \frac{\partial^2 \langle \mathcal{H} \rangle}{\partial \langle r^2 \rangle^2}$$

Therefore,

$$\boxed{(E_x^{\text{ISGMR}})^2} = \frac{m_1}{m_{-1}} = 4 \frac{\hbar^2}{m} \langle r^2 \rangle \frac{\partial^2 E}{\partial \langle r^2 \rangle^2} = 4A \frac{\hbar^2}{m \langle r^2 \rangle} \langle r^2 \rangle^2 \frac{\partial^2 (E/A)}{\partial \langle r^2 \rangle^2} \equiv \boxed{K_A \frac{\hbar^2}{m \langle r^2 \rangle}}$$

We can measure how incompressible is a nucleus!!!

# Neutron Star Mass

**Nuclear models** that account for different nuclear properties on **Earth** predict a large variety of **Neutron Star Mass-Radius** relations → **Observation of a  $2M_{\text{sun}}$**  has constrained nuclear models.

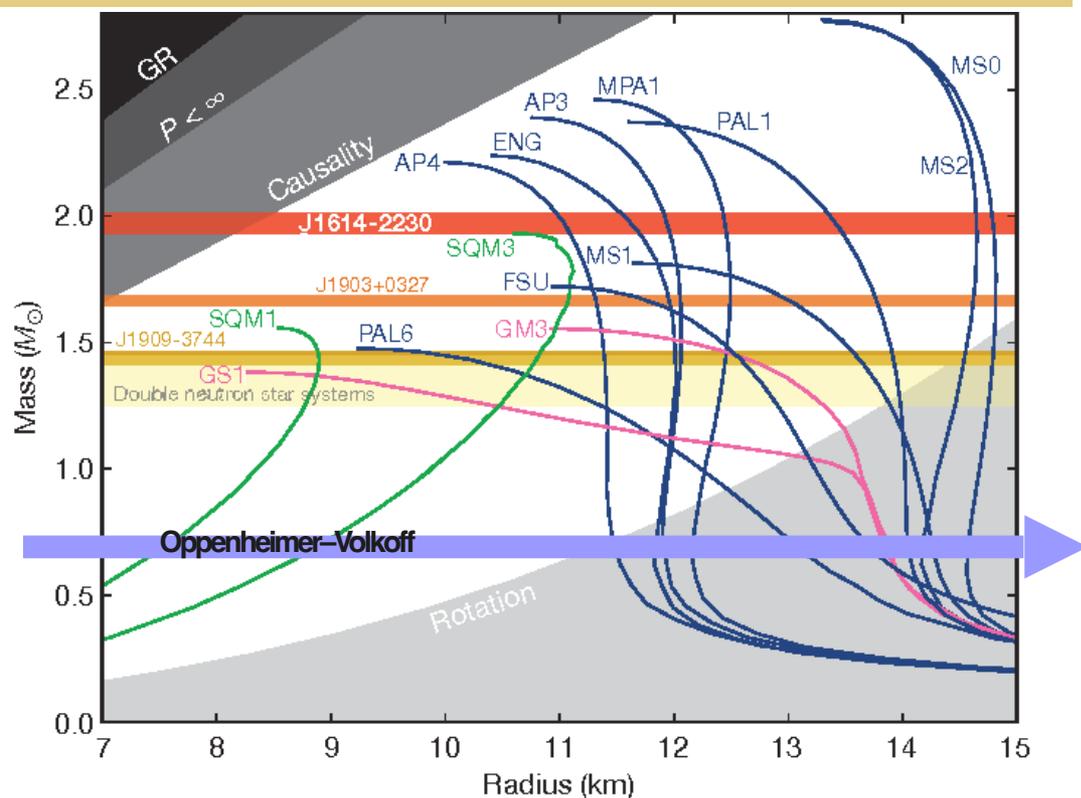
**Tolman–Oppenheimer–Volkoff equation (sph. sym.):**

$$\frac{dM(r)}{dr} = 4\pi r^2 \mathcal{E}(r);$$

$$\frac{dP}{dr} = -G \frac{\mathcal{E}(r)M(r)}{r^2} \left[ 1 + \frac{P(r)}{\mathcal{E}(r)} \right] \left[ 1 + \frac{4\pi r^3 P(r)}{M(r)} \right] \left[ 1 - \frac{2GM(r)}{r} \right]^{-1}$$

$\mathcal{E}(r)$  → degeneracy pressure from neutrons →  $M_{\text{max}} = 0.7M_{\text{sun}}$

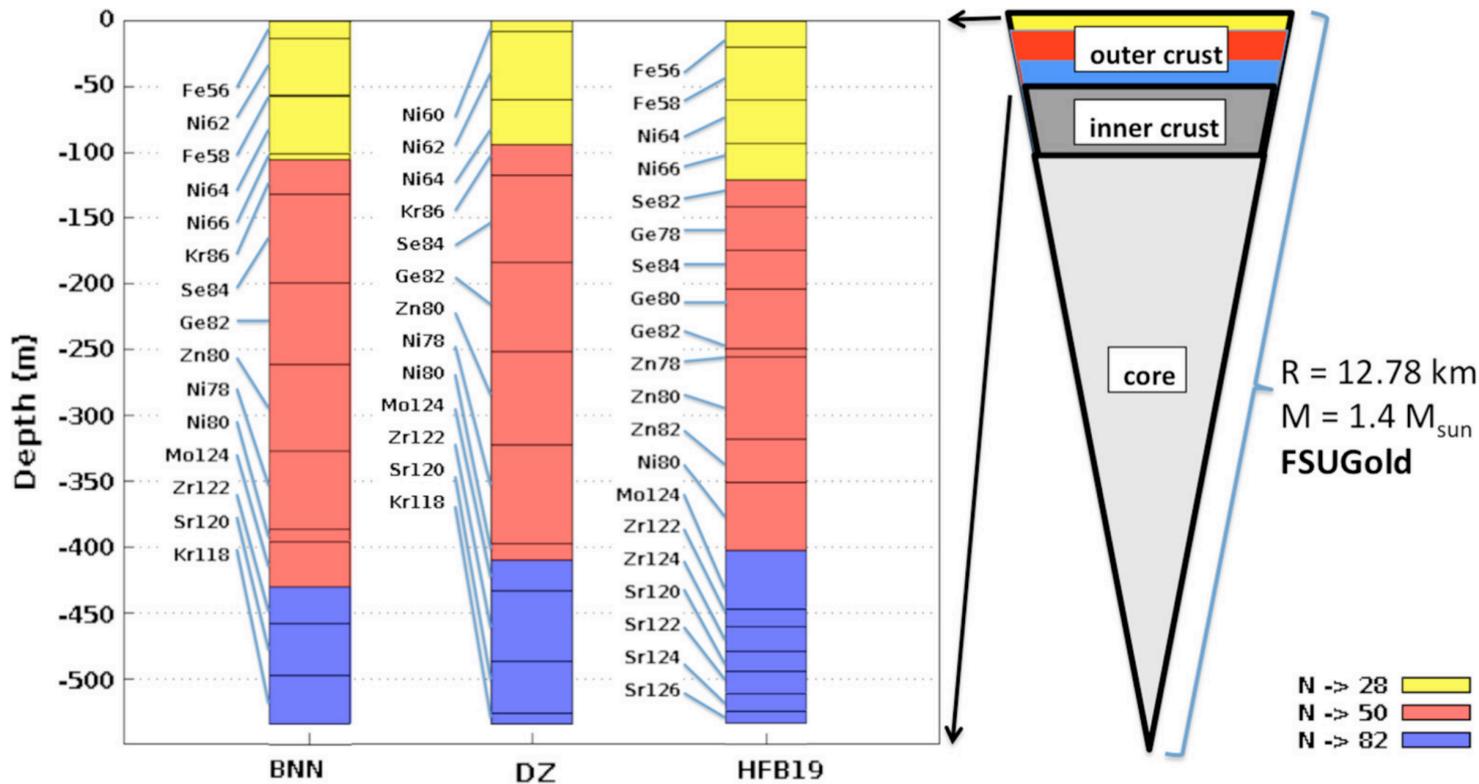
**Nuclear Physics input is fundamental**



**Figure 3 | Neutron star mass–radius diagram** The plot shows non-rotating  
*Two-solar-mass neutron star measured using Shapiro delay - P.B. Demorest, T. Pennucci, S.M. Ransom, M.S.E. Roberts & J.W.T. Hessels - Nature volume 467, 1081–1083(2010)*

# Crust composition of a Neutron Star

The **crust** of a **NS** is made of very **exotic** neutron rich nuclei, stable only due to the extreme conditions (large densities). Different nuclear models predict different compositions

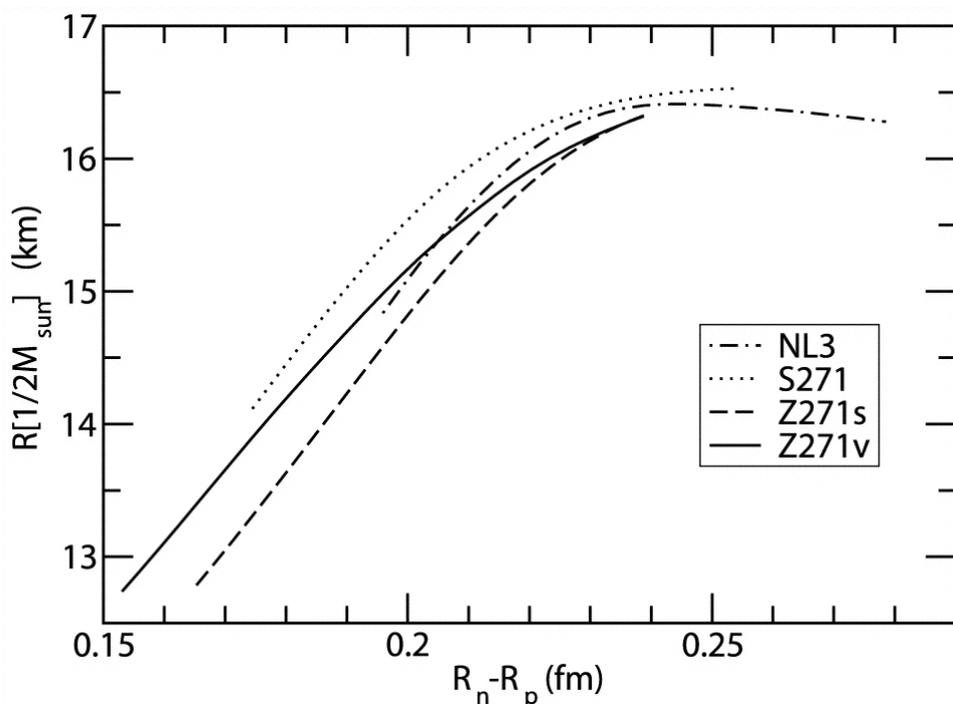


The faster the symmetry energy  $S(\rho)$  grows with density ( $L$ ) the more exotic the composition

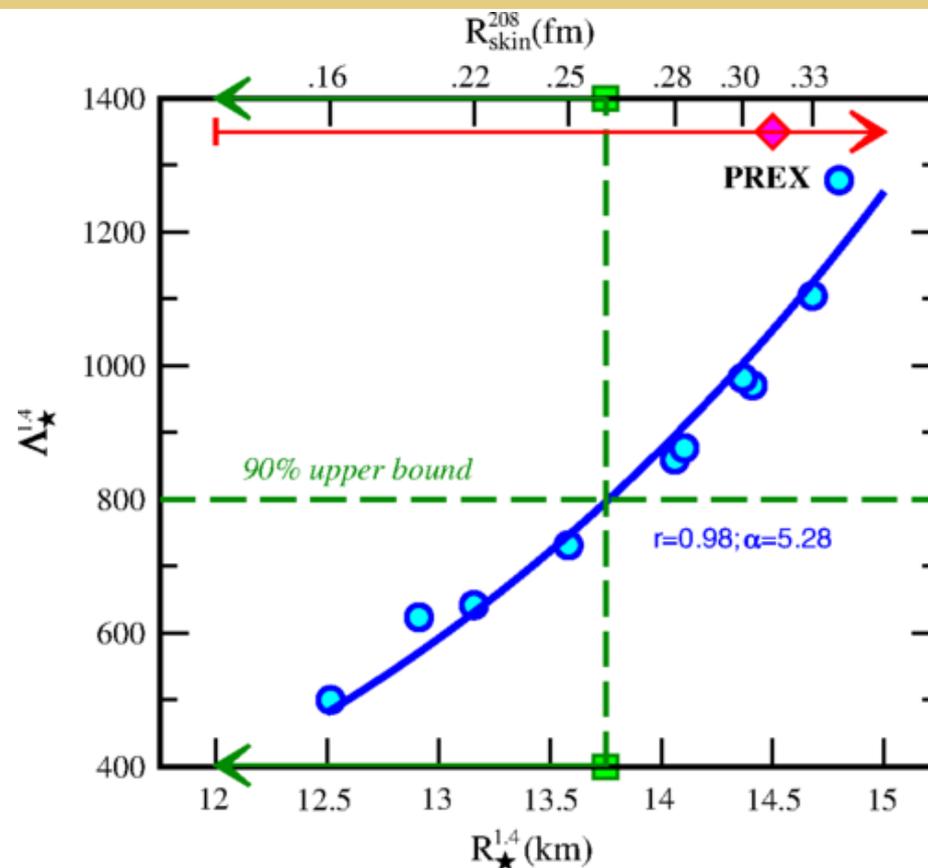
Phys. Rev. C **93**, 014311 – Published 20 January, 2016

# Neutron Skin in Nuclei, Radius & Tidal Def. of a NS

Both, the **neutron skin thickness** ( $\Delta r_{np}=r_n-r_p$ ) in neutron rich nuclei and the **radius** of a **neutron star** are related to the **neutron pressure** in uniform matter. The former around  $\rho_0$  (L) while the latter in a broad range of densities.

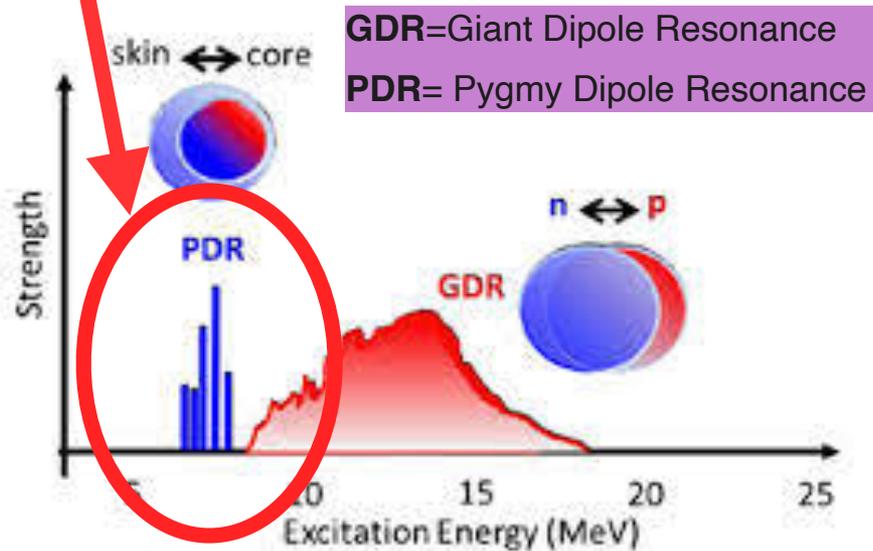


→ Only for unrealistically small neutron stars, that is, for small central densities ( $\rho_c \sim \rho_0$ ): nuclear models predict a **linear** relation between **R** and  **$\Delta r_{np}$** ...



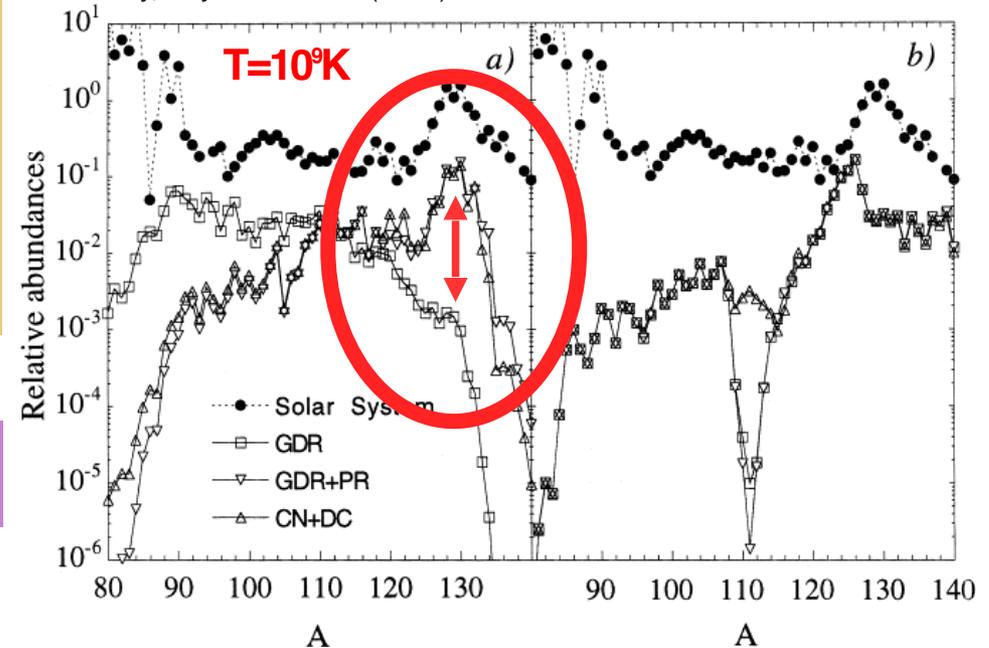
# Low energy dipole response and nucleosynthesis

The **largest** the neutron pressure ( $\sim L$ ), the more neutrons are “**pushed out**” → spatial **decorrelation** of some of those neutrons with the nucleons in the core produces **larger low lying dipole responses**.



Radiative neutron captures by neutron-rich nuclei and the r-process nucleosynthesis

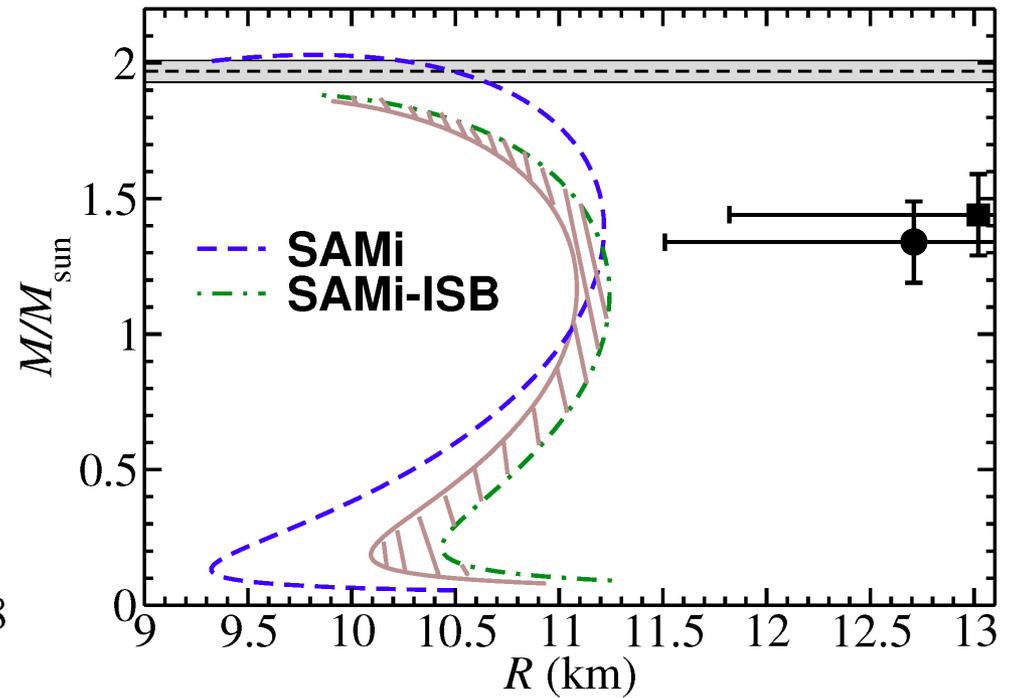
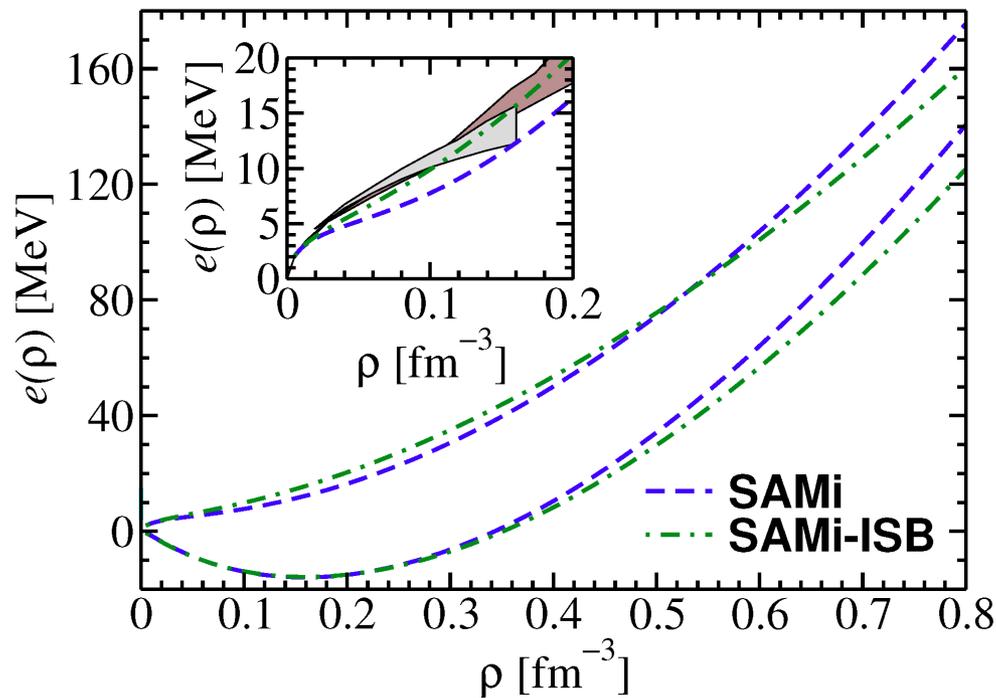
S. Goriely, Phys.Lett.B 436 (1998) 10-18



**Low energy dipole strength in neutron-rich nuclei influences the neutron capture cross section and, thus, the r-process nucleosynthesis**

# Isospin symmetry Breaking effects on Neutron Stars?

Speculation: **isospin symmetry breaking** effects compatible with **nuclear phenomenology** may show some **effects** on the **mass** and **radius** of a **Neutron Star**.



# Summary from Lab: with qualitative indication of accuracy needed to describe experiment (note that absolute values might be subject to systematics)

- $\rho_0 \in [0.154, 0.159] \text{ fm}^{-3}$  → relative accuracy **2%**
  - needed to describe experiment (Rch)  **$\leq 0.1\%$**
- $e_0 \in [15.6, 16.2] \text{ MeV}$  → relative accuracy **4%**
  - needed to describe experiment (B)  **$\leq 0.0001\%$**
- $K_0 \in [200, 260] \text{ MeV}$  → relative accuracy **25%**
  - needed to describe experiment ( $E_x^{\text{GMR}}$ )  **$\leq 7\%$**
- $J \in [30, 35] \text{ MeV}$  → relative accuracy ( $\alpha$ ) **15%**
  - needed to describe experiment  **$\leq 15\%$**
- $L \in [20, 120] \text{ MeV}$  → relative accuracy ( $\alpha$ ) **150%**
  - needed to describe experiment  **$\leq 50\%$**
- ...